

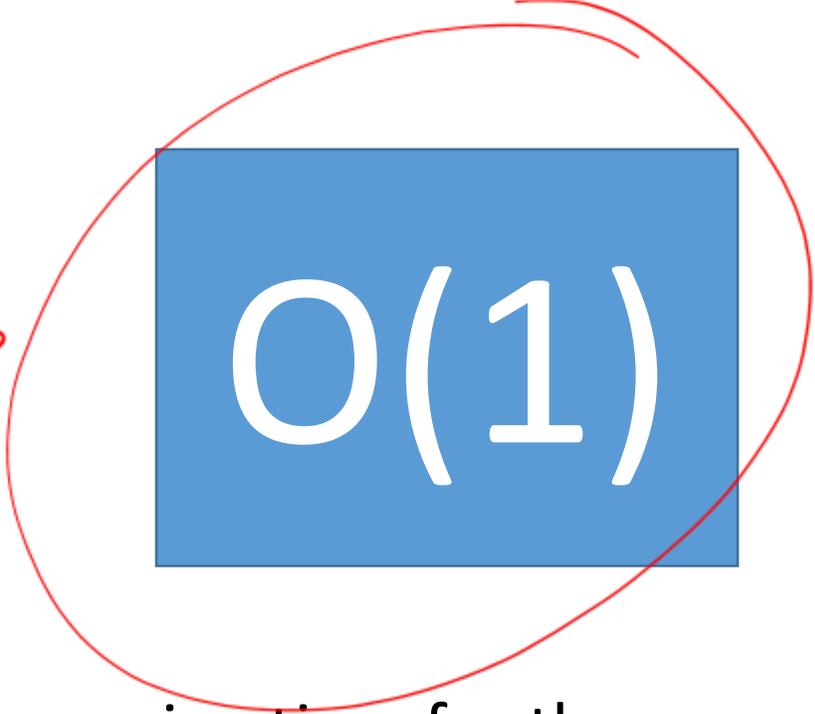
# Universal Hashing

<https://cs.pomona.edu/classes/cs140/>

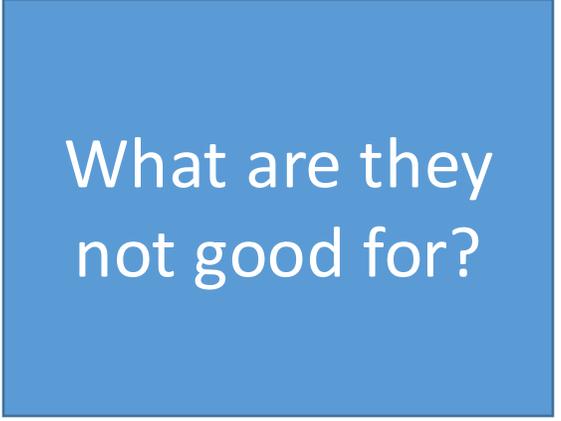
# Hash Tables

Operations:

- ✱ • Insert
- ✱ • Delete
- ✱ • Look-up



$O(1)$



What are they not good for?

Guaranteed constant running time for those operations if:

1. If the hash table is properly implemented, and
2. The data is **non-pathological**.

# Pathological Data Sets

- We want our hash functions to “spread-out” the data (i.e., minimize collisions)
- Unfortunately, no perfect hash function exists (it’s impossible)
- You can create a pathological data set for **any** hash function

# Pathological Data Sets

Purposefully select only the elements that map to the same bucket.

