

# Lecture 4: Floats

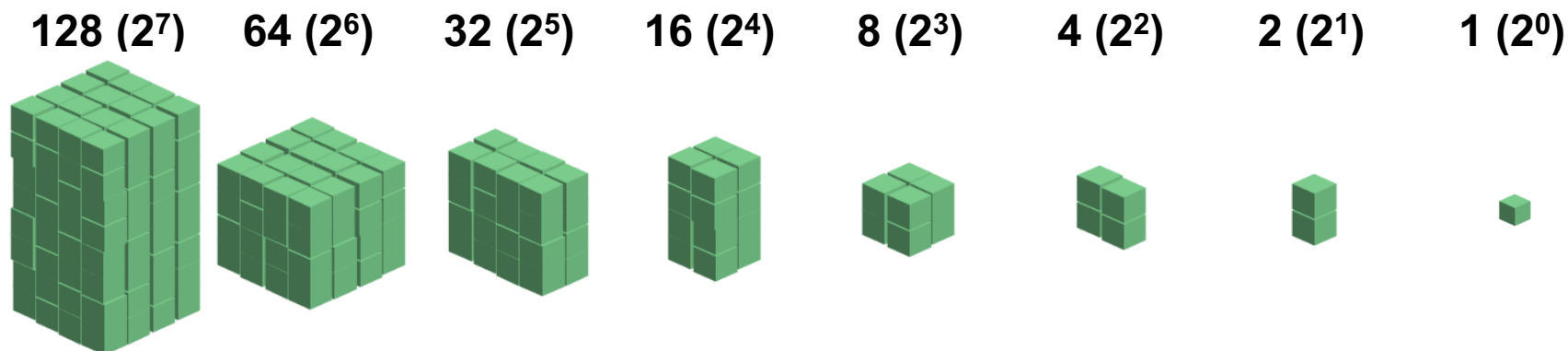
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CS 105

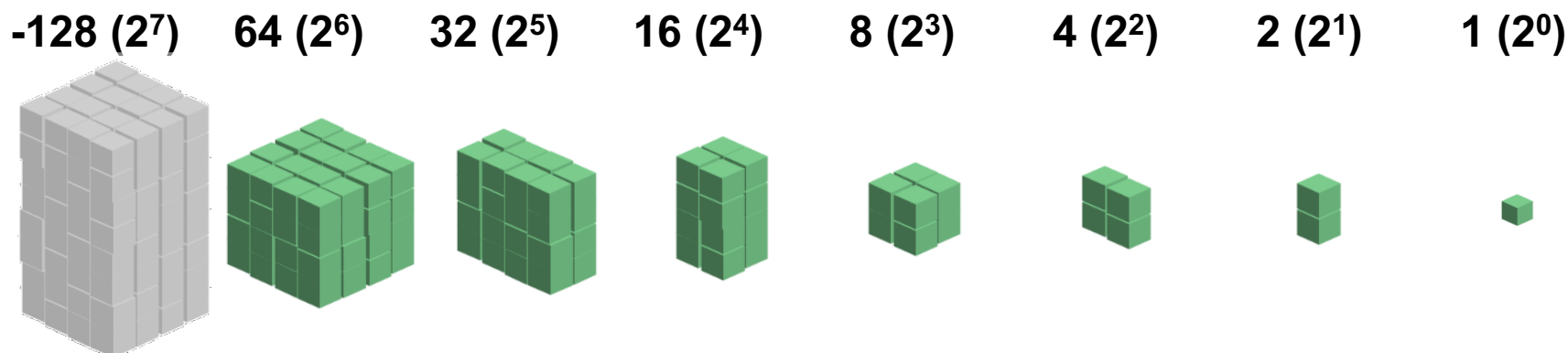
Spring 2021

# Representing Integers

- unsigned:



- signed (two's complement):

