CS062 DATA STRUCTURES AND ADVANCED PROGRAMMING

11: Sorting Fundamentals and Comparators



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Bio: Professional

- Dr. Tom Yeh
 - Ph.D. UCLA
 - B.S. UC Berkeley
- Research Interests
 - Computer Architecture
 - Machine Learning





 Computer architect by training. Worked on CPU designs at a startup, Intel, Sun Micro.







Bio: Personal Interests



















Lecture 11: Sorting Fundamentals

- Midterm Grade Distribution
- Iterator and Iterable Interfaces
- Sorting

Grade Statistics for Midterm I



Grade Distribution

×

Iterable Interface

• What is an **Iterable**?

- Class with a method that returns an Iterator
- What is an **Iterator**?
 - Class with methods hasNext() and next()
- Why make data structures Iterable?
 - To support elegant code
 - Interface that allows an object to be the target of a for-each loop:

```
// "foreach" statement (shorthand)
for(String s: stack){
   System.out.println(s);
```

```
}
```

```
et of }
// equivalent code (longhand)
Iterator<String> i = stack.iterator();
while (i.hasNext()
{
    String s = i.next();
    StdOut.println(s);
}
```

```
https://docs.oracle.com/javase/8/docs/api/java/lang/Iterable.html
```

```
public interface Iterable<Item>
```

```
Iterable<Item> iterator();
```

```
}
```

```
public interface Iterator<Item>
{
    boolean hasNext();
    Item next();
    void remove; // don't use
}
```

ITERATORS

}

}

Example: making ArrayList iterable

> Start with a class, implement iterable, within class you will implement iterator

```
public class ArrayList<Item> implements Iterable<Item> {
    // Have the class implement Iterable
    public Iterator<Item> iterator() {
        // Need this method iterator that returns an iterator
        return new ArrayListIterator();
    }
```

// Have this inner class which implements Iterator
private class ArrayListIterator implements Iterator<Item> {

```
private int i = 0;
public boolean hasNext() {
    return i < n;
}
public Item next() {
    return a[i++];
}
public void remove() {
    throw new UnsupportedOperationException();
}
```

ITERATORS

}

Example: making Stack iterable (linked-list implementation)

```
public class Stack<Item> implements Iterable<Item> {
     // Have the class implement Iterable
   public Iterator<Item> iterator() {
       // Need this method iterator that returns an iterator
       return new stackIterator();
     }
   // Have this inner class which implements Iterator
   private class stackIterator implements Iterator<Item> {
       private Node current = first;
       public boolean hasNext() {
              return current != null;
       }
       public Item next() {
           Item item = current.item;
           current = current.next;
           return item;
       }
       public void remove() {
               throw new UnsupportedOperationException();
       }
   }
```

}

Iterator Interface

Interface that allows us to traverse a collection one element at a time.

```
public interface Iterator<E> {
    //returns true if the iteration has more elements
    //that is if next() would return an element instead of throwing an exception
    boolean hasNext();
```

```
//returns the next element in the iteration
//post: advances the iterator to the next value
E next();
```

```
//removes the last element that was returned by next
//optional, better avoid it altogether
// default void remove();
```

Traversing ArrayList

Once you implement the Iterable interface, here are some valid ways to traverse ArrayList and print its elements one by one.

```
for(String elt:a1) {
    System.out.println(elt);
}
```

```
a1.forEach(System.out::println);
```

a1.iterator().forEachRemaining(System.out::println);

Iterable: <u>https://docs.oracle.com/javase/8/docs/api/java/lang/Iterable.html</u>

Lecture 11: Sorting Fundamentals

- Introduction
- Selection sort
- Insertion sort

Why study sorting?





- FedEx packages
- It's more common than you think: e.g., sorting flights by price, contacts by last name, files by size, emails by day sent, neighborhoods by zipcode, etc.
- Good example of how to compare the performance of different algorithms for the same problem.
- Some sorting algorithms relate to data structures.
- Sorting your data will often be a good starting point when solving other problems (keep that in mind for interviews).

Definitions

- Sorting: the process of arranging n items of a collection in nondecreasing order (e.g., numerically or alphabetically).
 - Rearrange array of N items into ascending order
- Key: assuming that an item consists of multiple components, the key is the property based on which we sort items.
- Goal: sort any type of data according to the key



Andrews	3	А	664-480-0023
Battle	4	С	874-088-1212
Chen	3	А	991-878-4944
Furia	1	А	766-093-9873
Gazsi	4	В	766-093-9873
Kanaga	3	В	898-122-9643
Rohde	2	А	232-343-5555

Total order: It must be possible to put items in order

- Sorting is well defined if and only if there is total order.
- ► Total order: a binary relation ≤ on a set C that satisfies the following statements for all v, w, and x in C:
 - Connexity: $v \leq w$ or $w \leq v$.
 - Transitivity: for all v, w, x, if $v \le w$ and $w \le x$ then $v \le x$.
 - Antisymmetry: if both $v \le w$ and $w \le v$, then v = w.
- Ex: standard order for numbers, alphabetical order for strings, chronological order for dates



How many different algorithms for sorting can there be?

