

PARSING

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CS159 – Fall 2020

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Admin

Assignment 3

Quiz #1

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Parsing

Parsing is the field of NLP interested in automatically determining the syntactic structure of a sentence

parsing can also be thought of as determining what sentences are “valid” English sentences

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Parsing

We have a grammar, determine the possible parse tree(s)

Let's start with parsing with a CFG (no probabilities)

S	→	NP VP	I eat sushi with tuna
NP	→	PRP	
NP	→	N PP	
VP	→	V NP	
VP	→	V NP PP	
PP	→	IN N	
PRP	→	I	
V	→	eat	
N	→	sushi	
N	→	tuna	
IN	→	with	

approaches?  
algorithms?

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## Parsing

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**Top-down parsing**

- ▣ ends up doing a lot of repeated work
- ▣ doesn't take into account the words in the sentence until the end!

**Bottom-up parsing**

- ▣ constrain based on the words
- ▣ avoids repeated work (dynamic programming)
- ▣ doesn't take into account the high-level structure until the end!
- ▣ CKY parser


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## Parsing

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**Top-down parsing**

- ▣ start at the top (usually S) and apply rules
- ▣ matching left-hand sides and replacing with right-hand sides



**Bottom-up parsing**

- ▣ start at the bottom (i.e. words) and build the parse tree up from there
- ▣ matching right-hand sides and replacing with left-hand sides




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## Parsing Example

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book that flight



```

graph TD
    S --> VP
    VP --> Verb
    VP --> NP
    Verb --> book
    NP --> Det
    NP --> Nominal
    Det --> that
    Nominal --> Noun
    Noun --> flight
    
```

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## Top Down Parsing

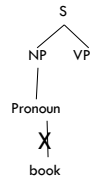
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```

