

CS062

DATA STRUCTURES AND ADVANCED PROGRAMMING

13: Analysis of Algorithms



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LECTURES

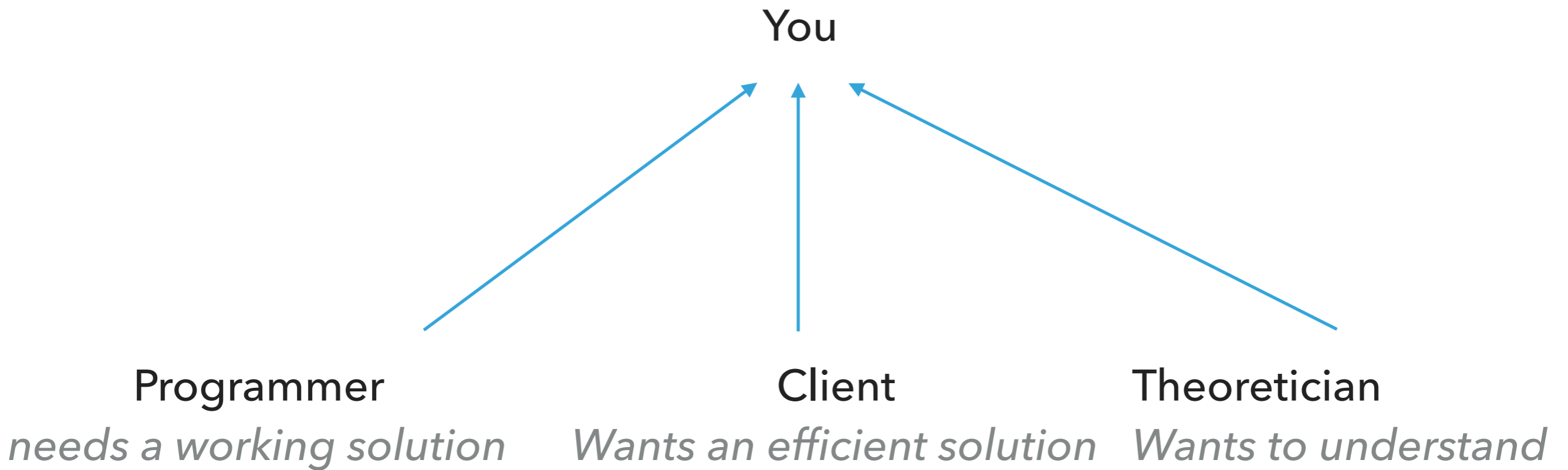


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LABS

Lecture 13: Analysis of Algorithms

- ▶ Introduction
- ▶ Experimental Analysis of Running Time
- ▶ Mathematical Models of Running Time
- ▶ Order of Growth Classification
- ▶ Analysis of Memory Consumption

Different Roles



Why analyze algorithmic efficiency?

- ▶ Predict performance.
- ▶ Compare algorithms that solve the same problem.
- ▶ Provide guarantees.
- ▶ Understand theoretical basis.
- ▶ **Avoid performance bugs.**

Why is my program so slow?
Why does it run out of memory?

We can use a combination of experiments and mathematical modeling.

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EXPERIMENTAL ANALYSIS OF RUNNING TIME

- ▶ **3-SUM**: Given n distinct numbers, how many unordered triplets sum to 0?
- ▶ Input: 30 -40 -20 -10 40 0 10 5
- ▶ Output: 4
 - ▶ 30 -40 10
 - ▶ 30 -20 -10
 - ▶ -40 40 0
 - ▶ -10 0 10

▶ 3-SUM: brute-force algorithm

```
public class ThreeSum {  
  
    public static int count(int[] a) {  
        int n = a.length;  
        int count = 0;  
        for (int i = 0; i < n; i++) {  
            for (int j = i+1; j < n; j++) {  
                for (int k = j+1; k < n; k++) {  
                    if (a[i] + a[j] + a[k] == 0) {  
                        count++;  
                    }  
                }  
            }  
        }  
        return count;  
    }  
  
    public static void main(String[] args) {  
        int[] a = {30, -40, -20, -10, 40, 0, 10, 5};  
        Stopwatch timer = new Stopwatch();  
        int count = count(a);  
        System.out.println("elapsed time = " + timer.elapsedTime());  
        System.out.println(count);  
    }  
}
```