- Adaptive heapsort
- Bitonic sorter
- Block sort
- Bubble sort
- Bucket sort
- Cascade mergesort
- Cocktail sort

- Comb sort
- Flashsort
- Gnome sort
- Heapsort
- Insertion sort
- Library sort
- Mergesort
- Odd-even sort

- Pancake sort
- Quicksort
- Radixsort
- Selection sort
- Shell sort
- Spaghetti sort
- Treesort
- •••

Rules of the game - Comparing

- We will be sorting arrays of n items, where each item contains a key. In Java, objects are responsible in telling us how to naturally compare their keys.
- Let's say we want to sort an array of objects of type T.
- Our class T should implement the Comparable interface (more on this in a few lectures). We will need to implement the compareTo method to satisfy a total order.

Sort has no dependence on data type Comparable interface (built in to Java) public interface Comparable<Item> { public int compareTo(Item that); }

sort implementation

```
public static void sort(Comparable[] a)
{
    int N = a.length;
    for (int i = 0; i < N; i++)
        for (int j = i; j > 0; j--)
            if (a[j].compareTo(a[j-1]) < 0)
            exch(a, j, j-1);
            else break;
}</pre>
```

Rules of the game - Comparing

- > public int compareTo(T that)
- Implement it so that v.compareTo(w):
 - Returns >0 (positive) if v is greater than w.
 - Returns <0 (negative) if v is smaller than w.</p>
 - Returns 0 if v is equal to w.
 - Is a total order.
- > Java classes such as Integer, Double, String, File all implement Comparable.
- Need to implement the Comparable interface for user-defined comparable types.
- compareTo allows us to use the same sorting algorithms on different data

Implementing the Comparable interface

```
public class Date implements Comparable<Date>
{
   private final int month, day, year;
   public Date(int m, int d, int y)
     month = m;
     day = d;
     year = y;
   public int compareTo(Date that)
      if (this.year < that.year ) return -1;
     if (this.year > that.year ) return +1;
      if (this.month < that.month) return -1;
      if (this.month > that.month) return +1;
      if (this.day < that.day ) return -1;
     if (this.day > that.day ) return +1;
      return 0;
```

Two primary sorting abstractions

- We will refer to data only through comparisons and exchanges.
- Less: Is v less than w?

```
private static boolean less(Comparable v, Comparable w) {
    return v.compareTo(w) < 0;
}
Exchange: swap item in array [] at index i with the one at index
j.
private static void exch(Comparable[] a, int i, int j) {
    Comparable swap = a[i];
    a[i]=a[j];
    a[j]=swap;
}</pre>
```

Sort method will use these 2 methods

Which total order property is violated?

- public class Temperature implements Comparable<Temperature> {
- private final double degrees;
- // Constructor code
- public int compareTo(Temperature that) {
- double EPSILON = 0.1;
- if (this.degrees < that.degrees EPSILON) return -1;</pre>
- if (this.degrees > that.degrees + EPSILON) return +1;
- return 0;
- }
- ...

- Connexity: $v \le w$ or $w \le v$.
- Transitivity: for all v, w, x, if $v \le w$ and $w \le x$ then $v \le x$.
- Antisymmetry: if both $v \le w$ and $w \le v$, then v = w.

• }

Rules of the game - Cost model

- Sorting cost model: we count compares and exchanges. If a sorting algorithm does not use exchanges, we count array accesses.
- Compares, exchanges, array accesses give us an estimate on the time complexity
- There are other types of sorting algorithms where they are not based on comparisons (e.g., radixsort). We will not see these in CS62 but stay tuned for CS140.

Rules of the game - Memory usage

- Extra memory: often as important as running time. Sorting algorithms are divided into two categories:
 - In place: use constant or logarithmic extra memory, beyond the memory needed to store the items to be sorted.
 - Not in place: use linear auxiliary memory.

Rules of the game - Stability

Stable: sort repeated elements in the same order that they appear in the input.



Lecture 11: Sorting Fundamentals

- Introduction
- Selection sort
- Insertion sort



- Divide the array in two parts: a sorted subarray on the left and an unsorted on the right.
- Repeat:
 - Find the smallest element in the unsorted subarray.
 - Exchange it with the leftmost unsorted element.
 - Move subarray boundaries one element to the right.