graph TD
    S --> NP
    S --> VP
    NP --> Pronoun
    
```

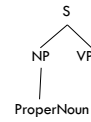
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### Top Down Parsing



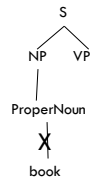
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### Top Down Parsing



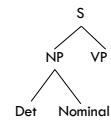
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### Top Down Parsing



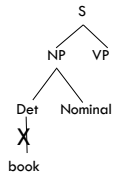
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### Top Down Parsing



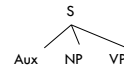
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### Top Down Parsing



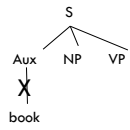
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### Top Down Parsing



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### Top Down Parsing

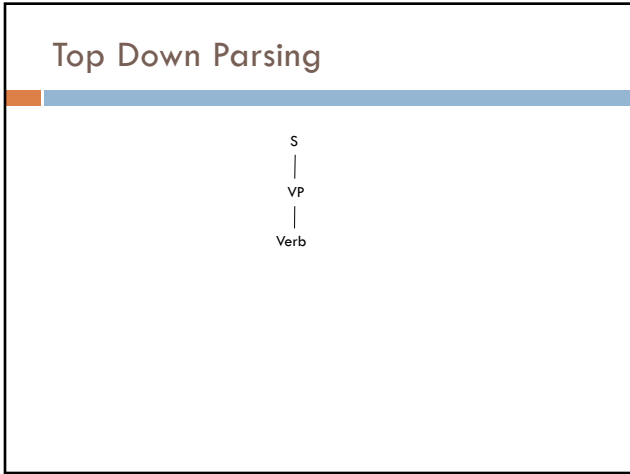


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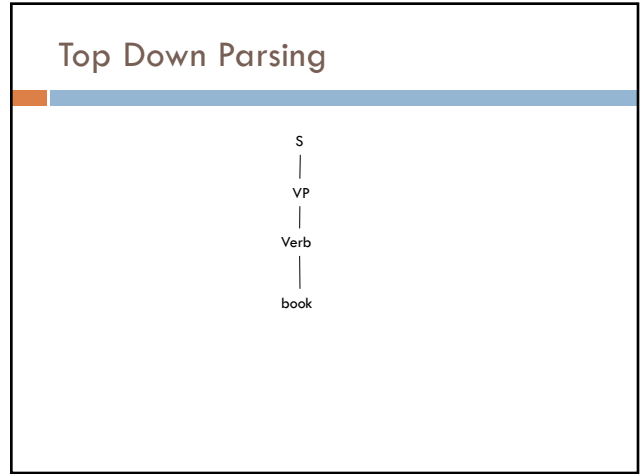
### Top Down Parsing



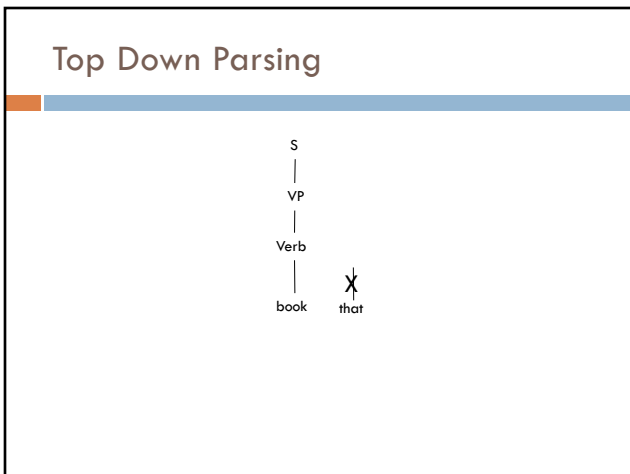
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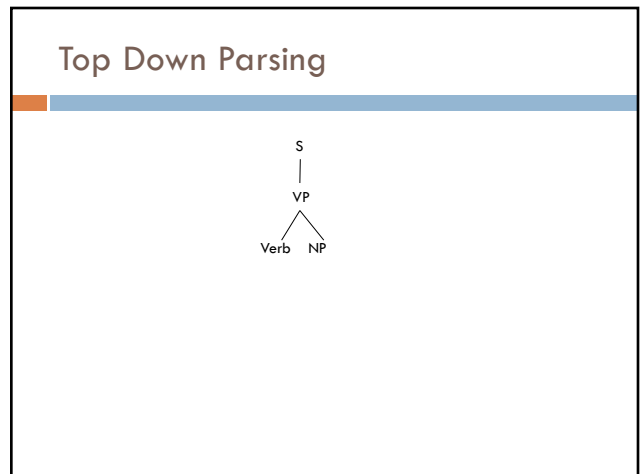
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18

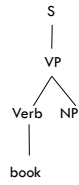


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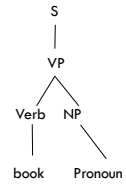
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### Top Down Parsing



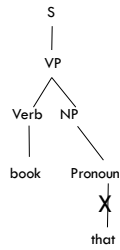
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### Top Down Parsing



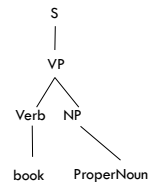
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### Top Down Parsing



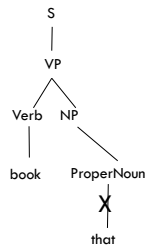
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### Top Down Parsing



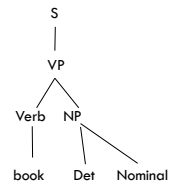
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### Top Down Parsing



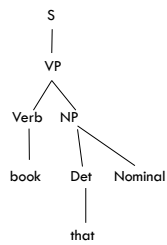
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### Top Down Parsing



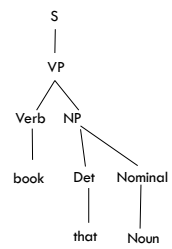
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### Top Down Parsing



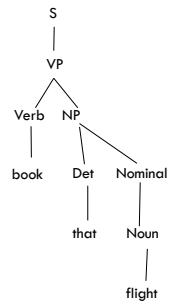
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### Top Down Parsing



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### Top Down Parsing