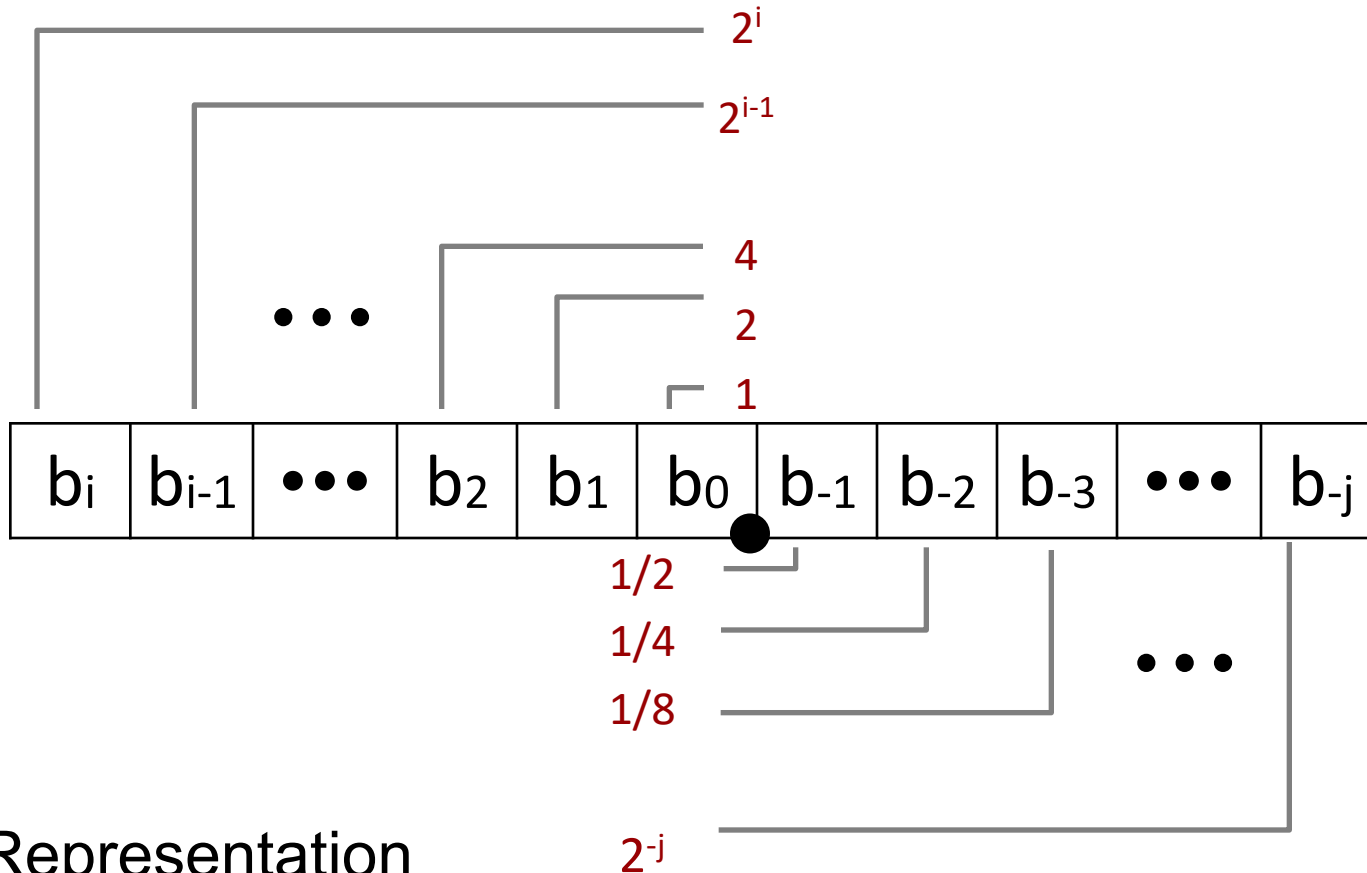


Note: to compute  $-x$  for a signed int  $x$ , flip all the bits, then add 1  
 $x + \sim x = 11 \dots 1 = -1$ , so  $x + (\sim x + 1) = 0$

# Fractional binary numbers

- What is  $1001.101_2$ ?

