# CSO62 <br> DAIA STRUCTURES AND ADVANCED PROGRAMMING 

## 11: Sorting Fundamentals and Comparators

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## Bio: Professional

- Dr. Tom Yeh
- Ph.D.
- UCLA
- B.S
- UC Berkeley
- Research Interests
- Computer Architecture
- Machine Learning

- Architectural Acceleration of Machine Learning:

Ultra-low precision training and inference

- Computer architect by training. Worked on CPU designs at a startup, Intel, Sun Micro.



## intel

## Bio: Personal Interests




## Lecture 11: Sorting Fundamentals

, Midterm Grade Distribution

- Iterator and Iterable Interfaces
- Sorting


## Grade Statistics for Midterm I

Grade Distribution


Average (mean) grade 64.94
Median grade 67.00
Standard deviation 8.25
Lowest grade 46.00
Highest grade 77.00 Maximum $=80$
Total graded 32

## Iterable Interface

- What is an Iterable?
- Class with a method that returns an Iterator
- What is an Iterator?
- Class with methods hasNext() and next()
- Why make data structures Iterable?
- To support elegant code
- Interface that allows an object to be the target of a for-each loop:
// "foreach" statement (shorthand) for(String s: stack)\{

System.out.println(s);
\}
myList.forEach(System.out::println);

```
public interface Iterable<Item>
{
        Iterable<Item> iterator();
}
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove; // don't use
}
// equivalent code (longhand)
Iterator<String> i = stack.iterator();
while (i.hasNext()
{
    String s = i.next();
    StdOut.println(s);
}
```

Example: making ArrayList iterable

- Start with a class, implement iterable, within class you will implement iterator

```
public class ArrayList<Item> implements Iterable<Item> {
    // Have the class implement Iterable
    public Iterator<Item> iterator() {
        // Need this method iterator that returns an iterator
        return new ArrayListIterator();
    }
    // Have this inner class which implements Iterator
    private class ArrayListIterator implements Iterator<Item> {
        private int i = 0;
        public boolean hasNext() {
            return i < n;
        }
        public Item next() {
            return a[i++];
        }
        public void remove() {
                throw new UnsupportedOperationException();
        }
    }
}
```


## Example: making Stack iterable (linked-list implementation)

```
public class Stack<Item> implements Iterable<Item> {
    // Have the class implement Iterable
    public Iterator<Item> iterator() {
        // Need this method iterator that returns an iterator
        return new stackIterator();
    }
    // Have this inner class which implements Iterator
    private class stackIterator implements Iterator<Item> {
        //
        private Node current = first;
        public boolean hasNext() {
            return current != null;
        }
        public Item next() {
            Item item = current.item;
            current = current.next;
            return item;
        }
        public void remove() {
                throw new UnsupportedOperationException();
        }
    }
}
```


## Iterator Interface

- Interface that allows us to traverse a collection one element at a time.

```
public interface Iterator<E> {
    //returns true if the iteration has more elements
    //that is if next() would return an element instead of throwing an exception
    boolean hasNext();
    //returns the next element in the iteration
    //post: advances the iterator to the next value
    E next();
    //removes the last element that was returned by next
    //optional, better avoid it altogether
    // default void remove();
}
```


## Traversing ArrayList

" Once you implement the Iterable interface, here are some valid ways to traverse ArrayList and print its elements one by one.

```
for(String elt:a1) {
    System.out.println(elt);
}
a1.forEach(System.out::println);
a1.iterator().forEachRemaining(System.out::println);
```

ا Iterable: https://docs.oracle.com/javase/8/docs/api/java/lang/Iterable.html

## Lecture 11: Sorting Fundamentals

- Introduction
- Selection sort
- Insertion sort

Why study sorting?

contacts


FedEx packages

- It's more common than you think: e.g., sorting flights by price, contacts by last name, files by size, emails by day sent, neighborhoods by zipcode, etc.
- Good example of how to compare the performance of different algorithms for the same problem.
- Some sorting algorithms relate to data structures.
- Sorting your data will often be a good starting point when solving other problems (keep that in mind for interviews).


