

Hand video

- <http://www.youtube.com/watch?v=-KxjVlaLBmk>

PARSING 3

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CS159 – Spring 2011

some slides adapted from
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Admin

- Assignment 3 out
 - Due Friday at 6pm
- How are things going?
- Where we've been
- Where we're going

Parsing evaluation

- You've constructed a parser
- You want to know how good it is
- Ideas?

Parsing evaluation

The diagram shows a horizontal bar representing a Treebank. It is divided into three segments: a large blue segment labeled 'Train', a smaller light blue segment labeled 'Dev', and a small red segment labeled 'Test'.

- Learn a model using the training set
- Parse the test set without looking at the “correct” trees
- Compare our generated parse tree to the “correct” tree

Comparing trees

Computed Tree P

I eat sushi with tuna

Correct Tree T

I eat sushi with tuna

Ideas?

Comparing trees

- Idea 1: see if the trees match exactly
 - Problems?
 - Will have a low number of matches (people often disagree)
 - Doesn't take into account getting it *almost* right
- Idea 2: compare the constituents

Comparing trees

Computed Tree P

I eat sushi with tuna

Correct Tree T

I eat sushi with tuna

How many constituents match?
How can we turn this into a score?

Evaluation measures

- Precision

$$\frac{\text{\# of correct constituents}}{\text{\# of constituents in the computed tree}}$$
- Recall

$$\frac{\text{\# of correct constituents}}{\text{\# of constituents in the correct tree}}$$
- F1

$$\frac{2 * \text{Precision} * \text{Recall}}{\text{Precision} + \text{Recall}}$$

Comparing trees

Computed Tree P

Constituents: 11
Correct Constituents: 9

Precision: 9/11

Correct Tree T

Constituents: 10

Recall: 9/10

F1: 0.857

Parsing evaluation

- Corpus: Penn Treebank, WSJ

Training: sections 02-21
Development: section 22 (here, first 20 files)
Test: section 23

- Parsing has been fairly standardized to allow for easy comparison between systems

Treebank PCFGs

- Use PCFGs for broad coverage parsing
- Can take a grammar right off the trees (doesn't work well):

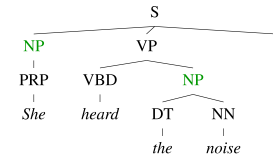
ROOT → S
S → NP VP .
NP → PRP
VP → VBD ADJP
.....

Model	F1
Baseline	72.0

Generic PCFG Limitations

- PCFGs do not use any information about where the current constituent is in the tree
- PCFGs do not rely on specific words or concepts, only general structural disambiguation is possible (e.g. prefer to attach PPs to Nominals)
- MLE estimates are not always the best

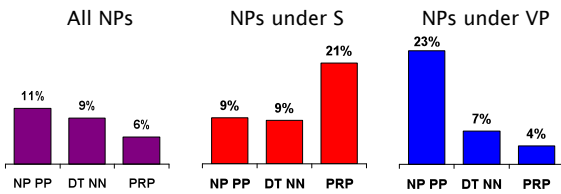
Conditional Independence?



- Not every NP expansion can fill every NP slot
 - A grammar with symbols like "NP" won't be context-free
 - Statistically, conditional independence too strong

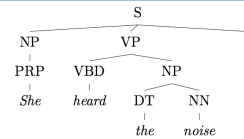
Non-Independence

- Independence assumptions are often too strong.



- Example: the expansion of an NP is highly dependent on the parent of the NP (i.e., subjects vs. objects).
- Also: the subject and object expansions are correlated

Grammar Refinement



- PCFG would treat these two NPs the same... but they're not!
- We can't exchange them: "the noise heard she"
- Idea: expand/refine our grammar
- Challenges:
 - Must refine in ways that facilitate disambiguation
 - Too much refinement -> sparsity problems
 - To little -> can't discriminate (PCFG)

Grammar Refinement

```

graph TD
    S --> NP1[NP]
    S --> VP[VP]
    NP1 --> PRP[PRP]
    PRP --> She[She]
    VP --> VBD[VBD]
    VBD --> heard[heard]
    VP --> NP2[NP]
    NP2 --> DT[DT]
    DT --> the[the]
    NP2 --> NN[NN]
    NN --> noise[noise]
    
```

Ideas?

Grammar Refinement

- Structure Annotation [Johnson '98, Klein&Manning '03]
 - Differentiate constituents based on their local context
- Lexicalization [Collins '99, Charniak '00]
 - Differentiate constituents based on the spanned words
- Constituent splitting [Matsuzaki et al. '05, Petrov et al. '06]
 - Cluster/group words into sub-constituents

Less independence

```

graph TD
    S --> NP1[NP]
    S --> VP[VP]
    NP1 --> PRP[PRP]
    PRP --> I[I]
    VP --> V[V]
    V --> eat[eat]
    VP --> NP2[NP]
    NP2 --> N[N]
    N --> sushi[sushi]
    VP --> PP[PP]
    PP --> IN[IN]
    IN --> with[with]
    PP --> N2[N]
    N2 --> tuna[tuna]
    
```

➔

```

S -> NP VP
NP -> PRP
PRP -> I
VP -> V NP
V -> eat
NP -> N PP
N -> sushi
PP -> IN N
IN -> with
N -> tuna
    
```

We're making a strong independence assumption here!

