# **CS062**

## DATA STRUCTURES AND ADVANCED PROGRAMMING

5: Analysis of Algorithms

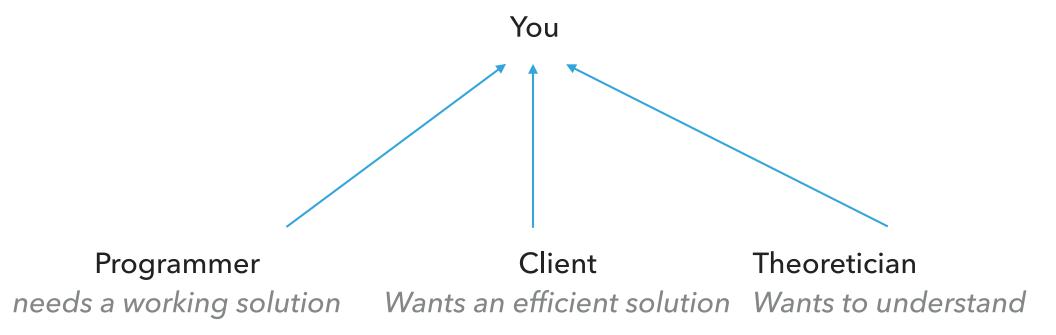


Tom Yeh he/him/his

- Introduction
- Experimental Analysis of Running Time
- Mathematical Models of Running Time
- Order of Growth Classification
- Analysis of Memory Consumption

INTRODUCTION 3

#### **Different Roles**



## Why analyze algorithmic efficiency?

- Predict performance.
- Compare algorithms that solve the same problem.
- Provide guarantees.
- Understand theoretical basis.
- Avoid performance bugs.

Why is my program so slow? Why does it run out of memory?

We can use a combination of experiments and mathematical modeling.

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#### EXPERIMENTAL ANALYSIS OF RUNNING TIME

▶ 3-SUM: Given *n* distinct numbers, how many unordered triplets sum to 0?

- Input: 30 -40 -20 -10 40 0 10 5
- Output: 4
  - 30 -40 10
  - 30 -20 -10
  - -40 40 0
  - -10 0 10

### 3-SUM: brute-force algorithm

```
public class ThreeSum {
public static int count(int[] a) {
         int n = a.length;
         int count = 0;
         for (int i = 0; i < n; i++) {
             for (int j = i+1; j < n; j++) {
                  for (int k = j+1; k < n; k++) {
                       if (a[i] + a[j] + a[k] == 0) {
                           count++;
                  }
              }
                                         public static void main(String[] args) {
                                                  String filename = args[0];
         return count;
                                                  int fileSize = Integer.parseInt(args[1]);
                                                  trv {
                                                       Scanner scanner = new Scanner(new File(filename));
                                                       int intList∏ = new int[fileSize];
                                                       int i=0;
                                                       while(scanner.hasNextInt()){
                                                            intList[i++]=scanner.nextInt();
                                                       Stopwatch timer = new Stopwatch();
                                                       int count = count(intList);
                                                       System.out.println("elapsed time = " + timer.elapsedTime());
                                                       System.out.println(count);
                                                  catch (IOException ioe) {
                                                       throw new IllegalArgumentException("Could not open " + filename, ioe);
                                              }
```