CODE AND DATA AVAILABLE IN THE ALGS4 WEBSITE

EXPERIMENTAL ANALYSIS OF RUNNING TIME

▶ Empirical Analysis

- ▶ Input: 8ints.txt
- ▶ Output: 4 and 0

- ▶ Input: 1Kints.txt
- ▶ Output: 70 and 0.081

- ▶ Input: 2Kints.txt
- ▶ Output: 528 and 0.38

- ▶ Input: 2Kints.txt
- ▶ Output: 528 and 0.371

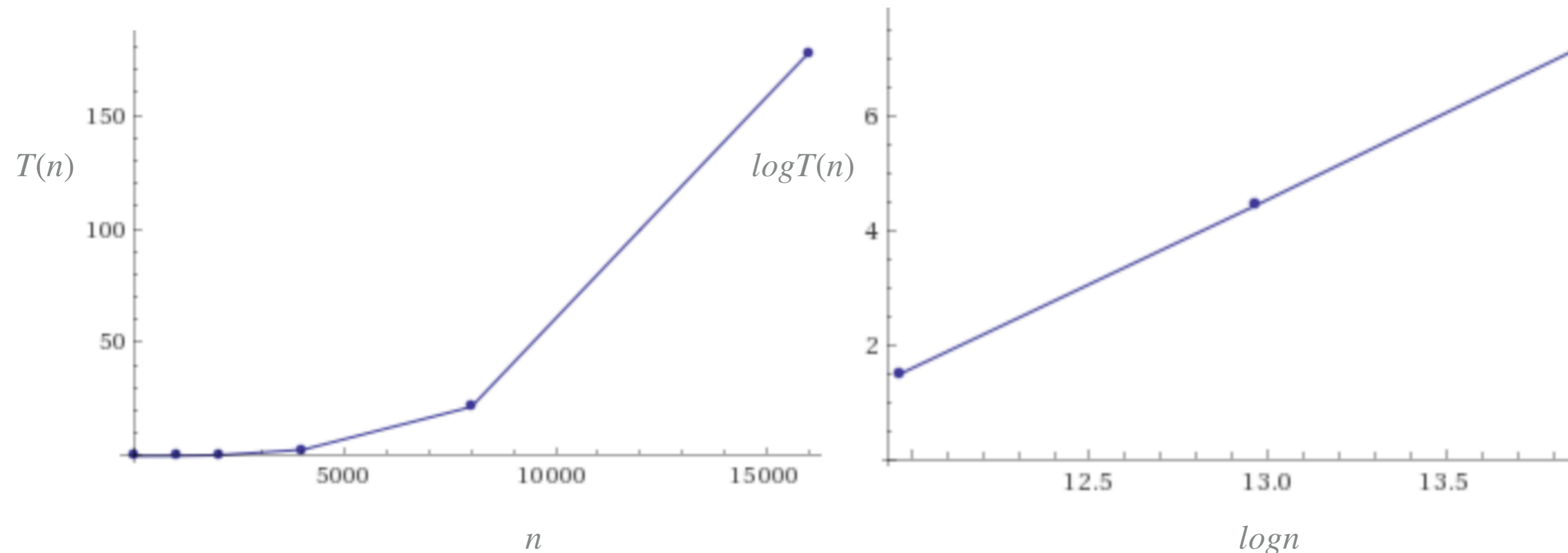
- ▶ Input: 4Kints.txt
- ▶ Output: 4039 and 2.792

- ▶ Input: 8Kints.txt
- ▶ Output: 32074 and 21.623

- ▶ Input: 16Kints.txt
- ▶ Output: 255181 and 177.344

Input size	Time
8	0
1000	0.081
2000	0.38
2000	0.371
4000	2.792
8000	21.623
16000	177.344

▶ Plots and log-log plots



- ▶ Regression: $T(n) = an^b$ (power-law).
- ▶ $\log T(n) = b \log n + \log a$, b is slope.
- ▶ Experimentally: $\sim 0.42 \times 10^{-10} n^3$, in our example for ThreeSum.