Markovization

□ Except for the root node, every node in a parse tree has:

- A vertical history/context
- A horizontal history/context

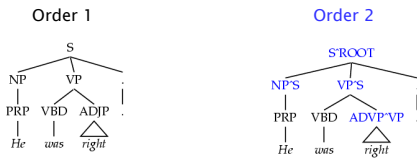
```

graph TD
    S --> NP1[NP]
    S --> VP[VP]
    VP --> VBD[VBD]
    VP --> NP2[NP]
    
```

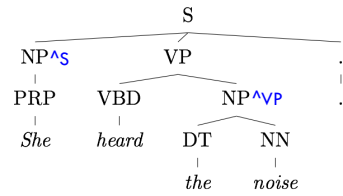
Traditional PCFGs use the full horizontal context and a vertical context of 1

Vertical Markovization

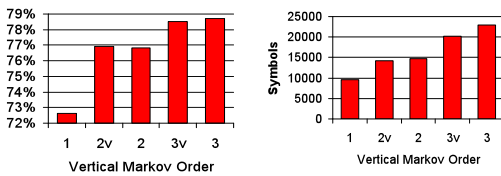
- Vertical Markov order: rewrites depend on past k ancestor nodes.
- Order 1 is most common: aka parent annotation



Allows us to make finer grained distinctions



Vertical Markovization

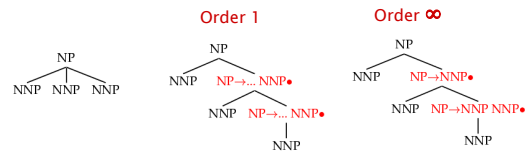


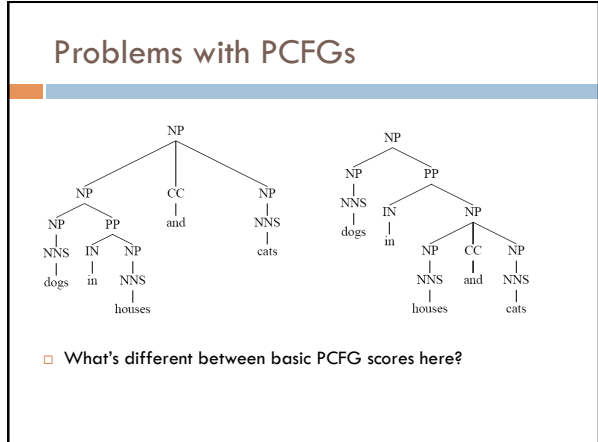
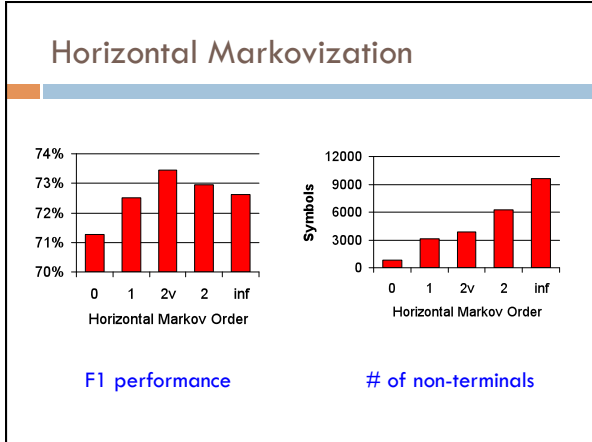
F1 performance

of non-terminals

Horizontal Markovization

- Horizontal Markov order: rewrites depend on past k ancestor nodes
- Order 1 is most common: condition on a single sibling





Example of Importance of Lexicalization

- A general preference for attaching PPs to NPs rather than VPs can be learned by a vanilla PCFG.
- But the desired preference can depend on specific words.

The diagram shows a PCFG parser for the sentence "John put the dog in the pen". A list of grammar rules is provided on the left:

S → NP VP	0.9
S → VP	0.1
NP → Det A N	0.5
NP → NP PP	0.3
NP → PropN	0.2
A → ε	0.6
A → Adj A	0.4
PP → Prep NP	1.0
VP → V NP	0.7
VP → VP PP	0.3

The parser outputs a parse tree where the PP "in the pen" is attached to the NP "the dog", which is the object of the VP "put the dog".

English 27

Example of Importance of Lexicalization

- A general preference for attaching PPs to NPs rather than VPs can be learned by a vanilla PCFG.
- But the desired preference can depend on specific words.

The diagram shows a PCFG parser for the sentence "John put the dog in the pen" with the same list of grammar rules as slide 27. However, the parser outputs a parse tree where the PP "in the pen" is attached to the VP "put the dog", which is crossed out with a large 'X' to indicate it is not the desired structure.