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}

```
public static void sort(Comparable[] a) {
```

Move the pointer to the right.

i++;

• Identify index of minimum entry on right.

```
int min = i;
for (int j = i+1; j < N; j++)
    if (less(a[j], a[min]))
        min = j;
```



Exchange into position.

exch(a, i, min);



```
public static void selection_sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        int min = i;
        for (int j = i+1; j < n; j++) {
            if (less(a[j], a[min]))
                min = j;
        }
        exch(a, i, min);
    }
}</pre>
```

Invariants: At the end of each iteration i:

- the array a is sorted in ascending order for the first i+1 elements a[0...i]
- ▶ no entry in a[i+1...n-1] is smaller than any entry in a[0...i]

```
public static void selection_sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        int min = i;
        for (int j = i+1; j < n; j++) {
            if (less(a[j], a[min]))
                min = j;
            }
        exch(a, i, min);
        }
    }
    Comparisons:</pre>
```

Exchanges:

In-place?

Stable?

```
public static void selection_sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        int min = i;
        for (int j = i+1; j < n; j++) {
            if (less(a[j], a[min]))
                min = j;
        }
        exch(a, i, min);
        }
    }
    Comparisons: 1 + 2 + ... + (n - 2) + (n - 1)~n<sup>2</sup>/2, that is O(n<sup>2</sup>).
```

Exchanges:

In-place?

Stable?

```
public static void selection_sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        int min = i;
        for (int j = i+1; j < n; j++) {
            if (less(a[j], a[min]))
                min = j;
        }
        exch(a, i, min);
    }
}
Comparisons: 1 + 2 + ... + (n - 2) + (n - 1)~n<sup>2</sup>/2, that is O(n<sup>2</sup>).
```

- Exchanges: n or O(n), making it useful when exchanges are expensive.
- Running time is quadratic, even if input is sorted. (Does NOT depend on the input)
- In-place?
- Not stable?

```
public static void selection_sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        int min = i;
        for (int j = i+1; j < n; j++) {
            if (less(a[j], a[min]))
                min = j;
        }
        exch(a, i, min);
        }
    }
    Comparisons: 1 + 2 + ... + (n - 2) + (n - 1)~n<sup>2</sup>/2, that is O(n<sup>2</sup>).
```

- Exchanges: n or O(n), making it useful when exchanges are expensive.
- Running time is quadratic, even if input is sorted. (Does NOT depend on the input)
- In-place, requires almost no additional memory.
- Not stable, think of the array [5_a, 3, 5_b, 1] which will end up as [1, 3, 5_b, 5_a].

Practice Time

- Using selection sort, sort the array with elements [12,10,16,11,9,7].
- Visualize your work for every iteration of the algorithm.

SELECTION SORT



https://subscription.packtpub.com/book/application_development/9781785888731/13/ch13lv11sec89/selection-sort

Lecture 11: Sorting Fundamentals

- Introduction
- Selection sort
- Insertion sort

- Keep a partially sorted subarray on the left and an unsorted subarray on the right
- Repeat:
 - Examine the next element in the unsorted subarray.
 - Find the location it belongs within the sorted subarray and insert it there. (exchange with larger entry to the left)
 - Move subarray boundaries one element to the right.



- Examine the next element in the unsorted subarray.
- Find the location it belongs within the sorted subarray and insert it there.
- Move subarray boundaries one element to the right.



- Examine the next element in the unsorted subarray.
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	3	44	38	5	47	1	36	26
--	---	----	----	---	----	---	----	----

- Examine the next element in the unsorted subarray.
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Algorithms

ROBERT SEDGEWICK | KEVIN WAYNE

2.1 INSERTION SORT DEMO



*

ROBERT SEDGEWICK | KEVIN WAYNE

http://algs4.cs.princeton.edu

In case you didn't get this...

https://www.youtube.com/watch?v=ROalU37913U

public static void sort(Comparable[] a) { Move the pointer to the right. i++; in order not yet seen Moving from right to left, exchange a[i] with each larger entry to its left. for (int j = i; j > 0; j--) if (less(a[j], a[j-1])) }

exch(a, j, j-1); else break;



1111

not yet seen

```
public static void sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        for (int j = i; j > 0; j--) {
            if(less(a[j], a[j-1]))
              exch(a, j, j-1);
            else
               break;
        }
    }
}
```

Invariants: At the end of each iteration i:

• the array a is sorted in ascending order for the first i+1 elements a[0...i]

Insertion sort: mathematical analysis for worst-case

```
public static void sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        for (int j = i; j > 0; j--) {
            if(less(a[j], a[j-1]))
               exch(a, j, j-1);
            else
               break;
        }
    }
    Comparisons: 0 + 1 + 2 + ... + (n - 2) + (n - 1)~n<sup>2</sup>/2, that is O(n<sup>2</sup>).
```

```
Exchanges: ?
```

In-place?

Stable?