# Fractional Binary Numbers



- Representation

- Bits to right of “binary point” represent fractional powers of 2
- Represents rational number:  $\sum_{k=-j}^i (b_k \cdot 2^k)$

# Example: Fractional Binary Numbers

- What is  $1001.101_2$ ?

$$= 8 + 1 + \frac{1}{2} + \frac{1}{8} = 9 \frac{5}{8} = 9.625$$

- What is the binary representation of  $13 \frac{9}{16}$ ?

**1101.1001**

# Exercise 1: Fractional Binary Numbers

- Translate the following fractional numbers to their binary representation
  - $5 \frac{3}{4}$
  - $2 \frac{7}{8}$
  - $1 \frac{7}{16}$
- Translate the following fractional binary numbers to their decimal representation
  - .011
  - .11
  - 1.1

# Exercise 1: Fractional Binary Numbers

- Translate the following fractional numbers to their binary representation

- $5 \frac{3}{4}$       **101.11**
- $2 \frac{7}{8}$       **10.111**
- $1 \frac{7}{16}$       **1.0111**

- Translate the following fractional binary numbers to their decimal representation

- $.011$        $= \frac{1}{4} + \frac{1}{8} = \frac{3}{8} = .375$
- $.11$        $= \frac{1}{2} + \frac{1}{4} = \frac{3}{4} = .75$
- $1.1$        $= 1 + \frac{1}{2} = \frac{3}{2} = 1.5$

# Representable Numbers

- Limitation #1

- Can only exactly represent numbers of the form  $x/2^k$
- Other rational numbers have repeating bit representations

- Value                  Representation

- 1/3                  0.0101010101 [01]...<sub>2</sub>
- 1/5                  0.001100110011 [0011]...<sub>2</sub>
- 1/10                0.0001100110011 [0011]...<sub>2</sub>

- Limitation #2

- Just one setting of binary point within the  $w$  bits
- Limited range of numbers (very small values? very large?)



# Floating Point Representation

- Numerical Form:  $(-1)^s \cdot M \cdot 2^E$ 
  - Sign bit  $s$  determines whether number is negative or positive
  - Significand  $M$  normally a fractional value in range  $[1.0, 2.0)$
  - Exponent  $E$  weights value by power of two

# Exercise 2: Floating Point Numbers

- For each of the following numbers, specify a binary fractional number  $M$  in  $[1.0, 2.0)$  and a binary number  $E$  such that the number is equal to  $M \cdot 2^E$ 
  - $5 \frac{3}{4}$
  - $2 \frac{7}{8}$
  - $1 \frac{1}{2}$
  - $\frac{3}{4}$

# Exercise 2: Floating Point Numbers

- For each of the following numbers, specify a binary fractional number  $M$  in  $[1.0, 2.0)$  and a binary number  $E$  such that the number is equal to  $M \cdot 2^E$ 
  - $5 \frac{3}{4}$        $M = 1.0111$        $E = 2$
  - $2 \frac{7}{8}$        $M = 1.0111$        $E = 1$
  - $1 \frac{1}{2}$        $M = 1.1$        $E = 0$
  - $\frac{3}{4}$        $M = 1.1$        $E = -1$

# Floating Point Representation

- Numerical Form:  $(-1)^s \cdot M \cdot 2^E$ 
  - Sign bit  $s$  determines whether number is negative or positive
  - Significand  $M$  normally a fractional value in range  $[1.0, 2.0)$
  - Exponent  $E$  weights value by power of two
- Encoding:

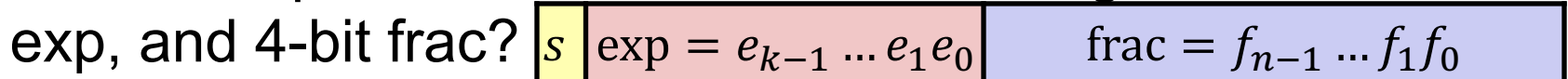


- $s$  is sign bit  $s$
- exp field encodes  $E$  (but is not equal to  $E$ )
  - normally  $E = e_{k-1} \dots e_1 e_0 - (2^{k-1} - 1)$  — **bias**
- frac field encodes  $M$  (but is not equal to  $M$ )
  - normally  $M = 1.f_{n-1} \dots f_1 f_0$