## Empirical Analysis

Input: 8ints.txt
Output: 4 and 0

Input: 1Kints.txt

Output: 70 and 0.081

Input: 2Kints.txt

Output: 528 and 0.38

Input: 2Kints.txt

\* Output: 528 and 0.371

Input: 4Kints.txt

<sup>\*</sup> Output: 4039 and 2.792

Input: 8Kints.txt

<sup>\*</sup> Output: 32074 and 21.623

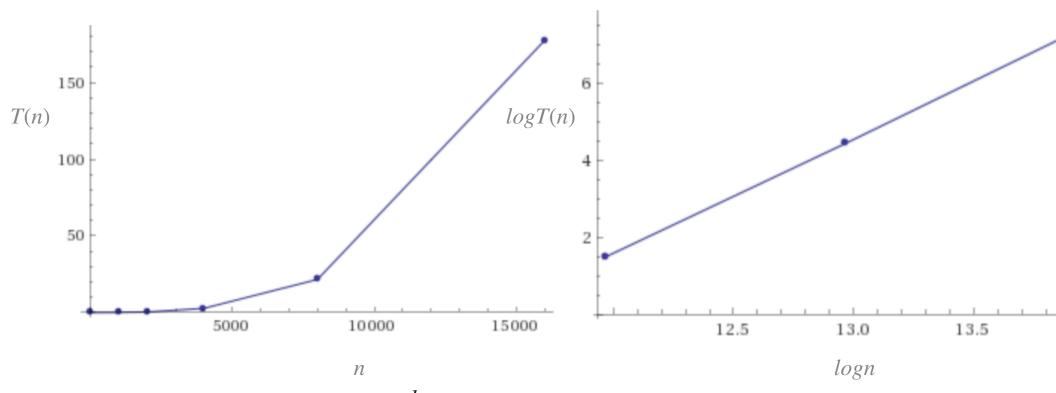
Input: 16Kints.txt

<sup>b</sup> Output: 255181 and 177.344

Input size	Time	
8	0	
1000	0.081	
2000	0.38	
2000	0.371	
4000	2.792	
8000	21.623	
16000	177.344	

Plots and log-log plots

Straight line of slope 3



- Regression:  $T(n) = an^b$  (power-law), where n is problem size.  $\log T(n) = b \log n + \log a$ , where b is slope.
- Experimentally:  $\sim 0.42 \times 10^{-10} n^3$ , in our example for ThreeSum.

Input size		Time	
	8	0	
	1000	0.081	
	2000	0.38	
	4000	2.792	
	8000	21.623	
	16000	177.344	

## Doubling hypothesis

- Doubling input size increases running time by a factor of  $\frac{T(n)}{T(n/2)}$
- Run program doubling the size of input. Estimate factor of growth:

$$T(n) = \frac{an^b}{T(n/2)} = 2^b.$$

- E.g., in our example, for pair of input sizes 8000 and 16000 the ratio is 8.2, therefore b is approximately 3.
- Assuming we know b, we can figure out a.
  - E.g., in our example,  $T(16000) = 177.34 = a \times 16000^3$ .
    - Solving for a we get  $a = 0.42 \times 10^{-10}$ .

#### Practice Time

Suppose you time your code and you make the following observations. Which function is the closest model of T(n)?

A. 
$$n^2$$

B. 
$$6 \times 10^{-4} n$$

C. 
$$5 \times 10^{-9} n^2$$

D. 
$$7 \times 10^{-9} n^2$$

Input size	Time
1000	0
2000	0.0
4000	0.1
8000	0.3
16000	1.3
32000	5.1

Answer

• C. 
$$5 \times 10^{-9} n^2$$

- Ratio is approximately 4, therefore b = 2.
- $T(32000) = 5.1 = a \times 32000^2$ .
- Solving for  $a = 4.98 \times 10^{-9}$ .s

Input size	Time
1000	0
2000	0.0
4000	0.1
8000	0.3
16000	1.3
32000	5.1

- Effects on performance
- System independent effects: Algorithm + input data
  - ightharpoonup Determine b in power law relationships.
- System dependent effects: Hardware (e.g., CPU, memory, cache)
  - + Software (e.g., compiler, garbage collector) + System (E.g., operating system, network, etc).
- Popendent and independent effects determine a in power law relationships.
- Although it is hard to get precise measurements, experiments in Computer Science are cheap to run.

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- Total Running Time
- Popularized by Donald Knuth in the 60s in the four volumes of "The Art of Computer Programming".
  - Knuth won the Turing Award (The "Nobel" in CS) in 1974.
- In principle, accurate mathematical models for performance of algorithms are available.
- Total running time = sum of cost x frequency for all operations.
- Need to analyze program to determine set of operations.
- Exact cost depends on machine, compiler.
- Frequency depends on algorithm and input data.