Universe of all possible objects

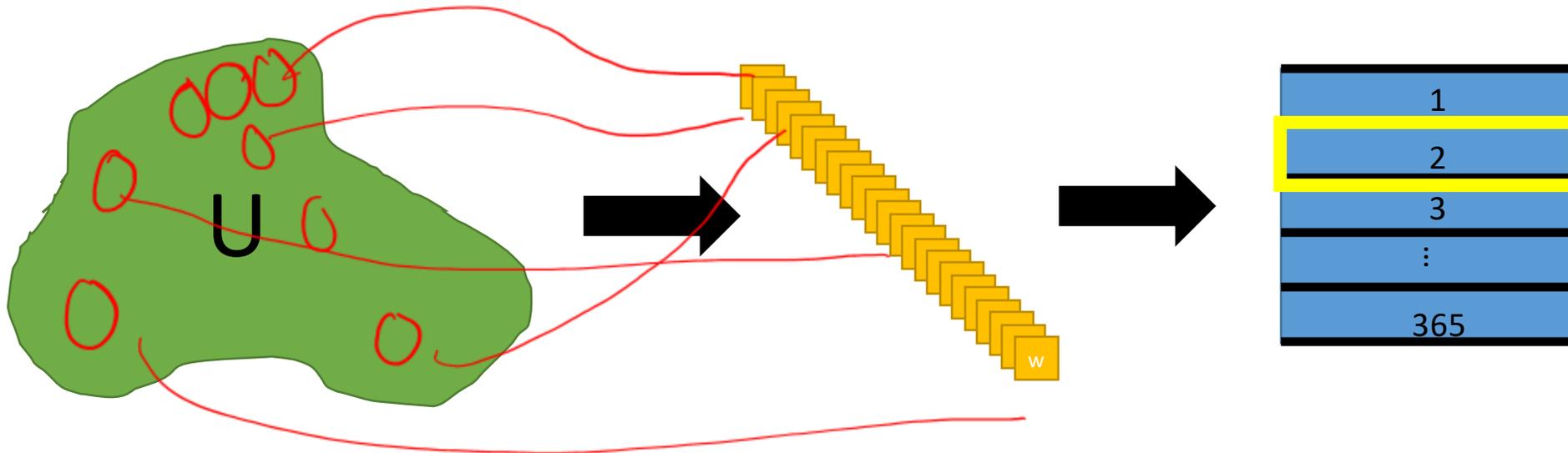


Fix (create) the hash function  $h(x) \rightarrow \{0, 1, \dots, n-1\}$ , where  $n$  is the number of buckets in the hash table and  $n \ll |U|$

$n$

$$h(x_k) = i$$

With the pigeonhole principle, there must exist a bucket  $i$ , such that at least  $|U|/n$  elements of  $U$  hash to  $i$  under  $h$



# Pathological Data Set Example

- We want to store student **student ID numbers** in a hash table.
- We will store about **30** students worth of data
- Let's use a hash table with **87** buckets
- Let's use the final **three numbers** as the hash

```
s = 30
n = 87
```

```
def hash_fcn(id_number):
    return id_number % n
```

```
id_numbers = [randint(1000000, 9999999) for _ in range(s)]
hash_values = map(hash_fcn, id_numbers)
print('Number of unique student IDs:', len(set(id_numbers)))
print('Number of unique hash values:', len(set(hash_values)))
```

```
id_numbers_pathological = [round(num, -2) for num in id_numbers]
hash_values_pathological = map(hash_fcn, id_numbers_pathological)
print('Number of unique student IDs:', len(set(id_numbers_pathological)))
print('Number of unique hash values:', len(set(hash_values_pathological)))
```

### Output:

```
Number of unique student IDs: 30
Number of unique hash values: 28
```

```
Number of unique student IDs: 30
Number of unique hash values: 1
```

# Real World Pathological Data

Distributed DOS

- Denial of service attack (DOS)
- A study in 2003 found that they could interrupt the service of any server with the following attributes:
  1. The server used an open-source hash table
  2. The hash table uses an easy-to-reverse-engineer hash function
- How does reverse engineering the hash function help an attacker?

Create a pathological data set of IPs

# Solutions to Pathological Data

$$h(x) = \underline{\hspace{2cm}}$$

Use a cryptographic hash function

- Infeasible to create pathological data for such a function  
(but not theoretically impossible)

Use randomization (Can still be an open-source implementation!)