EXPERIMENTAL ANALYSIS OF RUNNING TIME

Input size	Time
8	0
1000	0.081
2000	0.38
4000	2.792
8000	21.623
16000	177.344

▶ Doubling hypothesis

- ▶ Doubling input size increases running time by a factor of $\frac{T(n)}{T(n/2)}$
- ▶ Run program doubling the size of input. Estimate factor of growth:
 - ▶ $\frac{T(n)}{T(n/2)} = \frac{an^b}{a(\frac{n}{2})^b} = 2^b.$
- ▶ E.g., in our example, for pair of input sizes 8000 and 16000 the ratio is 8.2, therefore b is approximately 3.
- ▶ Assuming we know b , we can figure out a .
 - ▶ E.g., in our example, $T(16000) = 177.34 = a \times 16000^3.$
 - ▶ Solving for a we get $a = 0.42 \times 10^{-10}.$

▶ Practice Time

▶ Suppose you time your code and you make the following observations. Which function is the closest model of $T(n)$?

A. n^2

B. $6 \times 10^{-4}n$

C. $5 \times 10^{-9}n^2$

D. $7 \times 10^{-9}n^2$

Input size	Time
1000	0
2000	0.0
4000	0.1
8000	0.3
16000	1.3
32000	5.1

EXPERIMENTAL ANALYSIS OF RUNNING TIME

- ▶ Answer
- ▶ C. $5 \times 10^{-9}n^2$
- ▶ Ratio is approximately 4, therefore $b = 2$.
- ▶ $T(32000) = 5.1 = a \times 32000^2$.
- ▶ Solving for $a = 4.98 \times 10^{-9}.s$

Input size	Time
1000	0
2000	0.0
4000	0.1
8000	0.3
16000	1.3
32000	5.1

- ▶ Effects on performance
- ▶ **System independent effects:** Algorithm + input data
 - ▶ Determine b in power law relationships.
- ▶ **System independent effects:** Hardware (e.g., CPU, memory, cache) + Software (e.g., compiler, garbage collector) + System (E.g., operating system, network, etc).
- ▶ Dependent and independent effects determine a in power law relationships.
- ▶ Although it is hard to get precise measurements, experiments in Computer Science are cheap to run.

Lecture 13: Analysis of Algorithms

- ▶ Introduction
- ▶ Experimental Analysis of Running Time
- ▶ **Mathematical Models of Running Time**
- ▶ Order of Growth Classification
- ▶ Analysis of Memory Consumption

- ▶ Total Running Time
- ▶ Popularized by Donald Knuth in the 60s in the four volumes of "The Art of Computer Programming".
 - ▶ Knuth won the Turing Award (The "Nobel" in CS) in 1974.
- ▶ In principle, accurate mathematical models for performance of algorithms are available.
- ▶ **Total running time** = sum of cost x frequency for all operations.
- ▶ Need to analyze program to determine set of operations.
- ▶ Exact cost depends on machine, compiler.
- ▶ Frequency depends on algorithm and input data.

MATHEMATICAL MODELS OF RUNNING TIME

- ▶ Cost of basic operations
- ▶ Add < integer multiply < integer divide < floating-point add < floating-point multiply < floating-point divide.

Operation	Example	Nanoseconds
Variable declaration	<code>int a</code>	c_1
Assignment statement	<code>a = b</code>	c_2
Integer comparison	<code>a < b</code>	c_3
Array element access	<code>a[i]</code>	c_4
Array length	<code>a.length</code>	c_5
1D array allocation	<code>new int[n]</code>	$c_6 n$
2D array allocation	<code>new int[n][n]</code>	$c_7 n^2$
string concatenation	<code>s+t</code>	$c_8 n$

▶ Example: 1-SUM

▶ How many operations as a function of n ?

```
int count = 0;
for (int i = 0; i < n; i++) {
    if (a[i] == 0) {
        count++;
    }
}
```