## Definitions

- Sorting: the process of arranging $n$ items of a collection in nondecreasing order (e.g., numerically or alphabetically).
- Rearrange array of N items into ascending order
- Key: assuming that an item consists of multiple components, the key is the property based on which we sort items.
- Goal: sort any type of data accordina to the key

|  | Chen | 3 | A | 991-878-4944 | 308 Blair |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Rohde | 2 | A | 232-343-5555 | 343 Forbes |
|  | Gazsi | 4 | B | 766-093-9873 | 101 Brown |
| item $\longrightarrow$ | Furia | 1 | A | 766-093-9873 | 101 Brown |
|  | Kanaga | 3 | B | 898-122-9643 | 22 Brown |
|  | Andrews | 3 | A | 664-480-0023 | 097 Little |
| key $\longrightarrow$ | Battle | 4 | C | 874-088-1212 | 121 Whitman |


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| :---: | :---: | :---: | :---: |
| Battle | 4 | C | $874-088-1212$ |
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| Rohde | 2 | A | $232-343-5555$ |

## Total order: It must be possible to put items in order

- Sorting is well defined if and only if there is total order.
- Total order: a binary relation $\leq$ on a set $C$ that satisfies the following statements for all $v, w$, and $x$ in $C$ :
- Connexity: $v \leq w$ or $w \leq v$.
- Transitivity: for all $v, w, x$, if $v \leq w$ and $w \leq x$ then $v \leq x$.
- Antisymmetry: if both $v \leq w$ and $w \leq v$, then $v=w$.
- Ex: standard order for numbers, alphabetical order for strings, chronological order for dates


How many different algorithms for sorting can there be?

- Adaptive heapsort
- Bitonic sorter
- Block sort
- Bubble sort
- Bucket sort
- Cascade mergesort
- Cocktail sort
, Comb sort
- Flashsort
, Gnome sort
- Heapsort
- Insertion sort
- Library sort
- Mergesort
- Odd-even sort
- Pancake sort
- Quicksort
- Radixsort
- Selection sort
- Shell sort
- Spaghetti sort
- Treesort
- ...


## Rules of the game - Comparing

- We will be sorting arrays of $n$ items, where each item contains a key. In Java, objects are responsible in telling us how to naturally compare their keys.
- Let's say we want to sort an array of objects of type T.
- Our class T should implement the Comparable interface (more on this in a few lectures). We will need to implement the compareTo method to satisfy a total order.
- Sort has no dependence on data type

Comparable interface (built in to Java)

```
public interface Comparable<Item>
{
    public int compareTo(Item that);
}
```

    sort implementation
    public static void sort(Comparable[] a)
    \{
int $N=$ a.length;
for (int $\mathbf{i}=0 ; \mathbf{i}<N ; \mathbf{i}++$ )
for (int $j=i ; j>0 ; j--$ )
if (a[j].compareTo $(a[j-1])<0)$
$\rightarrow$ exch(a, j, j-1);
else break;

## Rules of the game - Comparing

p public int compareTo(T that)

- Implement it so that v.compareTo(w):
- Returns $>0$ (positive) if $v$ is greater than $w$.
- Returns $<0$ (negative) if $v$ is smaller than $w$.
- Returns 0 if $v$ is equal to $w$.
- Is a total order.
- Java classes such as Integer, Double, String, File all implement Comparable.
- Need to implement the Comparable interface for user-defined comparable types.
- compareTo allows us to use the same sorting algorithms on different data


## Implementing the Comparable interface

```
public class Date implements Comparable<Date>
{
    private final int month, day, year;
    pub1ic Date(int m, int d, int y)
    {
        month = m;
        day = d;
        year = y;
    }
    public int compareTo(Date that)
    {
        if (this.year < that.year ) return -1;
        if (this.year > that.year ) return +1;
        if (this.month < that.month) return -1;
        if (this.month > that.month) return +1;
        if (this.day < that.day ) return -1;
        if (this.day > that.day ) return +1;
        return 0;
    }
}
```