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### Bottom Up Parsing

book that flight

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### Bottom Up Parsing

Noun  
book that flight

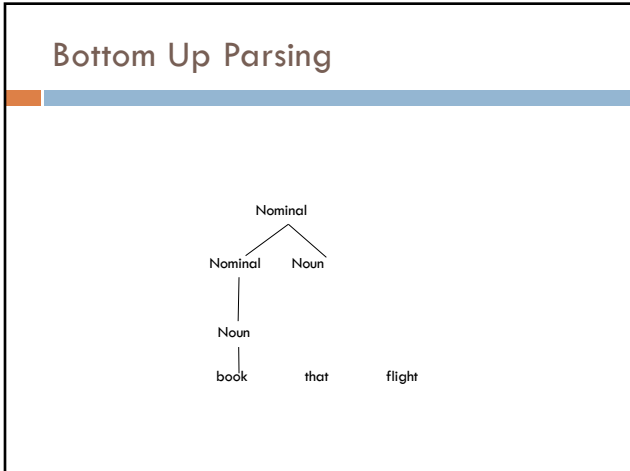
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### Bottom Up Parsing

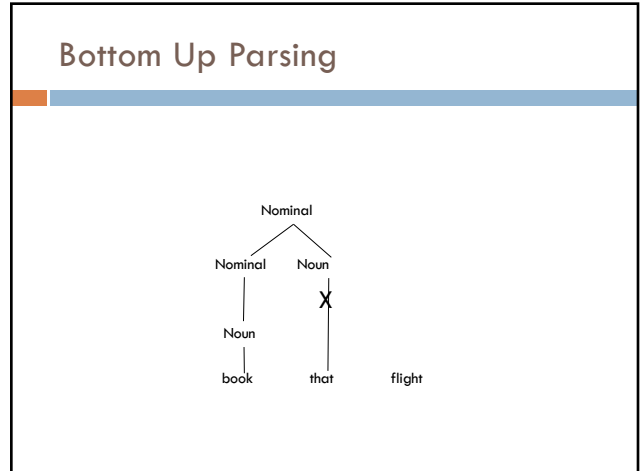
Nominal  
Noun  
book that flight

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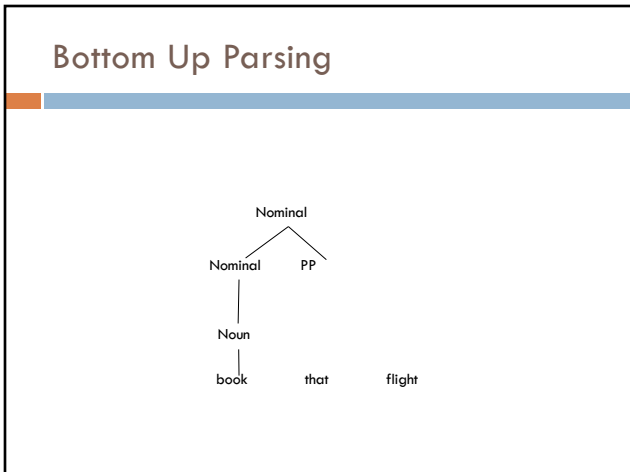




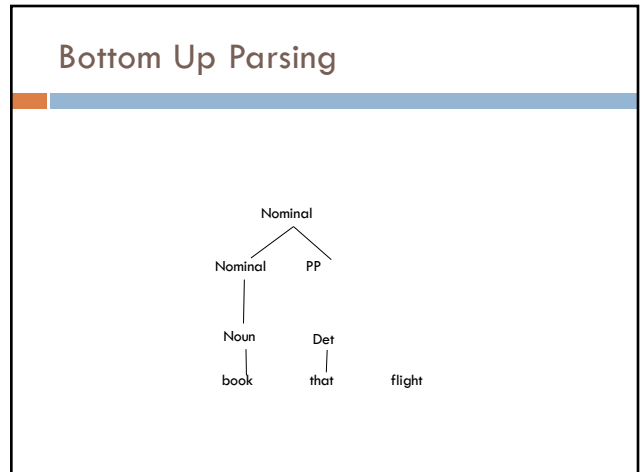
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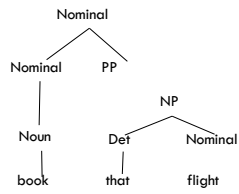


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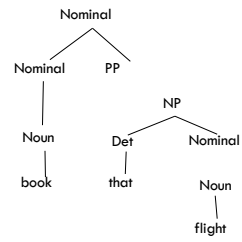
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### Bottom Up Parsing



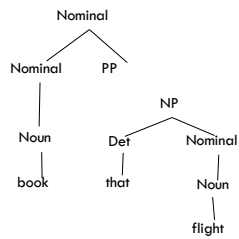
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### Bottom Up Parsing



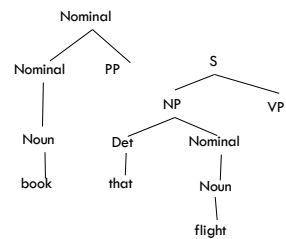
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### Bottom Up Parsing



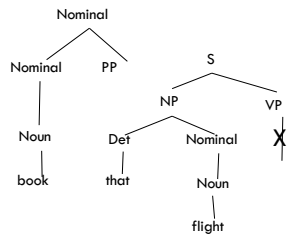
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### Bottom Up Parsing



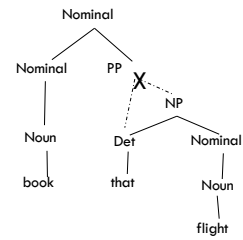
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### Bottom Up Parsing



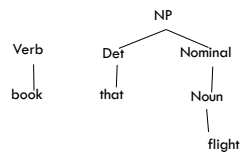
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### Bottom Up Parsing



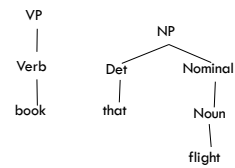
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### Bottom Up Parsing



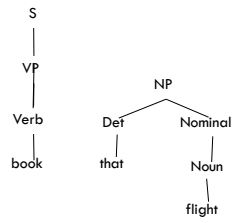
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### Bottom Up Parsing



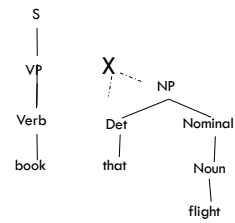
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Bottom Up Parsing



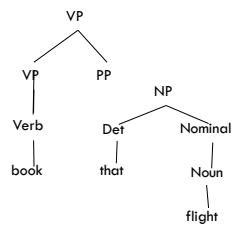
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Bottom Up Parsing



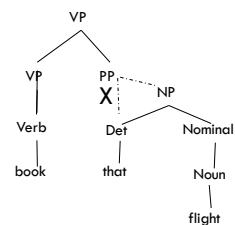
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Bottom Up Parsing

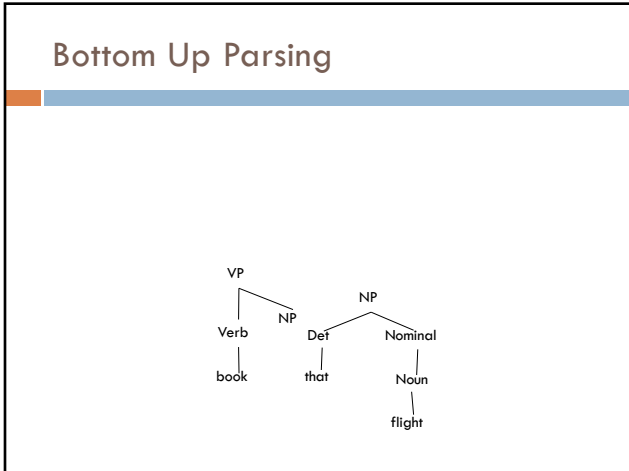


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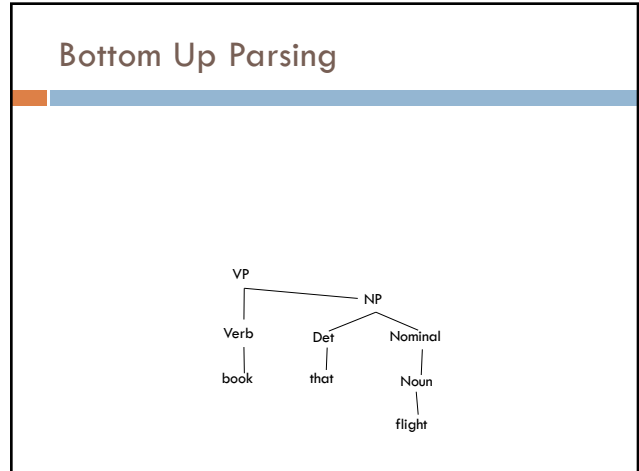
Bottom Up Parsing



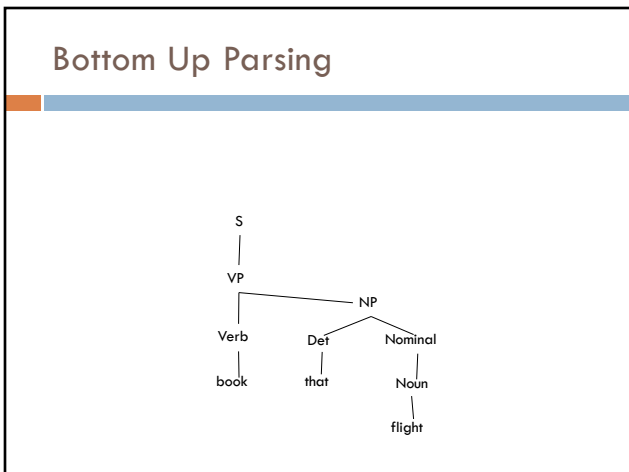
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### Parsing

**Pros/Cons?**

- Top-down:
  - Only examines parses that could be valid parses (i.e. with an S on top)