- Cost of basic operations
- Add < integer multiply < integer divide < floating-point add < floating-point multiply < floating-point divide.</li>

<b>Operation</b>	Example	Nanoseconds
Variable declaration	int a	$c_1$
Assignment statement	a = b	$c_2$
Integer comparison	a < b	$c_3$
Array element access	a[i]	$c_4$
Array length	a.length	$c_5$
1D array allocation	new int[n]	$c_6n$
2D array allocation	new int[n][n]	$c_7 n^2$
string concatenation	s+t	$c_8n$

Example: 1-SUM

ightharpoonup How many operations as a function of n?

```
int count = 0;
for (int i = 0; i < n; i++) {
    if (a[i] == 0) {
        count++;
    }
}</pre>
```

<b>Operation</b>	Frequency
Variable declaration	2
Assignment	2
Less than	n+1
Equal to	n
Array access	n
Increment	n to $2n$

Example: 2-SUM

int count = 0;

ightharpoonup How many operations as a function of n?

```
for (int i = 0; i < n; i++) {
    for (int j = i+1; j < n; j++) {
        if (a[i] + a[j] == 0) {
            count++;
                                        BECOMING TOO TEDIOUS TO CALCULATE
        }
    }
}
                    Operation
                                                    Frequency
                                                     n + 2
                Variable declaration
                                                     n+2
                    Assignment
                                               1/2(n+1)(n+2)
                     Less than
                                                  1/2n(n-1)
                     Equal to
                   Array access
                                                    n(n-1)
                                              1/2n(n+1) to n^2
                    Increment
```

#### Tilde notation

- ightharpoonup Estimate running time (or memory) as a function of input size n.
- Ignore lower order terms.
  - lacktriangle When n is large, lower order terms become negligible.

• Example 1: 
$$\frac{1}{6}n^3 + 10n + 100$$
 ~  $n^3$ 

• Example 2: 
$$\frac{1}{6}n^3 + 100n^2 + 47 \sim n^3$$

• Example 3: 
$$\frac{1}{6}n^3 + 100n^{\frac{2}{3}} + \frac{1/2}{n} \sim n^3$$

▶ Technically 
$$f(n) \sim g(n)$$
 means that  $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 1$ 

## Simplification

- Cost model: Use some basic operation as proxy for running time.
  - E.g., array accesses
- Combine it with tilde notation.

Operation	Frequency	Tilde notation
Variable declaration	n+2	~ n
Assignment	n+2	~ <i>n</i>
Less than	1/2(n+1)(n+2)	$\sim n^2$
Equal to	1/2n(n-1)	$\sim n^2$
Array access	n(n-1)	~ n <sup>2</sup>
Increment	$1/2n(n+1)  \text{to}  n^2$	~ n <sup>2</sup>

 $\sim n^2$  array accesses for the 2-SUM problem

- Back to the 3-SUM problem
- Approximately how many array accesses as a function of input size n?

 $rac{1}{2}$  array accesses.

- Useful approximations for the analysis of algorithms
- ► Harmonic sum:  $H_n = 1 + 1/2 + 1/3 + ... + 1/n$  ~  $\ln n$
- Triangular sum:  $1 + 2 + 3 + ... + n \sim n^2$
- Geometric sum:  $1 + 2 + 4 + 8 + ... + n = 2n 1 \sim n$ , when n power of 2.
- Binomial coefficients:  $\binom{n}{k}$  ~  $\frac{n^k}{k!}$  when k is a small constant.
- Use a tool like Wolfram alpha.

Practice Time

How many array accesses does the following code make?

```
int count = 0;
for (int i = 0; i < n; i++) {
    for (int j = i+1; j < n; j++) {
        for (int k = 1; k < n; k=k*2) {
            if (a[i] + a[j] >= a[k]) {
                 count++;
            }
        }
}
```

A.  $n^2$ 

B.  $n^2 \log n$ 

C.  $n^3$ 

D.  $n^3 \log n$ 

#### MATHEMATICAL MODELS OF RUNNING TIME

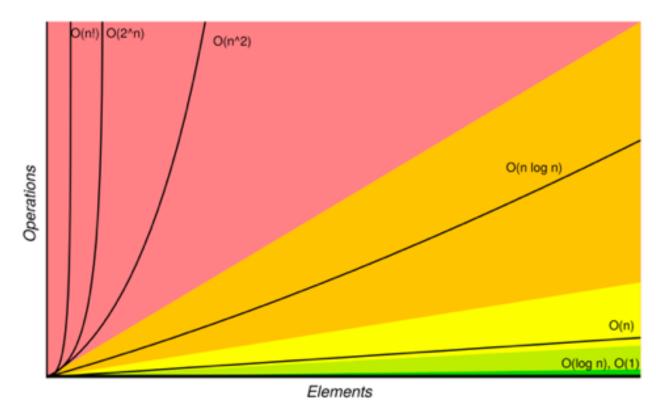
Answer

 $n^2 \log n$ 

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- Order-of-growth
- ▶ Definition: If  $f(n) \sim cg(n)$  for some constant c > 0, then the order of growth of f(n) is g(n).
  - Ignore leading coefficients.
  - Ignore lower-order terms.
- We will use this definition in the mathematical analysis of the running time of our programs as the coefficients depend on the system.
- E.g., the order of growth of the running time of the ThreeSum program is  $n^3$ .