## Two primary sorting abstractions

- We will refer to data only through comparisons and exchanges.
- Less: Is v less than w?

```
private static boolean less(Comparable v, Comparable w) {
    return v.compareTo(w) < 0;
}
- Exchange: swap item in array \(a[]\) at index \(i\) with the one at index
``` j.
private static void exch(Comparable[] a, int i, int j) \{
    Comparable swap \(=a[i]\);
    \(a[i]=a[j] ;\)
    \(a[j]=s w a p ;\)
\}
- Sort method will use these 2 methods

\section*{Which total order property is violated?}
- public class Temperature implements Comparable<Temperature> \{
- private final double degrees;
- // Constructor code ....
- public int compareTo(Temperature that) \{
- double EPSILON = 0.1;
- if (this.degrees < that.degrees - EPSILON) return -1;
- if (this.degrees \(>\) that.degrees + EPSILON) return +1 ; return 0;
\}
Connexity: \(v \leq w\) or \(w \leq v\).
- Transitivity: for all \(v, w, x\), if \(v \leq w\) and \(w \leq x\) then \(v \leq x\).

Antisymmetry: if both \(v \leq w\) and \(w \leq v\), then \(v=w\).

Rules of the game - Cost model
- Sorting cost model: we count compares and exchanges. If a sorting algorithm does not use exchanges, we count array accesses.
- Compares, exchanges, array accesses give us an estimate on the time complexity
- There are other types of sorting algorithms where they are not based on comparisons (e.g., radixsort). We will not see these in CS62 but stay tuned for CS140.

\section*{Rules of the game - Memory usage}
- Extra memory: often as important as running time. Sorting algorithms are divided into two categories:
- In place: use constant or logarithmic extra memory, beyond the memory needed to store the items to be sorted.
- Not in place: use linear auxiliary memory.

Rules of the game - Stability
- Stable: sort repeated elements in the same order that they appear in the input.

Stable


\section*{Lecture 11: Sorting Fundamentals}
- Introduction
, Selection sort
- Insertion sort

\section*{Selection sort}

- Divide the array in two parts: a sorted sulbarray on the left and an unsorted on the right.
- Repeat:
- Find the smallest element in the unsorted subarray.
- Exchange it with the leftmost unsorted element.
- Move subarray boundaries one element to the right.

Selection sort

- Repeat:
, Find the smallest element in the unsorted subarray.
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- Move subarray boundaries one element to the right.

Selection sort
\begin{tabular}{|l|l|l|l|l|l|l|l|}
\hline 1 & 44 & 38 & 5 & 47 & 3 & 36 & 26 \\
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, Repeat:
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- Move subarray boundaries one element to the right.

\section*{Selection sort}
public static void sort(Comparable[] a) \{
- Move the pointer to the right.
\[
i++;
\]

- Identify index of minimum entry on right.
```

int min = i;
for (int j = i+1; j < N; j++)
if (less(a[j], a[min]))
min = j;

```

- Exchange into position.
```

exch(a, i, min);

```


\section*{Selection sort}
```

public static void selection_sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
int min = i;
for (int j = i+1; j < n; j++) {
if (less(a[j], a[min]))
min = j;
}
exch(a, i, min);
}
}

- Invariants: At the end of each iteration $i$ :

```
- the array \(a\) is sorted in ascending order for the first \(i+1\) elements \(a[0\)... \(i]\)
- no entry in \(a[i+1 \ldots n-1]\) is smaller than any entry in \(a[0 \ldots i]\)

\section*{Selection sort: mathematical analysis for worst-case}
```

public static void selection_sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
int min = i;
for (int j = i+1; j < n; j++) {
if (less(a[j], a[min]))
min = j;
}
exch(a, i, min);
}
}

* Comparisons:
| Exchanges:
- In-place?
- Stable?

```

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}
}

- Comparisons: 1+2+···+(n-2)+(n-1)~\mp@subsup{n}{}{2}/2, that is O(n2).
- Exchanges:
- In-place?
- Stable?

```

\section*{Selection sort: mathematical analysis for worst-case}
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- Comparisons: }1+2+···+(n-2)+(n-1)~\mp@subsup{n}{}{2}/2,\mathrm{ that is }O(\mp@subsup{n}{}{2})\mathrm{ .

