

Lecture 24: Balanced Binary Search Trees

CS 62

Fall 2017

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Friday Quiz

- Ordered Structures
- Binary Search Trees
- Splay trees from today!

Removing nodes in BSTs

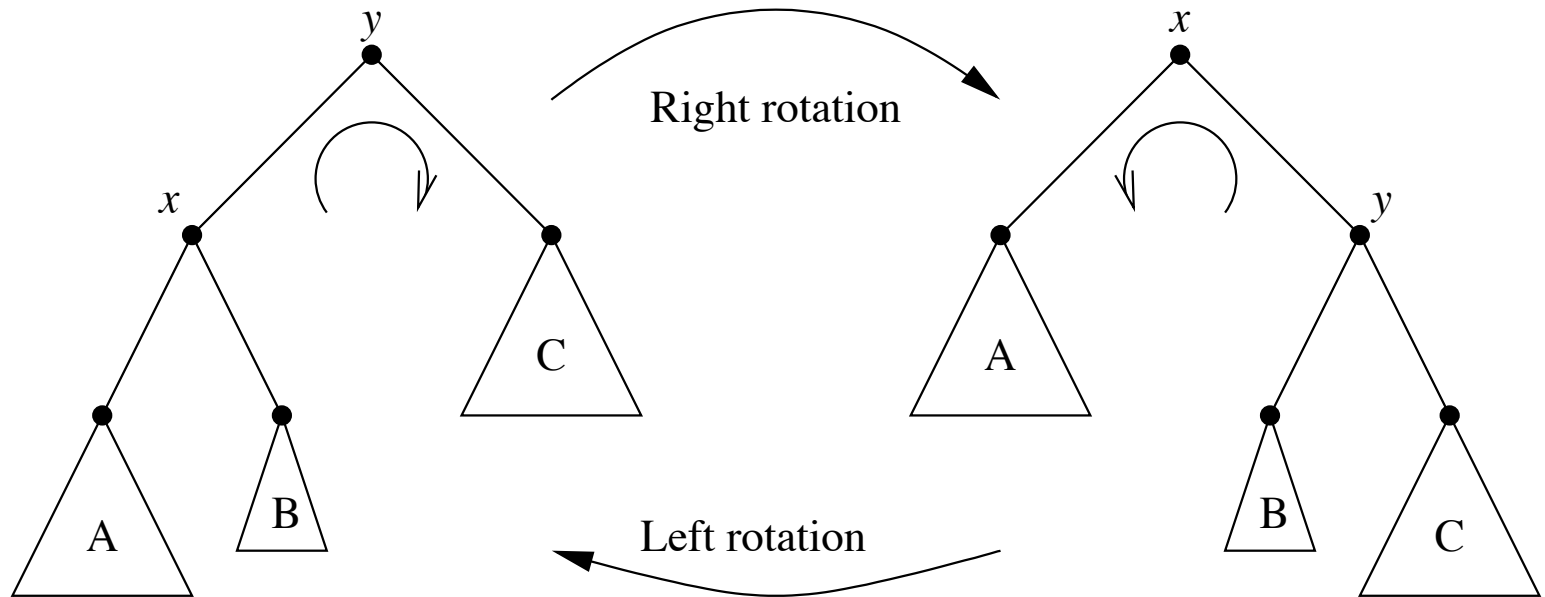
- Calling `remove(E val)` removes node with value `val`
- Predecessor of root becomes new root
 - Predecessor is in left subtree
 - Predecessor has no right subtree
- Complexity is $O(h)$ where h is height of tree
 - Worst-case $O(h)$ to locate
 - Worst-case $O(h)$ to find predecessor

Complexity

- `locate`, `add`, `contains`, `remove` are all $O(h)$
- Can we guarantee that h is $O(\log n)$?
 - Only if tree stays balanced!!
- Binary search trees that stay balanced
 - AVL trees
 - Red-black trees
- We'll do splay tree, which doesn't guarantee balance
 - but guarantees good average behavior
 - easier to understand than alternatives
 - better than others if likely to go back to recent nodes

Rotating Trees

Key idea: Rotate node higher in tree while keeping it in order.