Operation	Frequency
Variable declaration	2
Assignment	2
Less than	$n + 1$
Equal to	n
Array access	n
Increment	n to $2n$

▶ Example: 2-SUM

▶ How many operations as a function of n ?

```
int count = 0;
for (int i = 0; i < n; i++) {
    for (int j = i+1; j < n; j++) {
        if (a[i] + a[j] == 0) {
            count++;
        }
    }
}
```

BECOMING TOO TEDIOUS TO CALCULATE

Operation	Frequency
Variable declaration	$n + 2$
Assignment	$n + 2$
Less than	$1/2(n + 1)(n + 2)$
Equal to	$1/2n(n - 1)$
Array access	$n(n - 1)$
Increment	$1/2n(n + 1)$ to n^2

▶ Tilde notation

- ▶ Estimate running time (or memory) as a function of input size n .
- ▶ Ignore lower order terms.
 - ▶ When n is large, lower order terms become negligible.

▶ Example 1: $\frac{1}{6}n^3 + 10n + 100 \sim \frac{1}{6}n^3$

▶ Example 2: $\frac{1}{6}n^3 + 100n^2 + 47 \sim \frac{1}{6}n^3$

▶ Example 3: $\frac{1}{6}n^3 + 100n^{\frac{2}{3}} + \frac{1/2}{n} \sim \frac{1}{6}n^3$

▶ Technically $f(n) \sim g(n)$ means that $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 1$

MATHEMATICAL MODELS OF RUNNING TIME

- ▶ Simplification
- ▶ **Cost model**: Use some basic operation as proxy for running time.
 - ▶ E.g., array accesses
- ▶ Combine it with tilde notation.

Operation	Frequency	Tilde notation
Variable declaration	$n + 2$	$\sim n$
Assignment	$n + 2$	$\sim n$
Less than	$1/2(n + 1)(n + 2)$	$\sim 1/2n^2$
Equal to	$1/2n(n - 1)$	$\sim 1/2n^2$
Array access	$n(n - 1)$	$\sim n^2$
Increment	$1/2n(n + 1)$ to n^2	$\sim 1/2n^2$ to $\sim n^2$

- ▶ $\sim n^2$ array accesses for the 2-SUM problem

- ▶ Back to the 3-SUM problem

- ▶ Approximately how many array accesses as a function of input size n ?

```
int count = 0;
for (int i = 0; i < n; i++) {
    for (int j = i+1; j < n; j++) {
        for (int k = j+1; k < n; k++) {
            if (a[i] + a[j] + a[k] == 0) {
                count++;
            }
        }
    }
}
```

- ▶ $\binom{n}{3} = n(n-1)(n-2)/6 \sim \frac{1}{6}n^3$ for each array access

- ▶ $3 \times \frac{1}{6}n^3 = \frac{1}{2}n^3$ array accesses.

- ▶ Useful approximations for the analysis of algorithms
- ▶ **Harmonic sum:** $H_n = 1 + 1/2 + 1/3 + \dots + 1/n \sim \ln n$
- ▶ **Triangular sum:** $1 + 2 + 3 + \dots + n \sim n^2/2$
- ▶ **Geometric sum:** $1 + 2 + 4 + 8 + \dots + n = 2n - 1 \sim 2n$, when n power of 2.
- ▶ **Binomial coefficients:** $\binom{n}{k} \sim \frac{n^k}{k!}$ when k is a small constant.
- ▶ Use a tool like Wolfram alpha.