- Common order-of-growth classifications
- Good news: only a small number of function suffice to describe the order-of-growth of typical algorithms.
- ▶ 1: constant
- ▶ log *n*: logarithmic
- n: linear
- $n \log n$ : linearithmic
- $n^2$ : quadratic
- $n^3$ : cubic
- $\triangleright$  2<sup>n</sup>: exponential
- ▶ *n*!: factorial



## Common order-of-growth classifications

Order-of-growth	Name	Typical code	T(n)/T(n/2)
1	Constant	a=b+c	1
$\log n$	Logarithmic	while(n>1){n=n/2;}	~ 1
n	Linear	for(int i =0; i <n;i++{ }</n;i++{ 	2
$n \log n$	Linearithmic	mergesort	~ 2
$n^2$	Quadratic	for(int i =0;i <n;i++) for(int="" j="0;" j<n;j++){}}<="" td="" {=""><td>4</td></n;i++)>	4
$n^3$	Cubic	for(int i =0;i <n;i++) for(int="" j="0;" j<n;j++){="" k="0;" k++){}}}<="" k<n;="" td="" {=""><td>8</td></n;i++)>	8

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#### ANALYSIS OF MEMORY CONSUMPTION

Basics

• Bit: 0 or 1.

Byte: 8 bits.

Megabyte (MB):  $2^{20}$  bytes.

• Gigabyte: 2<sup>30</sup> bytes.

Typical memory usage for primitives and arrays

boolean: 1 byte

byte: 1 byte

char: 2 bytes

int: 4 bytes

float: 4 bytes

long: 8 bytes

double: 8 bytes

Array overhead: 24 bytes

char[]:2n+24 bytes

int[]:4n+24 bytes

double[]:8n+24 bytes

Typical memory usage for objects

```
Object overhead: 16 bytes
```

- Reference: 8 bytes
- Padding: padded to be a multiple of 8 bytes
- Example:

```
public class Date {
    private int day;
    private int month;
    private int year;
}
```

16 bytes overhead + 3x4 bytes for ints + 4 bytes padding =32 bytes

Practice Time

 $^{*}$  How much memory does WeightedQuickUnionUF use as a function of n?

```
public class WeightedQuickUnionUF{
    private int[] parent;
    private int[] size;
    private int count;

    public WeightedQuickUnionUF(int n) {
        parent = new int[n];
        size = new int[n];
        count = 0;

...
}
A. ~4n bytes
B. ~8n bytes
C. ~4n² bytes
D. ~8n² bytes
```

Answer

## B. $\sim 8n$ bytes

- 16 bytes for object overhead
- Each array: 8 bytes for reference + 24 overhead + 4n for integers
- 4 bytes for int
- 4 bytes for padding
- Total  $88 + 8n \sim 8n$

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## Readings:

- Textbook:
  - Chapter 1.4 (pages 172-196, 200-205)
- Website:
  - Analysis of Algorithms: <a href="https://algs4.cs.princeton.edu/14analysis/">https://algs4.cs.princeton.edu/14analysis/</a>

#### **Practice Problems:**

1.4.1-1.4.9

# Finish Java Catch-All Lecture

# Pre and post conditions

- Pre-condition: Specification of what must be true for method to work properly.
- Post-condition: Specification of what must be true at end of method if precondition held before execution.

### Lecture 4: The Catch-All Java Lecture

- Packages
- JavaDoc
- Exceptions
- Assertions
- Text I/O
- Java GUIs
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### I/O streams

- Input stream: a sequence of data into the program.
- Output stream: a sequence of data out of the program.
- Stream sources and destinations include disk files, keyboard, peripherals, memory arrays, other programs, etc.
- Data stored in variables, objects and data structures are temporary and lost when the program terminates. Streams allow us to save them in files, e.g., on disk or CD (!)
- Streams can support different kinds of data: bytes, principles, characters, objects, etc.