```
- Exchanges: \(n\) or \(O(n)\), making it useful when exchanges are expensive.
- Running time is quadratic, even if input is sorted. (Does NOT depend on the input)
- In-place?
- Not stable?

\section*{Selection sort: mathematical analysis for worst-case}
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public static void selection_sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
int min = i;
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if (less(a[j], a[min]))
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```
- Exchanges: \(n\) or \(O(n)\), making it useful when exchanges are expensive.
- Running time is quadratic, even if input is sorted. (Does NOT depend on the input)
- In-place, requires almost no additional memory.
- Not stable, think of the array [5_a, 3, 5_b, 1] which will end up as [1, 3, 5_b, 5_a].

\section*{Practice Time}
- Using selection sort, sort the array with elements
[12,10,16,11,9,7].
- Visualize your work for every iteration of the algorithm.

\section*{Answer}
1st

\section*{Lecture 11: Sorting Fundamentals}
- Introduction
- Selection sort
- Insertion sort

\section*{Insertion sort}

- Keep a partially sorted subarray on the left and an unsorted subarray on the right
- Repeat:
- Examine the next element in the unsorted subarray.
- Find the location it belongs within the sorted subarray and insert it there. (exchange with larger entry to the left)
- Move subarray boundaries one element to the right.

\section*{Insertion sort}

- Repeat:
- Examine the next element in the unsorted subarray.
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Insertion sort

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\hline
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\subsection*{2.1 Insertion Sort Demo}

\author{
Robert Sedgewick I Kevin Wayne
}
http://algs4.cs.princeton.edu

\section*{In case you didn't get this...}
" https://www.youtube.com/watch?v=ROalU37913U

\section*{Insertion sort}
public static void sort(Comparable[] a) \{
- Move the pointer to the right.
```

i++;

```

- Moving from right to left, exchange a[i] with each larger entry to its left.
```

for (int j = i; j > 0; j--)
if (less(a[j], a[j-1]))
exch(a, j, j-1);
else break;

```


\section*{Insertion sort}
```

public static void sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
for (int j = i; j > 0; j--) {
if(less(a[j], a[j-1]))
exch(a, j, j-1);
else
break;
}
}
}

- Invariants: At the end of each iteration $i$ :

```
* the array \(a\) is sorted in ascending order for the first \(i+1\) elements \(a[0 \ldots i]\)

\section*{Insertion sort: mathematical analysis for worst-case}
```

public static void sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
for (int j = i; j > 0; j--) {
if(less(a[j], a[j-1]))
exch(a, j, j-1);
else
break;
}
}
}
Comparisons: 0+1+2+···+(n-2)+(n-1)~\mp@subsup{n}{}{2}/2, that is O( n
| Exchanges:?
\ In-place?

- Stable?

```

\section*{Insertion sort: mathematical analysis for worst-case}
```

public static void sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
for (int j = i; j > 0; j--) {
if(less(a[j], a[j-1]))
exch(a, j, j-1);
else
break;
}
}
}
Comparisons: 0+1+2+···+(n-2)+(n-1)~\mp@subsup{n}{}{2}/2, that is O(n}\mp@subsup{n}{}{2})\mathrm{ .

* Exchanges: 0+1+2+···+(n-2)+(n-1)~\mp@subsup{n}{}{2}/2, that is O(\mp@subsup{n}{}{2}).

```

Worst-case running time is quadratic. Worst case = array sorted in reverse order.
- Every element moves all the way to the left.
- In-place, requires almost no additional memory.
- Stable

\section*{Insertion sort: average and best case}
```

public static void sort(Comparable[] a) {
int n = a.length;
for (int i = 0; i < n; i++) {
for (int j = i; j > 0; j--) {
if(less(a[j], a[j-1]))
exch(a, j, j-1);
else
break;
}
}
}

```
- Average case: quadratic for both comparisons and exchanges \(\sim n^{2} / 4\) when sorting a randomly ordered array. (2X faster than selection sort on average)
- Expect each entry to move halfway back: \(0+0.5+1+\ldots(n-1) / 2 \sim(n / 2)^{*}(n / 2) \sim n \wedge 2 / 4\)
- Best case: \(n-1\) comparisons (validate) and 0 exchanges for an already sorted array.