  - Doesn't take into account the actual words!
- Bottom-up:
  - Only examines structures that have the actual words as the leaves
  - Examines sub-parses that may NOT result in a valid parse!

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## Why is parsing hard?

Actual grammars are large

Lots of ambiguity!

- ▣ Most sentences have many parses
- ▣ Some sentences have a lot of parses
- ▣ Even for sentences that are not ambiguous, there is often ambiguity for subtrees (i.e. multiple ways to parse a phrase)

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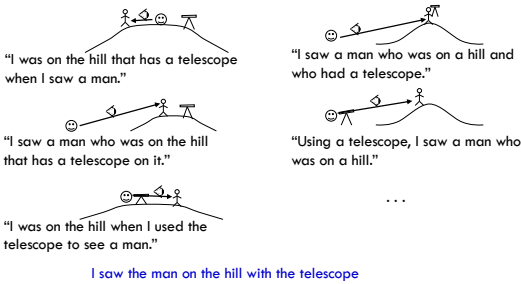
## Why is parsing hard?

I saw the man on the hill with the telescope

What are some interpretations?

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## Structural Ambiguity Can Give Exponential Parses



I saw the man on the hill with the telescope

⊙ Me → See ↗ A man ↖ The telescope ↘ The hill

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## Dynamic Programming Parsing

To avoid extensive repeated work you must cache intermediate results, specifically found constituents

Caching (memoizing) is critical to obtaining a polynomial time parsing algorithm for CFGs

Dynamic programming algorithms based on both top-down and bottom-up search can achieve  $O(n^3)$  recognition time where  $n$  is the length of the input string.

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### Dynamic Programming Parsing Methods

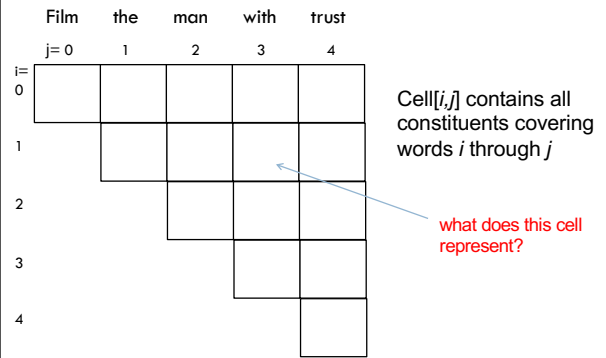
**CKY** (Cocke-Kasami-Younger) algorithm based on bottom-up parsing and requires first normalizing the grammar.

**Earley parser** is based on top-down parsing and does not require normalizing grammar but is more complex.

These both fall under the general category of **chart parsers** which retain completed constituents in a chart

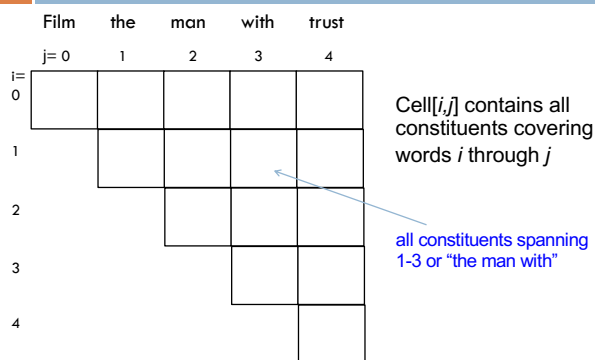
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### CKY parser: the chart



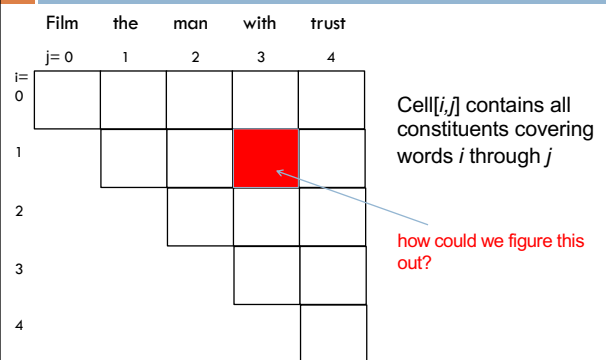
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### CKY parser: the chart

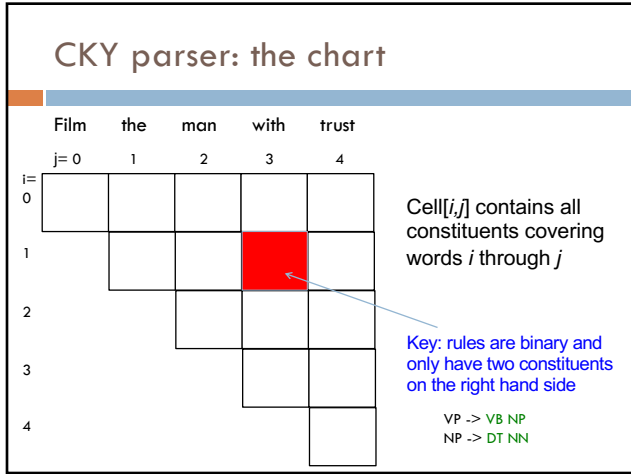


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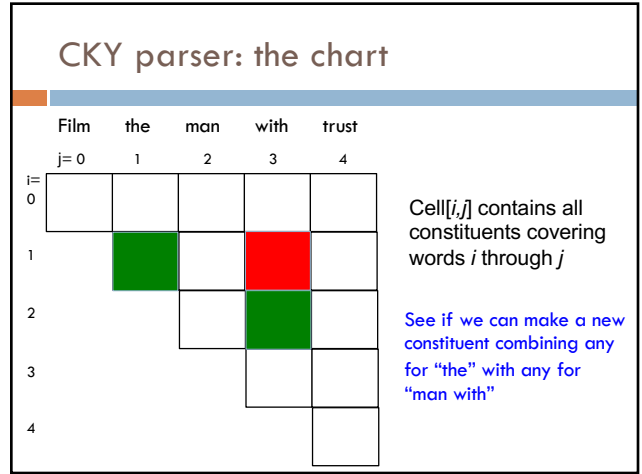
### CKY parser: the chart



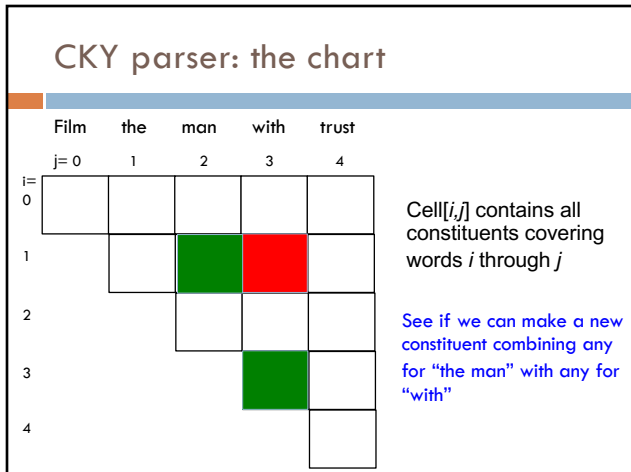
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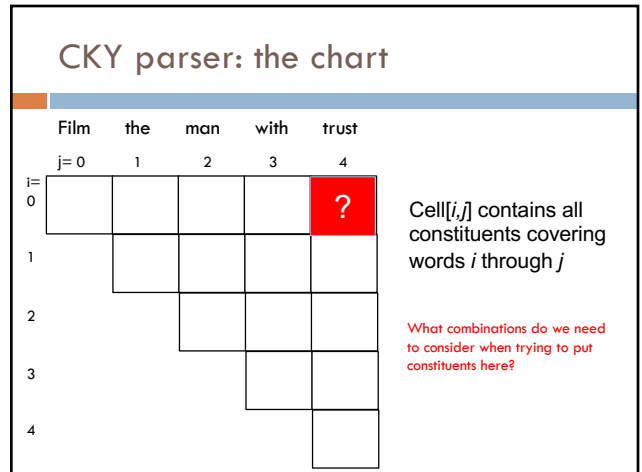