### Files

- Every file is placed in a directory in the file system.
- Absolute file name: the file name with its complete path and drive letter.
  - e.g., on Windows: C:\temp\somefile.txt
  - On Mac/Unix: /home/temp/somefile.txt
- File: contains methods for obtaining file properties, renaming, and deleting files. Not for reading/writing!
- CAUTION: DIRECTORY SEPARATOR IN WINDOWS IS \, WHICH IS SPECIAL CHARACTER IN JAVA. SHOULD BE "\\" INSTEAD.

```
/**
 * Demonstrates File class and its operations.
 * @author https://liveexample.pearsoncmg.com/html/TestFileClass.html
 */
import java.io.File;
import java.util.Date;
public class TestFile {
 public static void main(String[] args) {
    File file = new File("some.text");
    System.out.println("Does it exist? " + file.exists());
    System.out.println("The file has " + file.length() + " bytes");
    System.out.println("Can it be read? " + file.canRead());
    System.out.println("Can it be written? " + file.canWrite());
    System.out.println("Is it a directory? " + file.isDirectory());
    System.out.println("Is it a file? " + file.isFile());
    System.out.println("Is it absolute? " + file.isAbsolute());
    System.out.println("Is it hidden? " + file.isHidden());
    System.out.println("Absolute path is " + file.getAbsolutePath());
    System.out.println("Last modified on " + new Date(file.lastModified()));
```

# Writing data to a text file

- PrintWriter output = new PrintWriter(new File("filename"));
- New file will be created. If already exists, discard.
- Invoking the constructor may throw an I/O Exception...
- output.print and output.println work with Strings, and primitives.
- Always close a stream!

#### TEXT I/O

```
/**
 * Demonstrates how to write to text file.
 * @author https://liveexample.pearsoncmg.com/html/WriteData.html
 */
import java.io.File;
import java.io.IOException;
import java.io.PrintWriter;
public class WriteData {
    public static void main(String[] args) {
        PrintWriter output = null;
        try {
            output = new PrintWriter(new File("addresses.txt"));
            // Write formatted output to the file
            output.print("Alexandra Papoutsaki ");
            output.println(222);
            output.print("Tom Yeh ");
            output.println(128);
        } catch (IOException e) {
            System.err.println(e.getMessage());
        } finally {
            if (output != null)
                output.close();
        }
    }
```

# Reading data from a text file

- java.util.Scanner reads Strings and primitives.
- Breaks input into tokens, demoted by whitespaces.
- To read from keyboard: Scanner input = new Scanner(System.in);
- To read from file: Scanner input = new Scanner(new File("filename"));
- Need to close stream as before.
- hasNext() tells us if there are more tokens in the stream. next() returns one token at a time.
  - Variations of next are nextLine(), nextByte(), nextShort(), etc.

#### TEXT I/O

```
* Demonstrates how to read data from a text file.
* @author https://liveexample.pearsoncmg.com/html/ReadData.html
 */
import java.io.File;
import java.io.IOException;
import java.util.Scanner;
public class ReadData {
    public static void main(String[] args) {
        Scanner input = null;
        // Create a Scanner for the file
        try {
            input = new Scanner(new File("addresses.txt"));
            // Read data from a file
            while (input.hasNext()) {
                String firstName = input.next();
                String lastName = input.next();
                int room = input.nextInt();
                System.out.println(firstName + " " + lastName + " " + room);
        } catch (IOException e) {
            System.err.println(e.getMessage());
        } finally {
            if (input != null)
                input.close();
    }
```

### Lecture 4: The Catch-All Java Lecture

- Packages
- JavaDoc
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### **GUIs**

 AWT: The Abstract Windowing Toolkit is found in the package java.awt

- Heavyweight components.
- Implemented with native code written for that particular computer.
- The AWT library was written in six weeks!
- Swing: Java 1.2 extended AWT with the javax.swing package.
  - Lightweight components.
  - Written in Java.

### **JFrame**

- javax.swing.JFrame inherits from java.awt.Frame
- The outermost container in an application.
- To display a window in Java:
  - Create a class that extends JFrame.
  - Set the size.
  - Set the location.
  - Set it visible.