▶ Practice Time

▶ How many array accesses does the following code make?

```
int count = 0;
for (int i = 0; i < n; i++) {
    for (int j = i+1; j < n; j++) {
        for (int k = 1; k < n; k=k*2) {
            if (a[i] + a[j] >= a[k]) {
                count++;
            }
        }
    }
}
```

- A. $3n^2$
- B. $3/2n^2 \log n$
- C. $3/2n^3$
- D. $3n^3$

MATHEMATICAL MODELS OF RUNNING TIME

▶ Answer

▶ $3/2n^2 \log n$

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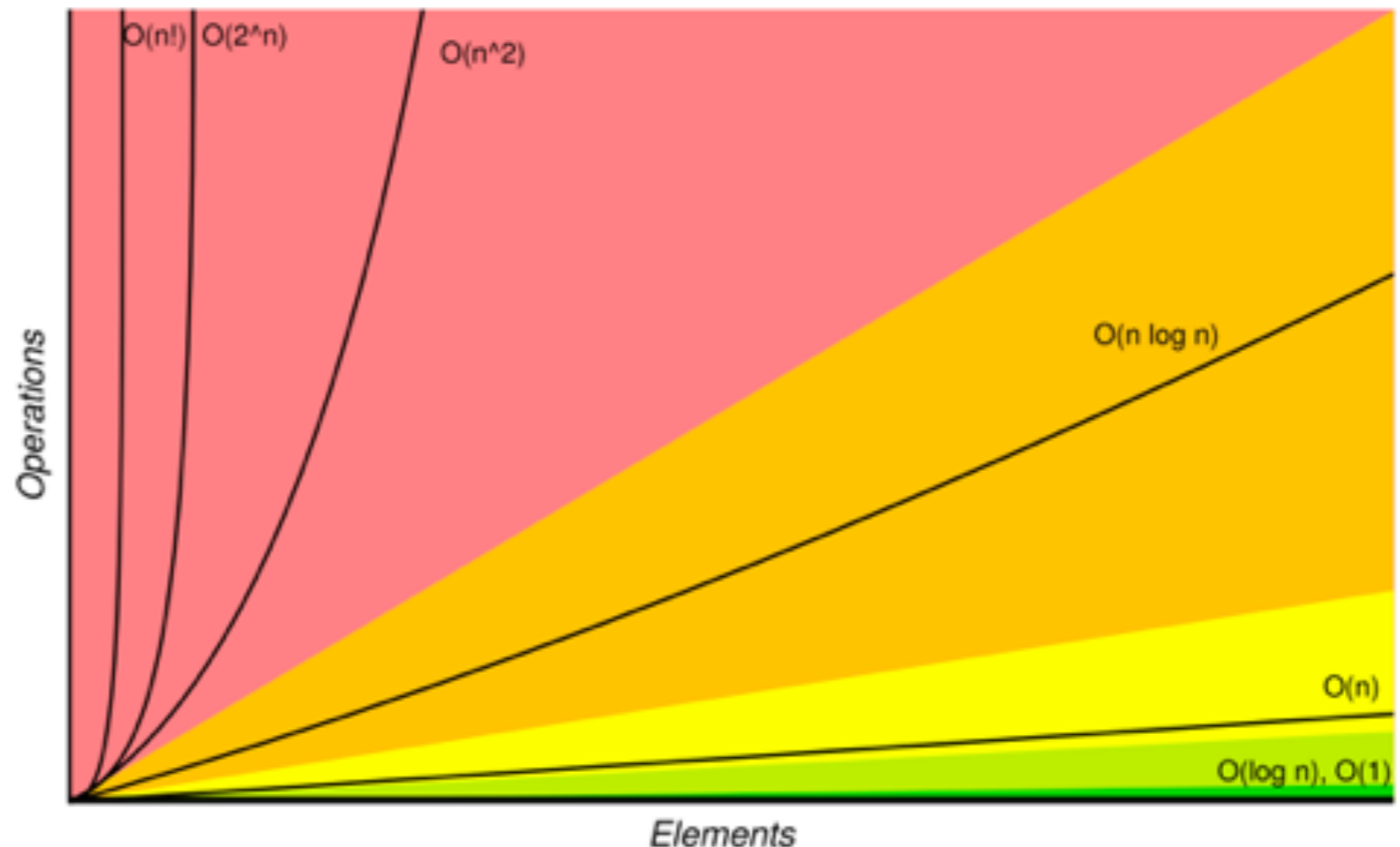
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- ▶ Analysis of Memory Consumption

ORDER OF GROWTH CLASSIFICATION

- ▶ Order-of-growth
- ▶ **Definition:** If $f(n) \sim cg(n)$ for some constant $c > 0$, then the order of growth of $f(n)$ is $g(n)$.
 - ▶ Ignore leading coefficients.
 - ▶ Ignore lower-order terms.
- ▶ We will use this definition in the mathematical analysis of the running time of our programs as the coefficients depend on the system.
- ▶ E.g., the order of growth of the running time of the ThreeSum program is n^3 .