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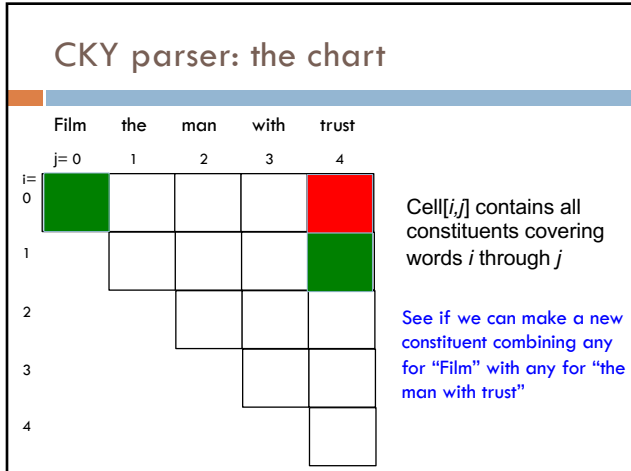


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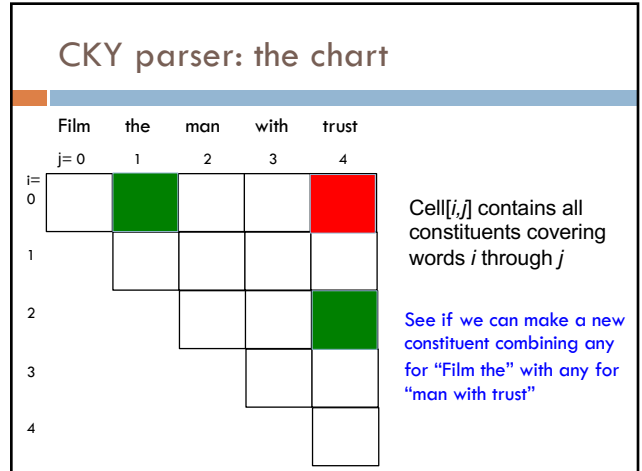


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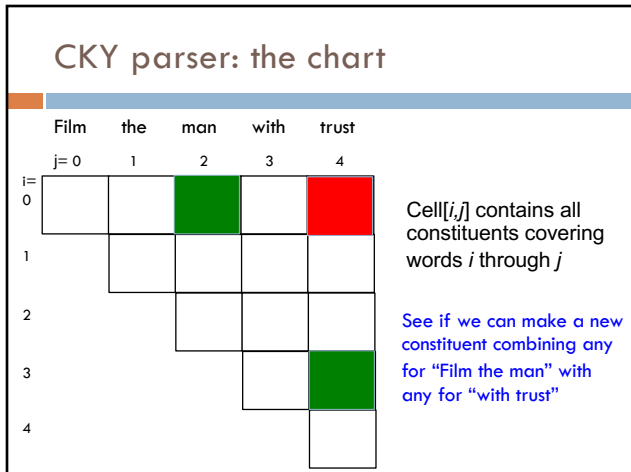




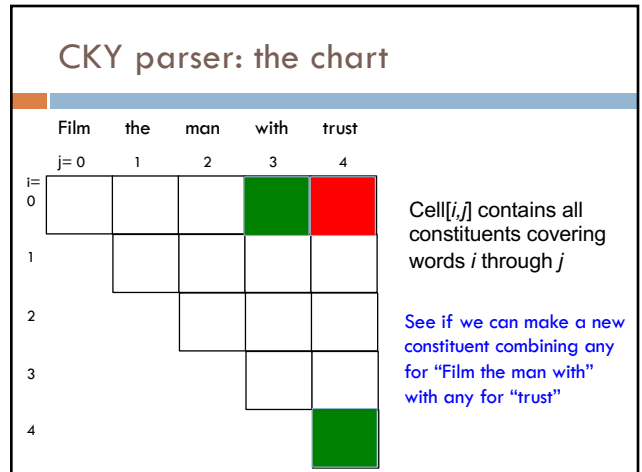
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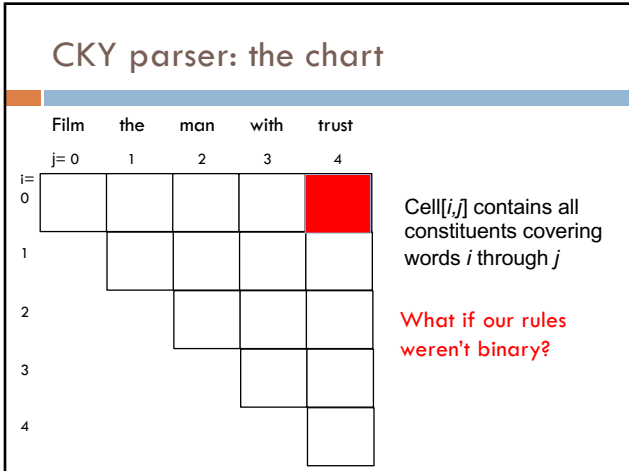
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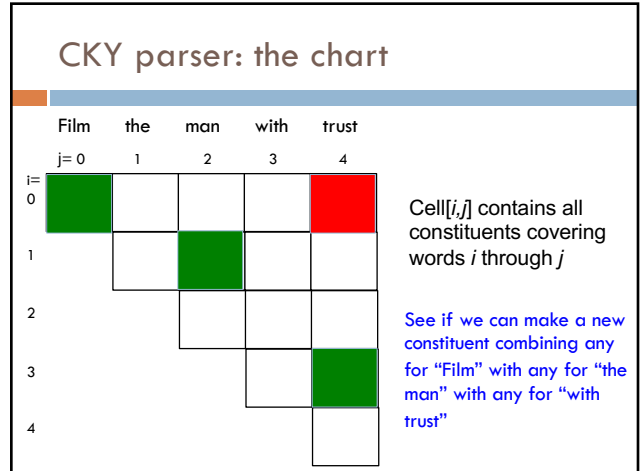
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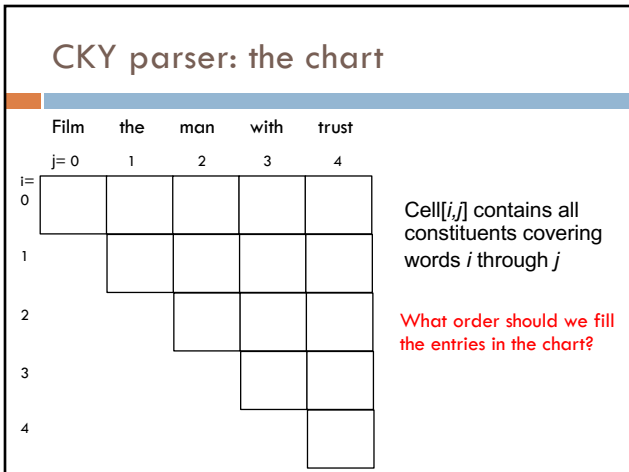
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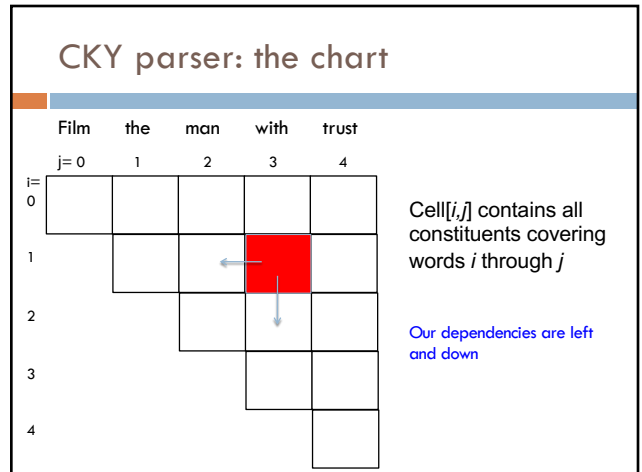
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### CKY parser: the chart

	Film	the	man	with	trust
	j=0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell $[i,j]$  contains all constituents covering words  $i$  through  $j$

From bottom to top, left to right

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### CKY parser: the chart

	Film	the	man	with	trust
	j=0	1	2	3	4
i=0					
1					
2					
3					
4					

Cell $[i,j]$  contains all constituents covering words  $i$  through  $j$

Top-left along the diagonals moving to the right

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### CKY parser: unary rules

Often, we will leave unary rules rather than converting to CNF

Do these complicate the algorithm?

Must check whenever we add a constituent to see if any unary rules apply

- S -> VP
- VP -> VB NP
- VP -> VP2 PP
- VP2 -> VB NP
- NP -> DT NN
- NP -> NN
- NP -> NP PP
- PP -> IN NP
- DT -> the
- IN -> with
- VB -> film
- VB -> trust
- NN -> man
- NN -> film
- NN -> trust

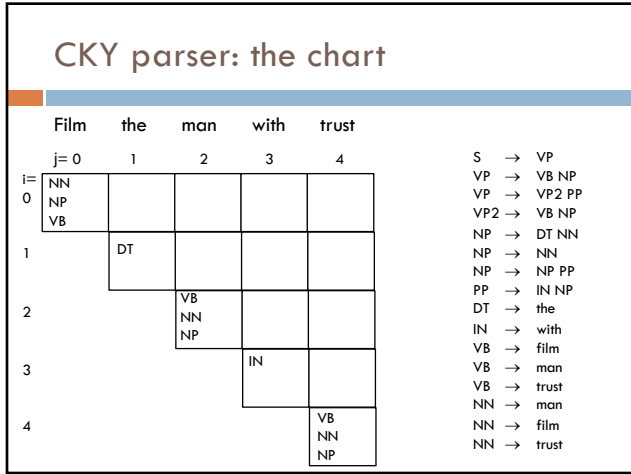
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### CKY parser: the chart

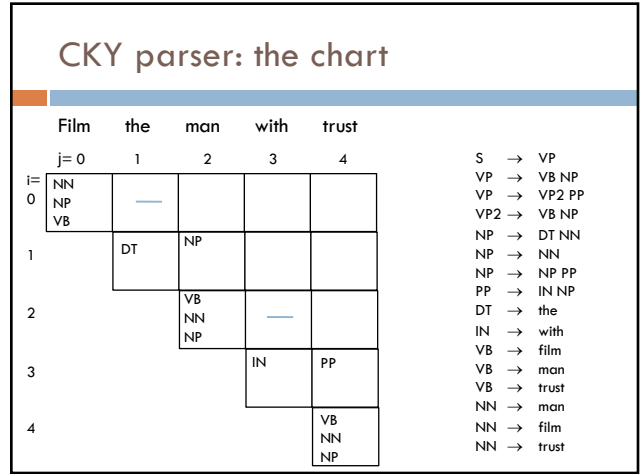
	Film	the	man	with	trust
	j=0	1	2	3	4
i=0					
1					
2					
3					
4					

- S -> VP
- VP -> VB NP
- VP -> VP2 PP
- VP2 -> VB NP
- NP -> DT NN
- NP -> NN
- NP -> NP PP
- PP -> IN NP
- DT -> the
- IN -> with
- VB -> film
- VB -> man
- VB -> trust
- NN -> man
- NN -> film
- NN -> trust

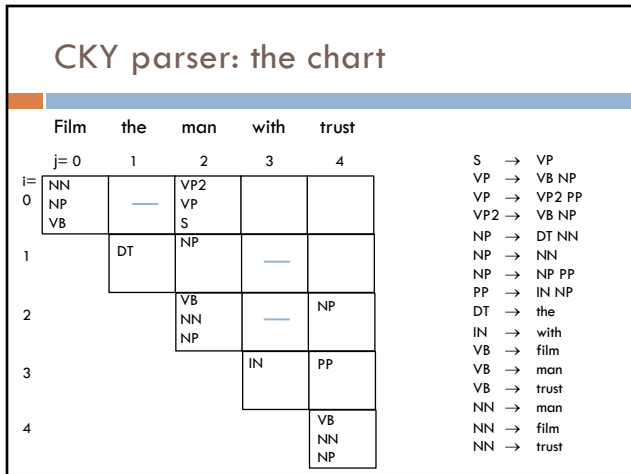
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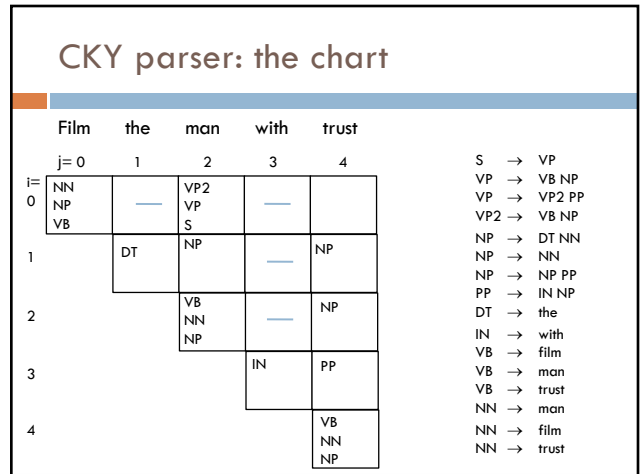