### **JFrame**

```
import javax.swing.JFrame;

public class MyFirstGUI extends JFrame {

   public MyFirstGUI() {
       super("First Frame");
       setSize(500, 300);
       setLocation(100, 100);
       setVisible(true);
   }

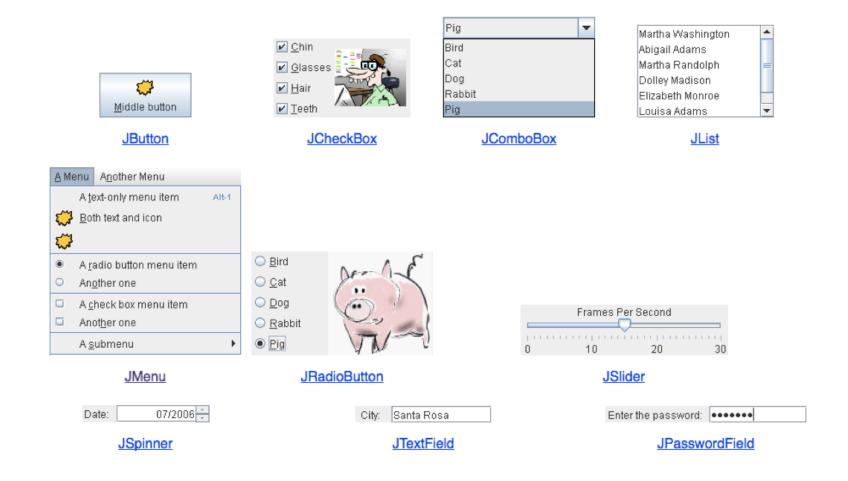
   public static void main(String[] args) {
       MyFirstGUI mfgui = new MyFirstGUI();
   }
}
```



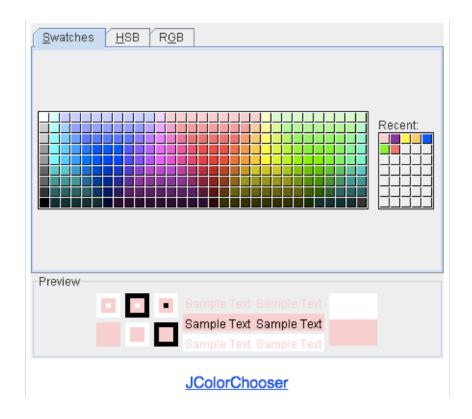
# Closing a GUI

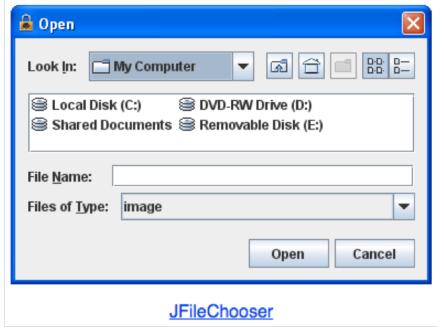
- The default operation of the quit button is to set the visibility to false. The program does not terminate!
- setDefaultCloseOperation can be used to control this behavior.
- Mfgui.setDefaultCloseOperation(JFrame.EXIT\_O N\_CLOSE);
- More options (hide, do nothing, etc).

# **Basic components**



# Interactive displays





# Adding JComponents to JFrame

```
import java.awt.Container;
import java.awt.FlowLayout;
import javax.swing.JButton;
import javax.swing.JFrame;
import javax.swing.JLabel;
import javax.swing.JPanel;
public class GUIDemo extends JFrame {
    public GUIDemo() {
        // Container cp = getContentPane();
        // cp.setLayout(new FlowLayout());
        // cp.add(new JLabel("Demo"));
        // cp.add(new JButton("Button"));
        JPanel mainPanel = new JPanel(new FlowLayout());
        mainPanel.add(new JLabel("Demo"));
        mainPanel.add(new JButton("Button"));
        setContentPane(mainPanel);
        setSize(500, 300);
        setVisible(true);
   }
    public static void main(String[] args) {
        GUIDemo qd = new GUIDemo();
        qd.setDefaultCloseOperation(JFrame.EXIT_ON_CLOSE);
   }
}
```

```
Demo Button
```

### Lecture 4: The Catch-All Java Lecture

- Packages
- JavaDoc
- Exceptions
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- ▶ Text I/O
- Java GUIs
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# Java Graphics

- Create arbitrary objects you want to draw:
  - Rectangle2D.Double, Line.Double, etc.
  - Constructors take x, y coordinates and dimensions, but don't actually draw items.
- All drawing takes place in paint method using a "graphics content".
- Triggered implicitly by uncovering window or explicitly by calling the repaint method.
  - Adds repaint event to draw queue and eventually draws it.