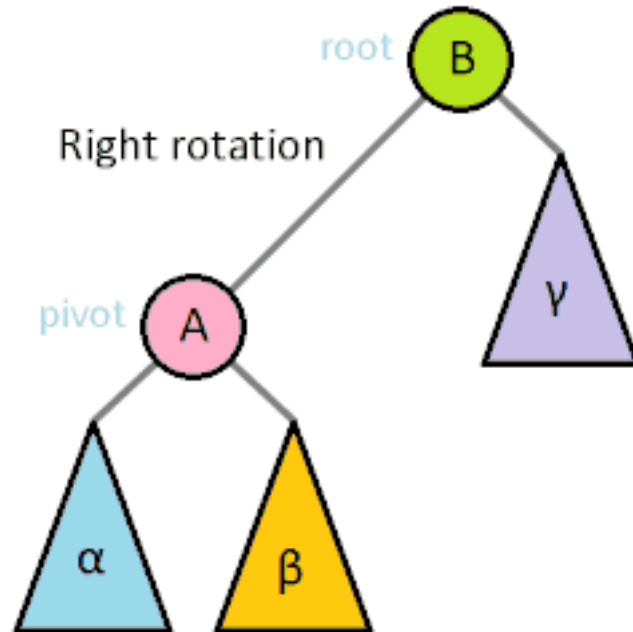


Rotating Trees

Rotate x to root, while maintain BST structure

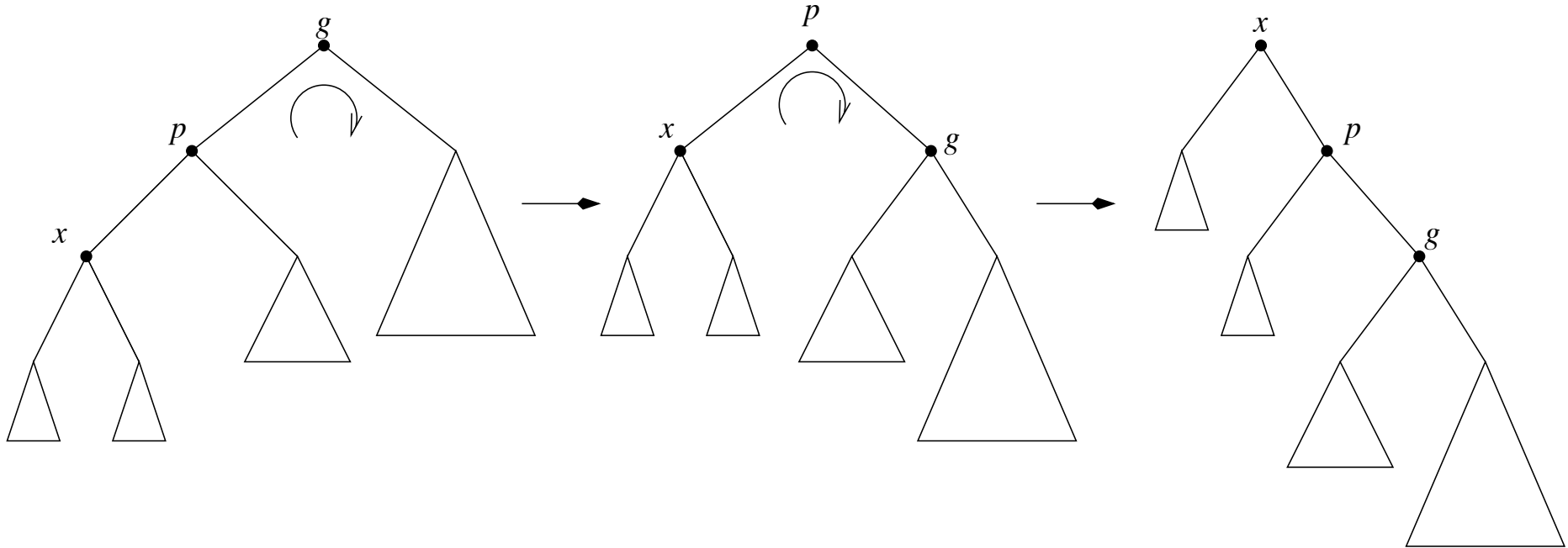
All nodes in subtree A go up one level, all in C go down one level, all in B stay same.