Insertion sort: mathematical analysis for worst-case

- Exchanges: $0 + 1 + 2 + ... + (n 2) + (n 1) \sim n^2/2$, that is $O(n^2)$.
- Worst-case running time is quadratic. Worst case = array sorted in reverse order.
- > Every element moves all the way to the left.
- In-place, requires almost no additional memory.

```
Stable
```

Insertion sort: average and best case

```
public static void sort(Comparable[] a) {
    int n = a.length;
    for (int i = 0; i < n; i++) {
        for (int j = i; j > 0; j--) {
            if(less(a[j], a[j-1]))
               exch(a, j, j-1);
               else
               break;
        }
    }
}
```

• Average case: quadratic for both comparisons and exchanges $\sim n^2/4$ when sorting a randomly ordered array. (2X faster than selection sort on average)

Expect each entry to move halfway back: 0 + 0.5 + 1 +...(n-1)/2 ~ (n/2)*(n/2) ~ n^2/4

Best case: n - 1 comparisons (validate) and 0 exchanges for an already sorted array.

https://www.toptal.com/developers/sorting-algorithms/insertion-sort

Practice Time (cards)

- Using insertion sort, sort the array with elements [12,10,16,11,9,7].
- Visualize your work for every iteration of the algorithm.

INSERTION SORT

Answer



- For partially-sorted arrays, insertion sort runs in linear time
- Number of exchanges equals number of inversions
- Inversion = pair of keys that are out of order



- Ex1: Appending a subarray of size 10 to a sorted subarray of size N
- Ex2: An array of size N with only 10 entries out of place

Lecture 11: Sorting Fundamentals and Comparators

- Introduction
- Selection sort
- Insertion sort
- Comparators

Comparable

- Interface with a single method that we need to implement: public int compareTo(T that)
- Implement it so that v.compareTo(w):
 - Returns >0 if v is greater than w.
 - Returns <0 if v is smaller than w.</p>
 - Returns 0 if v is equal to w.
- Corresponds to natural ordering.

How to make your class T comparable?

- 1. Implement Comparable<T> interface.
- 2. Implement compareTo(T that) method to compare this T object to that based on natural ordering.

Comparator

- Sometimes the natural ordering is **not** the type of ordering we want.
- Comparator is an interface which allows us to dictate what kind of ordering we want by implementing the method: public int compare(T this, T that)
- > Implement it so that compare(v, w):
 - Returns >0 if v is greater than w.
 - Returns <0 if v is smaller than w.</p>
 - Returns 0 if v is equal to w.

How to define an alternative ordering for your class T?

- 1. Make a new class that implements Comparator<T> interface.
- Implement compare(T t1, T t2) method to compare t1 object to t2 based on an alternative ordering.
- 3. Alternatively, implement an anonymous inner class:

```
public static Comparator<T> nameOfComparator = new Comparator<T>()
{
    @Override // indicates method overriding the superclass' method
    public int compare(T t1, T t2) {
        {
            //return something;
        }
};
```



Sorting Collections

- Collections class contains:
 - > public static <T extends Comparable<? super T>> void sort(List<T>
 list)
 - Generic methods introduce their own type parameters.
 - Use extends with generics, even if the type parameter implements an interface.
- The class T itself or one of its ancestors implements Comparable.
- Collections.sort(list)
 - Implemented as optimized mergesort, that is timsort.
 - If list's elements do not implement Comparable, throw ClassCastException.

Alternative sorting of Collections

- Collections class contains:
 - static <T> void sort(List<T> list, Comparator<? super T>
 c)
- Collections.sort(list, someComparator);
 - Collections.sort(list, new ExternalComparatorClass()); or:
 - Collections.sort(list, T.InnerAnonymousClass);
 - If list's elements do not implement Comparable or cannot be compared with Comparator, throw ClassCastException.

Example: Natural and alternative sorting for Employees

https://github.com/pomonacs622021fa/LectureCode/blob/main/ Lecture11/Employee.java

Lecture 11: Sorting Fundamentals and Comparators

- Introduction
- Selection sort
- Insertion sort
- Comparators

Readings:

- Textbook:
 - Chapter 2.1 (pages 244-262), Chapter 2.1 (Page 247), Chapter 2.5 (Pages 338-339)
- Website:
 - Elementary sorts: <u>https://algs4.cs.princeton.edu/21elementary/</u>
 - Code: <u>https://algs4.cs.princeton.edu/21elementary/Selection.java.html</u> and <u>https://algs4.cs.princeton.edu/21elementary/Insertion.java.html</u>
- Oracle documentation:
 - Collections: <u>https://docs.oracle.com/javase/tutorial/collections/intro/index.html</u>
 - Comparable: <u>https://docs.oracle.com/javase/8/docs/api/java/lang/Comparable.html</u>
 - Comparator: <u>https://docs.oracle.com/javase/8/docs/api/java/util/Comparator.html</u>

Practice Problems:

> 2.1.1-2.1.8