- |                   |
|-------------------|
| Float (32 bits):  |
| • $k = 8, n = 23$ |
| • bias = 127      |
| Double (64 bits)  |
| • $k=11, n = 52$  |
| • bias = 1023     |

# Exercise 3: Floating Point Representations

- What are the values of  $s$ ,  $\text{exp}$ , and  $\text{frac}$  that correspond to the float representation of  $5 \frac{3}{4}$ , assuming 1-bit  $s$ , 3-bit  $\text{exp}$ , and 4-bit  $\text{frac}$ ?



- $(-1)^s \cdot M \cdot 2^E$ ,  $M = 1.0111$ ,  $E = 2$
- $s$  is sign bit  $s$
- $\text{exp}$  field encodes  $E$  (but is not equal to  $E$ )
  - normally  $E = e_{k-1} \dots e_1 e_0 - (2^{k-1} - 1)$
- $\text{frac}$  field encodes  $M$  (but is not equal to  $M$ )
  - normally  $M = 1.f_{n-1} \dots f_1 f_0$
- Under those assumptions, what is the full representation of  $5 \frac{3}{4}$  as a one-byte floating point value? Assume big-endian order.

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- What are the values of  $s$ ,  $\text{exp}$ , and  $\text{frac}$  that correspond to the float representation of  $5 \frac{3}{4}$ , assuming 1-bit  $s$ , 3-bit  $\text{exp}$ , and 4-bit  $\text{frac}$ ?



- $(-1)^s \cdot M \cdot 2^E$ ,  $M = 1.0111$ ,  $E = 2$
- $s$  is sign bit **s** **s = 0**
- $\text{exp}$  field encodes **E** (but is not equal to E) **exp = 101**
  - normally  $E = e_{k-1} \dots e_1 e_0 - (2^{k-1} - 1)$
- $\text{frac}$  field encodes **M** (but is not equal to M) **frac = 0111**
  - normally  $M = 1.f_{n-1} \dots f_1 f_0$
- Under those assumptions, what is the full representation of  $5 \frac{3}{4}$  as a one-byte floating point value? Assume big-endian order. **01010111 = 0x57**

# Example: Floats

- What fractional number is represented by the bytes 0x3ec00000? Assume big-endian order.



- $s$  is sign bit  $s$
- exp field encodes  $E$  (but is not equal to  $E$ )
  - normally  $E = e_{k-1} \dots e_1 e_0 - (2^{k-1} - 1)$
- frac field encodes  $M$  (but is not equal to  $M$ )
  - normally  $M = 1.f_{n-1} \dots f_1 f_0$

- Float (32 bits):
- $k = 8, n = 23$
  - bias = 127

$$(-1)^s \cdot M \cdot 2^E$$

**0011 1110 1100 0000 0000 0000 0000 0000**

$s=0$     $\text{exp}=125$

$\text{frac} = 100000000000000000000000_2$

$s=0$     $E = -2$

$M = 1.100000000000000000000000_2 = 1.5_{10}$

$$(-1)^0 \cdot 1.5_{10} \cdot 2^{-2} = 1 \cdot \frac{3}{2} \cdot \frac{1}{4} = \frac{3}{8} = .375_{10} \qquad (-1)^0 \cdot 1.1_2 \cdot 2^{-2} = .011_2 = \frac{1}{4} + \frac{1}{8} = .375_{10}$$

# Exercise 4: Floats

- What fractional number is represented by the bytes 0x423c0000? Assume big-endian order.