See code in BinaryTree.java



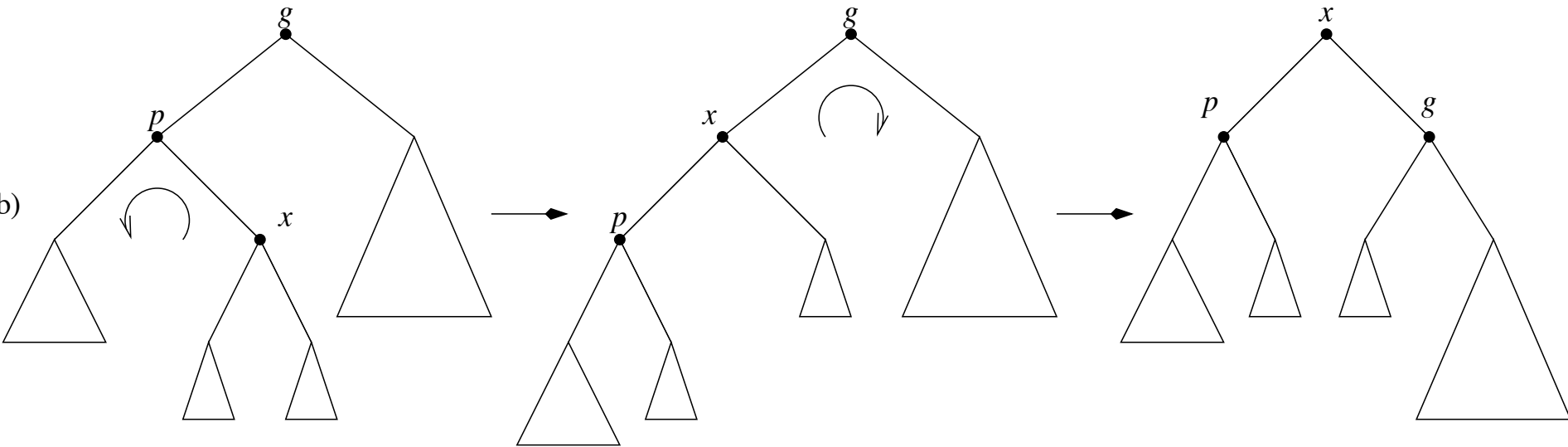
Shifting elements toward root

- Move x up two levels w/ two rotations
- If x is left child of a left child...



Shifting elements toward root

- If x is a right child of a left child...



Symmetric if interchangeable left and right

Splay Tree

- Idea behind splay tree:
 - Every time **contains, add** or **remove** an element x , move it to the root by a series of rotations.
 - Other elements rotate out of way while maintaining BST order.
- Splay tree are balanced
 - On average height is $O(\log n)$
 - Worst case height is $O(n)$
 - All operations are on average $O(\log n)$

Splay Tree - Theory vs Practice

- All that rotation is expensive
- Great theoretical properties
- Simple idea
- Worse performance than other balancing schemes

Fixing Sticks

- Simple “rotate-up” strategy doesn’t fix sticks
- Splay operations:
 - Zig
 - Zig-zig
 - Zig-zag

Splay operations

- Zig: Rotate self once L/R
(when you have no grandparent)
- Zig-zig: Rotate parent, then self
(when you're L/L or R/R)
- Zig-zag: Rotate self, then self
(when you're L/R or R/L)

Splay Tree

Demo