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### CKY parser: the chart

		Film	the	man	with	trust
i=	j=	0	1	2	3	4
0	0	NN NP VB	—	VP2 VP S	—	S VP VP2
1	1		DT	NP	—	NP
2	2			VB NN NP	—	NP
3	3				IN	PP
4	4					VB NN NP

- S → VP
- VP → VB NP
- VP → VP2 PP
- VP2 → VB NP
- NP → DT NN
- NP → NN
- NP → NP PP
- PP → IN NP
- DT → the
- IN → with
- VB → film
- VB → man
- VB → trust
- NN → man
- NN → film
- NN → trust

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### CKY: some things to talk about

After we fill in the chart, how do we know if there is a parse?

- If there is an S in the upper right corner

What if we want an actual tree/parse?

		Film	the	man	with	trust
i=	j=	0	1	2	3	4
0	0	NN NP VB	—	VB2 VP S	—	S VP
1	1		DT	NP	—	NP
2	2			VB NN NP	—	NP
3	3				IN	PP
4	4					VB NN NP

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### CKY: retrieving the parse

		Film	the	man	with	trust
i=	j=	0	1	2	3	4
0	0	NN NP VB	—	VB2 VP S	—	S VP
1	1		DT	NP	—	NP
2	2			VB NN NP	—	NP
3	3				IN	PP
4	4					VB NN NP

S

↓

VP

↙ ↘

VB NP

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### CKY: retrieving the parse

		Film	the	man	with	trust
i=	j=	0	1	2	3	4
0	0	NN NP VB	—	VB2 VP S	—	S VP
1	1		DT	NP	—	NP
2	2			VB NN NP	—	NP
3	3				IN	PP
4	4					VB NN NP

S

↓

VP

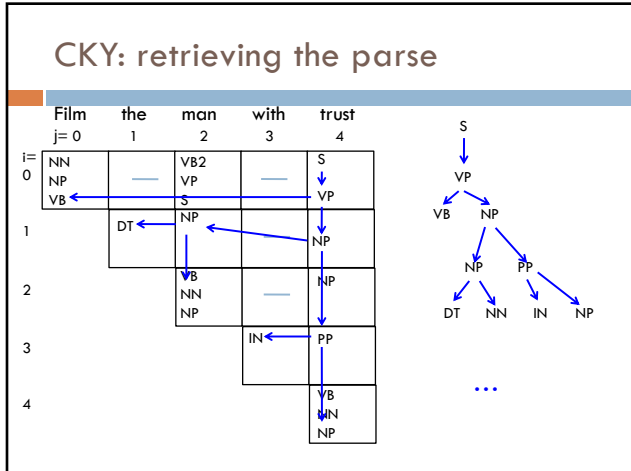
↙ ↘

VB NP

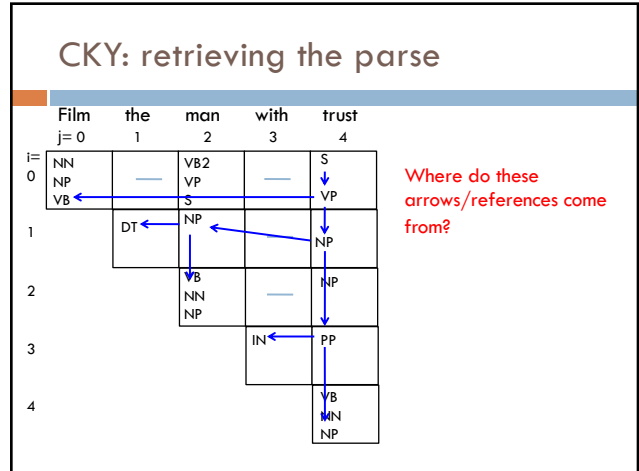
NP ↙ ↘

NP PP

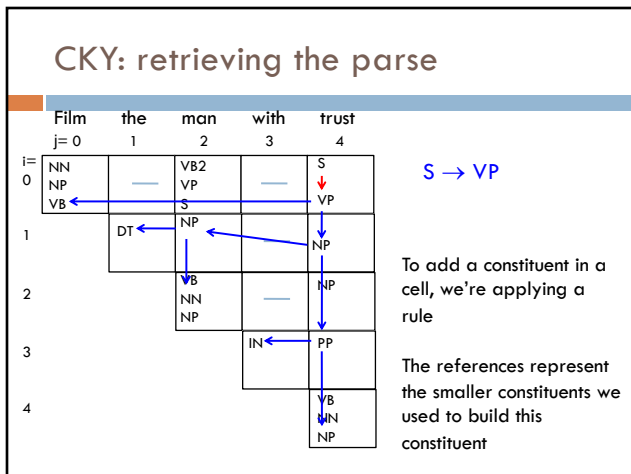
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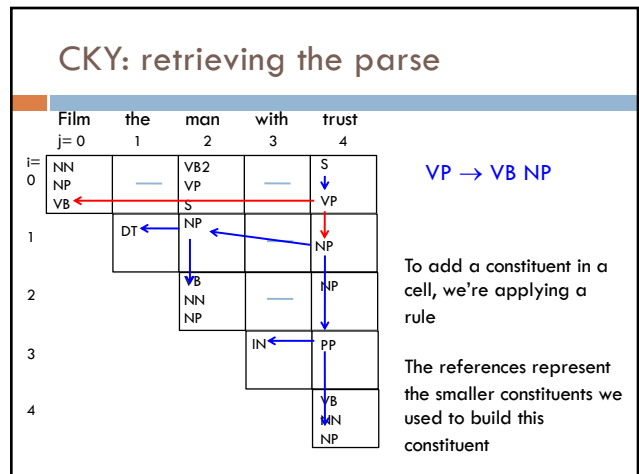
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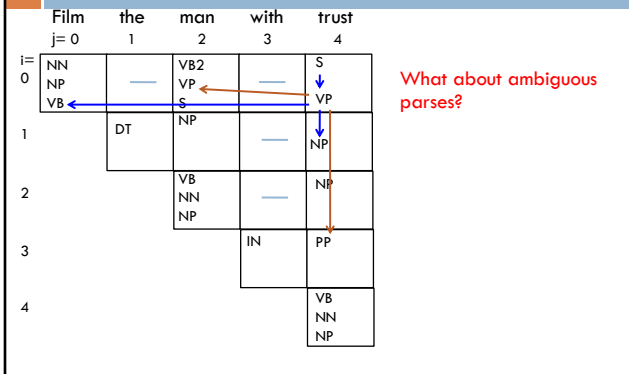


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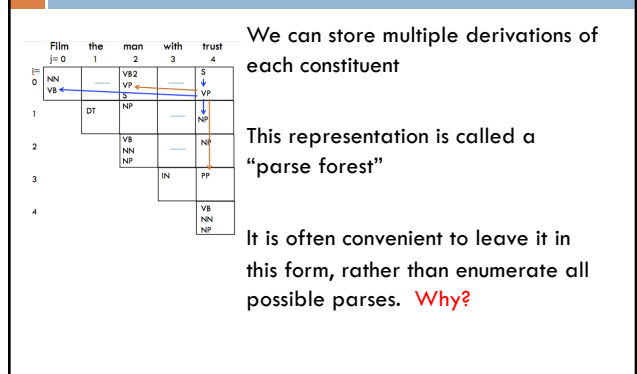
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### CKY: retrieving the parse



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### CKY: retrieving the parse



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### CKY: some things to think about

<p><b>CNF</b></p> <p>S → VP          VP → VB NP          VP → VP2 PP          VP2 → VB NP          NP → DT NN          NP → NN          ...</p>	<p><b>Actual grammar</b></p> <p>S → VP          VP → VB NP          VP → VB NP PP          NP → DT NN          NP → NN          ...</p>
---	---

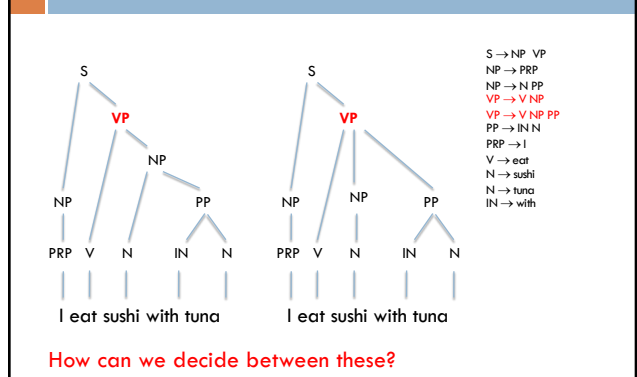
We get a CNF parse tree