- $s$  is sign bit  $s$
- exp field encodes  $E$  (but is not equal to  $E$ )
  - normally  $E = e_{k-1} \dots e_1 e_0 - (2^{k-1} - 1)$
- frac field encodes  $M$  (but is not equal to  $M$ )
  - normally  $M = 1.f_{n-1} \dots f_1 f_0$

Float (32 bits):

- $k = 8, n = 23$
- bias = 127

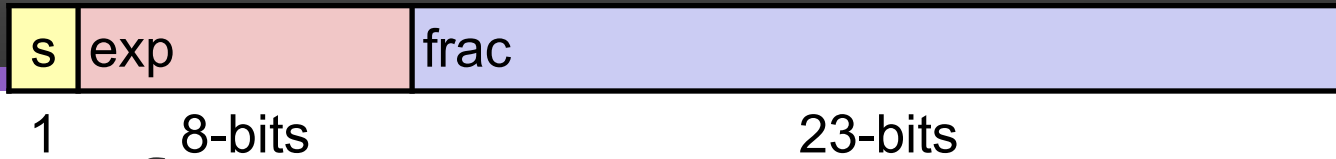
$$(-1)^s \cdot M \cdot 2^E$$

**0100 0010 0011 1100 0000 0000 0000 0000**

$s=0$     $\text{exp}=132$        $\text{frac} = 011110000000000000000000_2$   
 $s=0$     $E = 5$              $M = 1.0111100000000000000000000000_2$

$$(-1)^0 \cdot 1.011110_2 \cdot 2^5 = 101111.0_2 == \mathbf{47}_{10}$$





# Limitation so far...

- What is the smallest non-negative number that can be represented?



s=0 exp=0

frac = 000000000000000000000000<sub>2</sub>

s=0 E = -127

M = 1.000000000000000000000000<sub>2</sub>

$$(-1)^0 \cdot 1.0_2 \cdot 2^{-127} = 2^{-127}$$

# Normalized and Denormalized

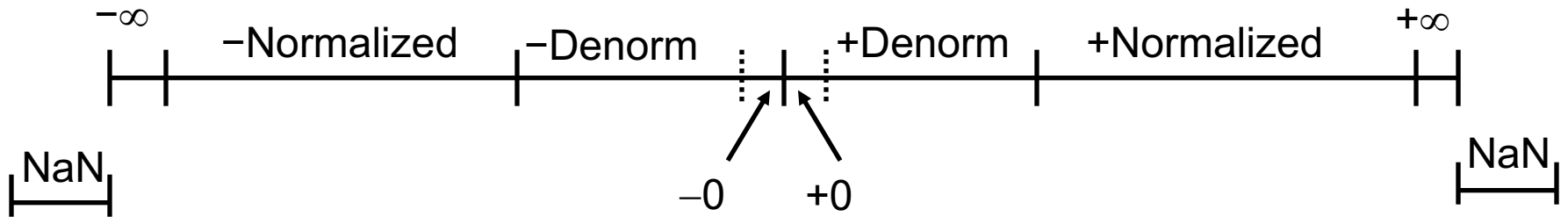


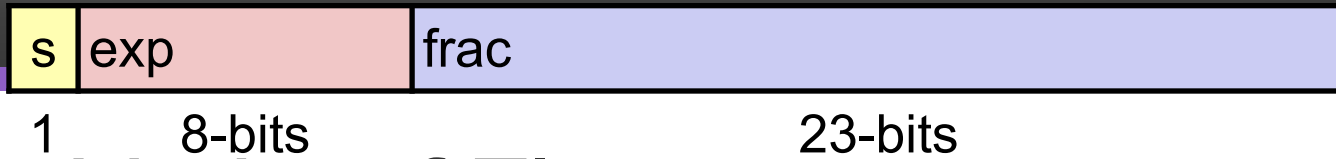
$$(-1)^s \cdot M \cdot 2^E$$

## Normalized Values

- exp is neither all zeros nor all ones (normal case)
  - exponent is defined as  $E = e_{k-1} \dots e_1 e_0 - \text{bias}$ , where  $\text{bias} = 2^{k-1} - 1$  (e.g., 127 for float or 1023 for double)
  - significand is defined as  $M = 1.f_{n-1}f_{n-2} \dots f_0$
- 
- Denormalized Values
    - exp is either all zeros or all ones
    - if all zeros:  $E = 1 - \text{bias}$  and  $M = 0.f_{n-1}f_{n-2} \dots f_0$
    - if all ones: infinity (if frac is all zeros) or NaN (if frac is non-zero)