English 28

Lexicalized Trees

How could we lexicalize the grammar/tree?

Lexicalized Trees

- Add "headwords" to each phrasal node
 - Syntactic vs. semantic heads
 - Headship not in (most) treebanks
 - Usually use head rules, e.g.:
 - NP:
 - Take leftmost NP
 - Take rightmost N*
 - Take rightmost JJ
 - Take right child
 - VP:
 - Take leftmost VB*
 - Take leftmost VP
 - Take left child

Lexicalized PCFGs?

- Problem: we now have to estimate probabilities like $VP(put) \rightarrow VBD(put) NP(dog) PP(in)$
- How would we estimate the probability of this rule?

$$\frac{\text{Count}(VP(put) \rightarrow VBD(put) NP(dog) PP(in))}{\text{Count}(VP(put))}$$
- Never going to get these automatically off of a treebank
- Ideas?

One approach

- Combine this with some of the markovization techniques we saw
- Collins' (1999) parser
 - Models productions based on context to the left and the right of the head daughter.
 - $LHS \rightarrow L_n L_{n-1} \dots L_1 H R_1 \dots R_{m-1} R_m$
 - First generate the head (H) and then repeatedly generate left (L_i) and right (R_j) context symbols until the symbol STOP is generated.

Sample Production Generation

$VP_{put} \rightarrow VBD_{put} NP_{dog} PP_{in}$

Note: Penn treebank tends to have fairly flat parse trees that produce long productions.

$P_L(STOP | VP_{put}) * P_H(VBD | VP_{put}) * P_R(NP_{dog} | VP_{put}) * P_R(PP_{in} | VP_{put}) * P_R(STOP | PP_{in})$

Estimating Production Generation Parameters

□ Estimate P_H , P_L , and P_R parameters from treebank data.

$$P_R(PP_{in} | VP_{put}) = \frac{\text{Count}(PP_{in} \text{ right of head in a } VP_{put} \text{ production})}{\text{Count}(\text{symbol right of head in a } VP_{put}\text{-VBD})}$$

$$P_R(NP_{dog} | VP_{put}) = \frac{\text{Count}(NP_{dog} \text{ right of head in a } VP_{put} \text{ production})}{\text{Count}(\text{symbol right of head in a } VP_{put})}$$

- Smooth estimates by combining with simpler models conditioned on just POS tag or no lexical info

$$smP_R(PP_{in} | VP_{put-}) = \lambda_1 P_R(PP_{in} | VP_{put}) + (1 - \lambda_1) (\lambda_2 P_R(PP_{in} | VP_{VBD}) + (1 - \lambda_2) P_R(PP_{in} | VP))$$

Problems with lexicalization

- We've solved the estimation problem
- There's also the issue of performance
- Lexicalization causes the size of the number of grammar rules to explode!
- Our parsing algorithms take too long to finish
- Ideas?

Pruning during search

- We can no longer keep all possible parses around
- We can no longer guarantee that we actually return the most likely parse
- Beam search [Collins 99]
 - In each cell only keep the K most likely hypothesis
 - Disregard constituents over certain spans (e.g. punctuation)
 - F1 of 88.6!

Pruning with a PCFG

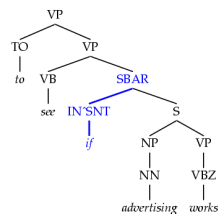
- The Charniak parser prunes using a two-pass approach [Charniak 97+]
 - ▣ First, parse with the base grammar
 - ▣ For each $X:[i,j]$ calculate $P(X | i,j,s)$
 - This isn't trivial, and there are clever speed ups
 - ▣ Second, do the full $O(n^5)$ CKY
 - Skip any $X:[i,j]$ which had low (say, < 0.0001) posterior
 - ▣ Avoids almost all work in the second phase!
- F1 of 89.7!

Tag splitting

- Lexicalization is an extreme case of splitting the tags to allow for better discrimination
- Idea: what if rather than doing it for all words, we just split some of the tags

Tag Splits

- Problem: Treebank tags are too coarse
- Example: Sentential, PP, and other prepositions are all marked IN
- Partial Solution:
 - ▣ Subdivide the IN tag



Annotation	F1	Size
Previous	78.3	8.0K
SPLIT-IN	80.3	8.1K

Other Tag Splits

- UNARY-DT: mark demonstratives as DT^U ("the X" vs. "those")
- UNARY-RB: mark phrasal adverbs as RB^U ("quickly" vs. "very")
- TAG-PA: mark tags with non-canonical parents ("not" is an RB^VP)
- SPLIT-AUX: mark auxiliary verbs with -AUX [cf. Charniak 97]
- SPLIT-CC: separate "but" and "&" from other conjunctions
- SPLIT-%: "%" gets its own tag.

	F1	Size
UNARY-DT	80.4	8.1K
UNARY-RB	80.5	8.1K
TAG-PA	81.2	8.5K
SPLIT-AUX	81.6	9.0K
SPLIT-CC	81.7	9.1K
SPLIT-%	81.8	9.3K