ORDER OF GROWTH CLASSIFICATION

- ▶ Common order-of-growth classifications
- ▶ **Good news:** only a small number of function suffice to describe the order-of-growth of typical algorithms.
- ▶ 1: constant
- ▶ $\log n$: logarithmic
- ▶ n : linear
- ▶ $n \log n$: linearithmic
- ▶ n^2 : quadratic
- ▶ n^3 : cubic
- ▶ 2^n : exponential
- ▶ $n!$: factorial



ORDER OF GROWTH CLASSIFICATION

▶ Common order-of-growth classifications

Order-of-growth	Name	Typical code	$T(n)/T(n/2)$
1	Constant	<code>a=b+c</code>	1
$\log n$	Logarithmic	<code>while(n>1){n=n/2;...}</code>	~ 1
n	Linear	<code>for(int i =0; i<n;i++){ ...}</code>	2
$n \log n$	Linearithmic	mergesort	~ 2
n^2	Quadratic	<code>for(int i =0;i<n;i++){ for(int j=0; j<n;j++){...}}</code>	4
n^3	Cubic	<code>for(int i =0;i<n;i++){ for(int j=0; j<n;j++){ for(int k=0; k<n; k++){...}}}</code>	8

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ANALYSIS OF MEMORY CONSUMPTION

- ▶ Basics
- ▶ Bit: 0 or 1.
- ▶ Byte: 8 bits.
- ▶ Megabyte (MB): 2^{20} bytes.
- ▶ Gigabyte: 2^{30} bytes.
- ▶ We assume that a 64-bit machine has 8-byte pointers.

- ▶ Typical memory usage for primitives and arrays
- ▶ `boolean`: 1 byte
- ▶ `byte`: 1 byte
- ▶ `char`: 2 bytes
- ▶ `int`: 4 bytes
- ▶ `float`: 4 bytes
- ▶ `long`: 8 bytes
- ▶ `double`: 8 byte
- ▶ Array overhead: 24 bytes
- ▶ `char[]`: $2n+24$
- ▶ `int[]`: $4n+24$
- ▶ `double[]`: $8n+24$

- ▶ Typical memory usage for objects
- ▶ Object overhead: 16 bytes
- ▶ Reference: 8 bytes
- ▶ Padding: padded to be a multiple of 8 bytes
- ▶ Example:
 - ▶

```
public class Date {  
    private int day;  
    private int month;  
    private int year;  
}
```
 - ▶ 16 bytes overhead + 3x4 bytes for ints + 4 bytes padding = 32 bytes

▶ Practice Time

- ▶ How much memory does `WeightedQuickUnionUF` use as a function of n ?

```
public class WeightedQuickUnionUF{
    private int[] parent;
    private int[] size;
    private int count;

    public WeightedQuickUnionUF(int n) {
        parent = new int[n];
        size = new int[n];
        count = 0;
    }
    ...
}
```

- A. $\sim 4n$ bytes
- B. $\sim 8n$ bytes
- C. $\sim 4n^2$ bytes
- D. $\sim 8n^2$ bytes

- ▶ Answer

B. $\sim 8n$ bytes

- ▶ 16 bytes for object overhead
- ▶ Each array: 8 bytes for reference + 24 overhead + $4n$ for integers
- ▶ 4 bytes for int
- ▶ 4 bytes for padding
- ▶ Total $88 + 8n \sim 8n$

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Readings:

- ▶ Textbook:
 - ▶ Chapter 1.4 (pages 172-196, 200-205)
- ▶ Website:
 - ▶ Analysis of Algorithms: <https://algs4.cs.princeton.edu/14analysis/>

Practice Problems:

- ▶ 1.4.1-1.4.9