but want one for the actual grammar

Ideas?

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### Parsing ambiguity



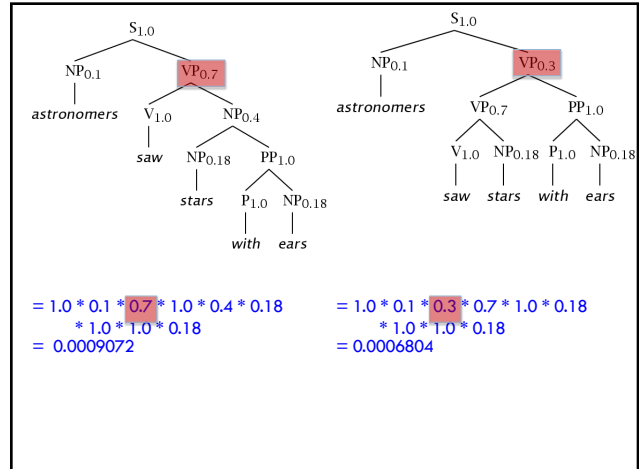
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## A Simple PCFG

### Probabilities!

S	→	NP VP	1.0	NP	→	NP PP	0.4
VP	→	V NP	0.7	NP	→	<i>astronomers</i>	0.1
VP	→	VP PP	0.3	NP	→	<i>ears</i>	0.18
PP	→	P NP	1.0	NP	→	<i>saw</i>	0.04
P	→	<i>with</i>	1.0	NP	→	<i>stars</i>	0.18
V	→	<i>saw</i>	1.0	NP	→	<i>telescope</i>	0.1

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## Parsing with PCFGs

### How does this change our CKY algorithm?

- ▣ We need to keep track of the probability of a constituent

### How do we calculate the probability of a constituent?

- ▣ Product of the PCFG rule times the product of the probabilities of the sub-constituents (right hand sides)
- ▣ Building up the product from the bottom-up

### What if there are multiple ways of deriving a particular constituent?

- ▣ max: pick the most likely derivation of that constituent

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## Probabilistic CKY

Include in each cell a probability for each non-terminal

Cell $[i,j]$  must retain the *most probable* derivation of each constituent (non-terminal) covering words  $i$  through  $j$

When transforming the grammar to CNF, must set production probabilities to preserve the probability of derivations

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### Probabilistic Grammar Conversion

Original Grammar	Chomsky Normal Form
S → NP VP      0.8	S → NP VP      0.8
S → Aux NP VP    0.1	S → X1 VP      0.1
	X1 → Aux NP     1.0
S → VP            0.1	S → book   include   prefer 0.01 0.004 0.006
	S → Verb NP     0.05
	S → VP PP       0.03
NP → Pronoun     0.2	NP → I   he   she   me 0.1 0.02 0.02 0.06
NP → Proper-Noun 0.2	NP → Houston   NWA 0.16 .04
NP → Det Nominal 0.6	NP → Det Nominal      0.6
Nominal → Noun    0.3	Nominal → book   flight   meal   money 0.03 0.15 0.06 0.06
Nominal → Nominal Noun 0.2	Nominal → Nominal Noun      0.2
Nominal → Nominal PP 0.5	Nominal → Nominal PP      0.5
VP → Verb        0.2	VP → book   include   prefer 0.1 0.04 0.06
VP → Verb NP     0.5	VP → Verb NP            0.5
VP → VP PP      0.3	VP → VP PP            0.3
PP → Prep NP    1.0	PP → Prep NP           1.0