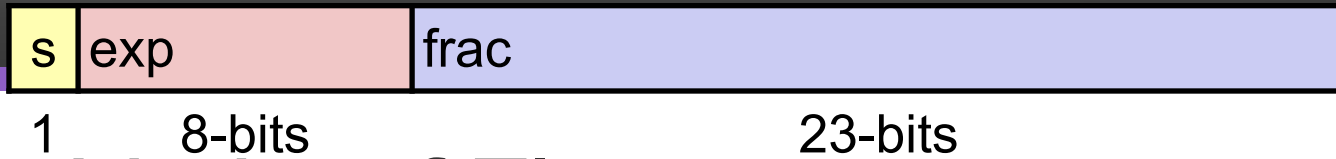
# Visualization: Floating Point Encodings





# Exercise 5: Limits of Floats

- What is the difference between the largest (non-infinite) positive number that can be represented as a (normalized) float and the second-largest?



# Exercise 5: Limits of Floats

- What is the difference between the largest (non-infinite) positive number that can be represented as a (normalized) float and the second-largest?

0111 1111 0111 1111 1111 1111 1111 1111

s=0    E = 127                      M = 1.111111111111111111111111<sub>2</sub>

$$\text{largest} = 1.11111111111111111111111111111111_2 \cdot 2^{127}$$

$$\text{second\_largest} = 1.1111111111111111111111111111110_2 \cdot 2^{127}$$

$$\text{diff} = 0.00000000000000000000000000000001_2 \cdot 2^{127} = 1_2 \cdot 2^{127-23} = \mathbf{2^{104}}$$

# Correctness

- **Example 1: Is  $(x + y) + z = x + (y + z)$ ?**
  - Ints: Yes!
  - Floats:
    - $(2^{30} + -2^{30}) + 3.14 \rightarrow 3.14$
    - $2^{30} + (-2^{30} + 3.14) \rightarrow 0.0$

# Floating Point in C

- C Guarantees Two Levels
  - `float` single precision (32 bits)
  - `double` double precision (64 bits)
- Conversions/Casting
  - Casting between `int`, `float`, and `double` changes bit representation
  - `double/float` → `int`
    - Truncates fractional part
    - Like rounding toward zero
    - Not defined when out of range or NaN: Generally sets to TMin
  - `int` → `double`
    - Exact conversion,
  - `int` → `float`
    - Will round

# Exercise 6: Casting with Floats

- Assume you have three variables: an int x, a float f, and a double d. Assume that all three variables store numeric values (not  $+\infty$ ,  $-\infty$ , or NaN). Which of the following expressions are guaranteed to evaluate to True?
  1. `x == (int)(double)(x)`
  2. `x == (int)(float)(x)`
  3. `d == (double)(float) d`
  4. `f == (float)(double) f`



# Exercise 6: Casting with Floats

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  1. `x == (int)(double)(x)` **True**
  2. `x == (int)(float)(x)` **False**
  3. `d == (double)(float) d` **False**
  4. `f == (float)(double) f` **True**

# Floating Point Operations

- All of the bitwise and logical operations still work
- Float arithmetic operations done by separate hardware unit (FPU)

# Exercise 7: Feedback

1. Rate how well you think this recorded lecture worked
  1. Better than an in-person class
  2. About as well as an in-person class
  3. Less well than an in-person class, but you still learned something
  4. Total waste of time, you didn't learn anything
2. How much time did you spend on this video lecture (including time spent on exercises)?
3. Are there particular questions you'd like me to discuss in the problem session?
4. Do you have any other comments or feedback?