# Graphics context

- All drawing is done in paint method of component.
- public void paint (Graphics g)
- g is a graphics context provided by the system.
- "pen" that does the drawing.
- You call repaint() not paint().
- Need to import classes from java.awt.\*, java.geom.\*, javax.swing.\*
- See MyGraphicsDemo.

# General graphics applications

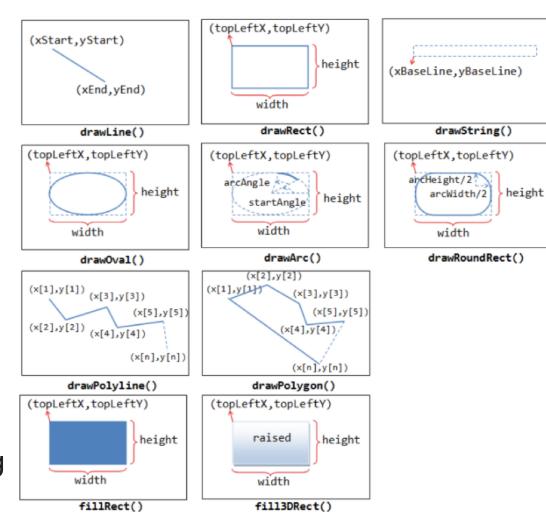
- Create an extension of component (JPanel or JFrame) and implement paint method in subclass.
- At start of paint() method cast g to Graphics2D.
- Call repaint() every time you want the component to be redrawn.

# Geometric objects

- Objects from classes Rectangle2D.Double, Line2D.Double, etc. from java.awt.geom
- Constructors take parameters x, y, width, height but don't draw object.
- Rectangle2D.Double
- ▶ Ellipse2D.Double
- Arc2D.Double
- etc.

## Drawing

- myObj.setFrame(x, y, width, height): moves and sets size of component
- g2.draw(my0bj): gives outline
- g2.fill(my0bj): gives filled
   version
- g2.drawString("a
   string", x, y): draws string



# java.awt.Color



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### **Action listeners**

- Define what should be done when a user performs certain operations.
  - e.g., clicks a button, chooses a menu item, presses Enter, etc.
- The application should implement the <u>ActionListener</u> interface.
- An instance of the application should be registered as a listener on one or more components.
- Implement the actionPerformed method.

### Mouse listeners

- Define what should be done when a user enters a component, presses or releases one of the mouse buttons.
- The application should implement the **MouseListener** interface
  - Implement methods mousePressed, mouseReleased, mouseEntered, mouseExited, and mouseClicked.
- Or extend the MouseAdapter class
  - Which has default implementations of all of them.

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# Readings:

- Oracle's guides:
  - JavaDoc: <a href="https://www.oracle.com/technetwork/articles/java/index-137868.html">https://www.oracle.com/technetwork/articles/java/index-137868.html</a>
  - Exceptions: <a href="https://docs.oracle.com/javase/tutorial/essential/exceptions/">https://docs.oracle.com/javase/tutorial/essential/exceptions/</a>
  - Assertions: <a href="https://docs.oracle.com/javase/8/docs/technotes/guides/language/assert.html">https://docs.oracle.com/javase/8/docs/technotes/guides/language/assert.html</a>
  - ► I/O: <a href="https://docs.oracle.com/javase/tutorial/essential/io">https://docs.oracle.com/javase/tutorial/essential/io</a>
  - Writing Event Listeners: <a href="https://docs.oracle.com/javase/tutorial/uiswing/events/index.html">https://docs.oracle.com/javase/tutorial/uiswing/events/index.html</a>
- Java Graphics: <a href="https://github.com/pomonacs622021fa/Handouts/blob/master/graphics.md">https://github.com/pomonacs622021fa/Handouts/blob/master/graphics.md</a>
- Programming with GUIs: <a href="https://github.com/pomonacs622021fa/Handouts/blob/main/JavaGUI.pdf">https://github.com/pomonacs622021fa/Handouts/blob/main/JavaGUI.pdf</a>
- Swing/GUI Cheat Sheet: <a href="https://github.com/pomonacs622021fa/Handouts/blob/master/swing.md">https://github.com/pomonacs622021fa/Handouts/blob/master/swing.md</a>
- Textbook:
  - Chapter 1.2 (Page 107)