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### Probabilistic CKY Parser

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S :.01, VP:1, Verb:5 Nominal:03 Noun:1					
	None				
	Det:6				
		Nominal:15 Noun:5			

NP → Det Nominal    0.60

What is the probability of the NP?

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### Probabilistic CKY Parser

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S :.01, VP:1, Verb:5 Nominal:03 Noun:1					
	None				
	Det:6	NP:6*.6*.15 =.054			
		Nominal:15 Noun:5			

NP → Det Nominal    0.60

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### Probabilistic CKY Parser

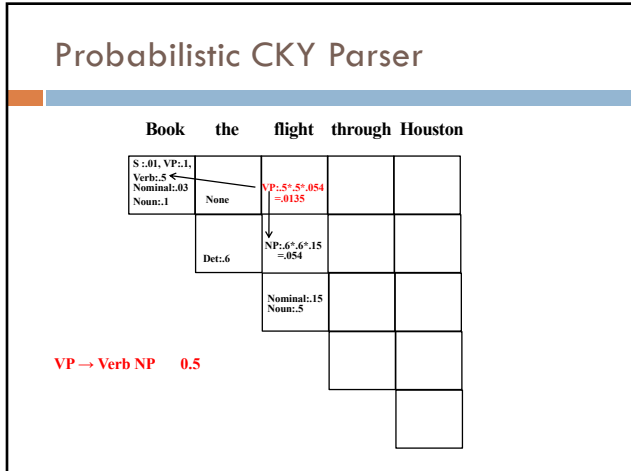
Book    the    flight    through    Houston

S :.01, VP:1, Verb:5 Nominal:03 Noun:1					
	None				
	Det:6	NP:6*.6*.15 =.054			
		Nominal:15 Noun:5			

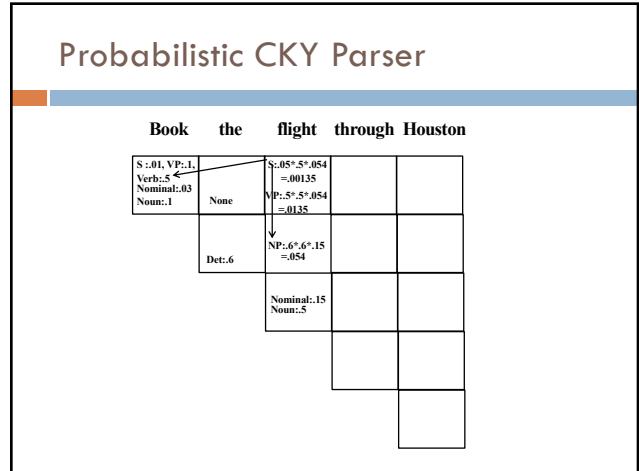
VP → Verb NP    0.5

What is the probability of the VP?

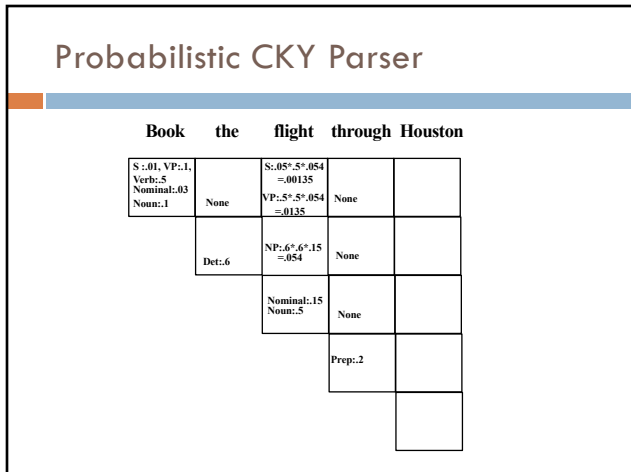
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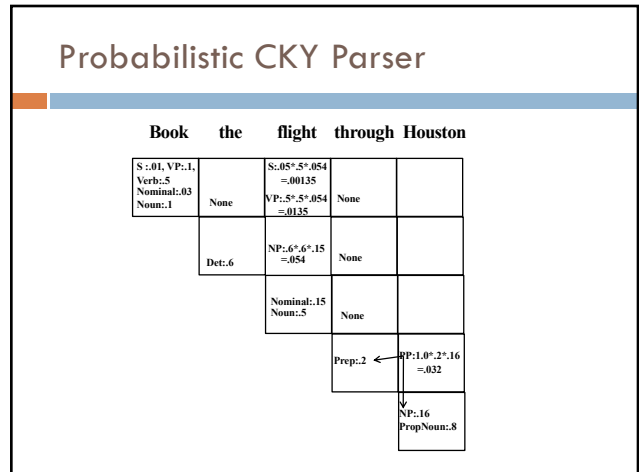
101



102



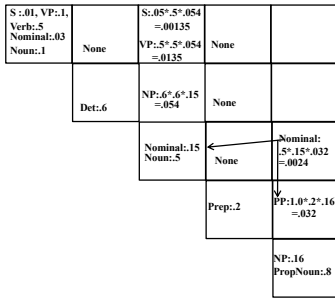
103



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### Probabilistic CKY Parser

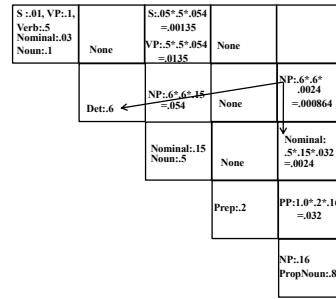
Book the flight through Houston



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### Probabilistic CKY Parser

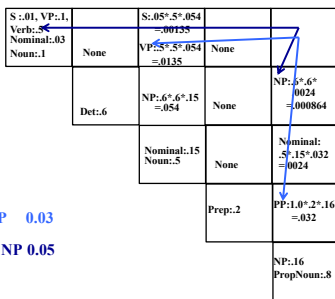
Book the flight through Houston



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### Probabilistic CKY Parser

Book the flight through Houston



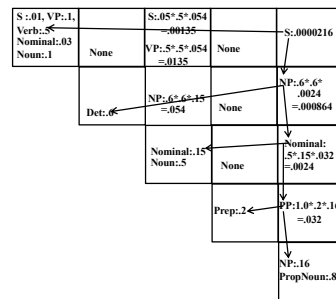
S → VP PP 0.03  
S → Verb NP 0.05

Which parse do we pick?

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### Probabilistic CKY Parser

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Pick most probable parse, i.e. take max to combine probabilities of multiple derivations of each constituent in each cell

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## Generic PCFG Limitations

PCFGs do not rely on specific words or concepts, only general structural disambiguation is possible (e.g. prefer to attach PPs to Nominals)

- Generic PCFGs cannot resolve syntactic ambiguities that require semantics to resolve, e.g. "ate with": fork vs. meatballs

Smoothing/dealing with out of vocabulary

MLE estimates are not always the best