\section*{Practice Time (cards)}
- Using insertion sort, sort the array with elements
[12,10,16,11,9,7].
- Visualize your work for every iteration of the algorithm.

\section*{Answer}
1st

\section*{Insertion Sort}
- For partially-sorted arrays, insertion sort runs in linear time
- Number of exchanges equals number of inversions
- Inversion = pair of keys that are out of order

- Ex1: Appending a subarray of size 10 to a sorted subarray of size N
- Ex2: An array of size N with only 10 entries out of place

\section*{Lecture 11: Sorting Fundamentals and Comparators}
- Introduction
- Selection sort
- Insertion sort
- Comparators

\section*{Comparable}
- Interface with a single method that we need to implement: public int compareTo(T that)
- Implement it so that v.compareTo(w):
- Returns \(>0\) if v is greater than w .
- Returns \(<0\) if v is smaller than w .
- Returns 0 if \(v\) is equal to \(w\).
- Corresponds to natural ordering.

How to make your class T comparable?
1. Implement Comparable<T> interface.
2. Implement compareTo(T that) method to compare this T object to that based on natural ordering.

\section*{Comparator}
- Sometimes the natural ordering is not the type of ordering we want.
- Comparator is an interface which allows us to dictate what kind of ordering we want by implementing the method: public int compare(T this, \(T\) that)
- Implement it so that compare \((v, w)\) :
- Returns \(>0\) if \(v\) is greater than \(w\).
- Returns \(<0\) if \(v\) is smaller than \(w\).
- Returns 0 if \(v\) is equal to \(w\).

How to define an alternative ordering for your class T?
1. Make a new class that implements Comparator<T> interface.
2. Implement compare (T t1, T t2) method to compare t1 object to t 2 based on an alternative ordering.
3. Alternatively, implement an anonymous inner class:
```

public static Comparator<T> nameOfComparator = new Comparator<T>()
{
@Override // indicates method overriding the superclass' method
public int compare(T t1, T t2) {
{
//return something;
}
};

```

\section*{The Java Collections Framework}


\section*{Sorting Collections}
- Collections class contains:
' public static <T extends Comparable<? super T>> void sort(List<T> list)
* Generic methods introduce their own type parameters.
* Use extends with generics, even if the type parameter implements an interface.
- The class T itself or one of its ancestors implements Comparable.
- Collections.sort(list)
- Implemented as optimized mergesort, that is timsort.
- If list's elements do not implement Comparable, throw ClassCastException.

\section*{Alternative sorting of Collections}
- Collections class contains:
b static <T> void sort(List<T> list, Comparator<? super T> c)
- Collections.sort(list, someComparator);
- Collections.sort(list, new ExternalComparatorClass()); or:
- Collections.sort(list, T.InnerAnonymousClass);
- If list's elements do not implement Comparable or cannot be compared with Comparator, throw ClassCastException.

\section*{Example: Natural and alternative sorting for Employees}
https://github.com/pomonacs622021fa/LectureCode/blob/main/ Lecture11/Employee.java

\section*{Lecture 11: Sorting Fundamentals and Comparators}
- Introduction
- Selection sort
- Insertion sort
- Comparators

\section*{Readings:}
- Textbook:
- Chapter 2.1 (pages 244-262), Chapter 2.1 (Page 247), Chapter 2.5 (Pages 338-339)
- Website:
- Elementary sorts: https://algs4.cs.princeton.edu/21elementary/
- Code: https://algs4.cs.princeton.edu/21elementary/Selection.java.html and https://algs4.cs.princeton.edu/21elementary/Insertion.java.html
- Oracle documentation:
- Collections: https://docs.oracle.com/javase/tutorial/collections/intro/index.html
- Comparable: https://docs.oracle.com/javase/8/docs/api/java/lang/Comparable.html
- Comparator: https://docs.oracle.com/javase/8/docs/api/java/util/Comparator.html

\section*{Practice Problems:}
2.1.1-2.1.8```