1. Create a **family** of hash functions
2. Randomly pick one at **runtime**

# Universal Hashing

Let  $H$  be a **set** of hash functions mapping  $U$  to  $\{0, 1, \dots, n-1\}$

The family  $H$  is universal if and only if for all  $x, y$  in  $U$

$\Pr(h(x) = h(y)) \leq 1/n$  Probability of a collision given any hash function

where  $h$  is chosen uniformly at random from  $H$

Hash functions do not consistently map a set of inputs to the same bucket

# Example: Hashing IP Addresses

$$|U| = 2^{32} = 256^4 \\ = \\ 4,294,967,296$$

- What is  $U$ ? And how big is  $U$ ?
- $U$  includes all IP addresses, which we'll denote as 4-tuples  
example:  $X = (x_1, x_2, x_3, x_4)$  where  $x_i$  is in  $[0, 255]$
- Let  $n$  = some prime number that is near a multiple of the number of objects we expect to store  
example:  $|S| = 500$ , we set  $n = 997$

IP Address

How large is the family of hash function?

- Let  $H$  be our **set** of hash functions  
example:  $h(x) = A \cdot X \bmod n = (a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4) \bmod n$   
where  $A = (a_1, a_2, a_3, a_4)$  and  $a_i$  is in  $[0, n-1]$   
 $H$  includes all combinations the coefficients in  $A$

$$n \cdot n \cdot n \cdot n$$

$$|H| = n^4 \\ = \\ 988 \text{ billion}$$

$$h(x) = (a_1x_1 + a_2x_2 + a_3x_3 + a_4x_4) \% n$$

Here are some members of  $H$

- $h_\alpha(x) = (1 \cdot x_1 + 1 \cdot x_2 + 1 \cdot x_3 + 1 \cdot x_4) \% n$
- $h_\beta(x) = (0 \cdot x_1 + 127 \cdot x_2 + 91 \cdot x_3 + 88 \cdot x_4) \% n$
- $h_\gamma(x) = (14 \cdot x_1 + 13 \cdot x_2 + 12 \cdot x_3 + 11 \cdot x_4) \% n$

3 of the  
family  $H$

```
n = 997
```

```
def ip_hash_fcn(X, A):  
    return sum([x * a for x, a in zip(X, A)]) % n
```

```
ip_address = [randrange(256) for _ in range(4)] # i.e., 192.168.3.7  
hash_coeff = [randrange(n) for _ in range(4)]
```

```
print("IP address      :", ".".join(map(str, ip_address)))  
print("Hash coefficients:", hash_coeff)  
print("Hash value       :", ip_hash_fcn(ip_address, hash_coeff))
```

		$x_1$	$x_2$	$x_3$	$x_4$	
IP address	:	227	75	113	191	
		$a_1$	$a_2$	$a_3$	$a_4$	
Hash coefficients	:	[394,	429,	328,	78]	
Hash value	:	97				

# Example: Hashing IP Addresses

Theorem: the family  $H$  is universal

$$\frac{\# \text{ of functions that map } x \text{ and } y \text{ to the same location}}{\text{total \# of functions}} \leq \frac{1}{n}$$

- Let  $H$  be a **set** of hash functions mapping  $U$  to  $\{0, 1, \dots, n-1\}$
- The family  $H$  is universal if and only if for all  $x, y$  in  $U$
- $\Pr(h(x) = h(y)) \leq 1/n$
- where  $h$  is chosen uniformly at random from  $H$

# Hashing IP Addresses Proof

- Consider two *distinct* IP addresses  $X$  and  $Y$
- Assume that  $x_4 \neq y_4$  (they might differ in other places as well)
  - The same argument will hold regardless of which part of the tuple we consider
- Based on our choice of  $h_i$ , what is the probability of a collision?
  - What fraction of hash functions ( $h_i$ ) cause a collision?  $\Pr[h(X) = h(Y)]$
- Where  $h_i$  is any of the hash function from  $H$
- We want to show that  $\leq 1/n$  of the billions of hash functions have a collision for  $X$  and  $Y$

Theorem: for any possible hash function, the probability of a collision between objects  $X$  and  $Y$  is  $\leq \frac{1}{n}$

Hash functions are selected from the hash family by randomly generating four values for  $A$

Collision between objects  $X$  and  $Y$

$$h(X) = h(Y)$$

$$(A \cdot X) \bmod n = (A \cdot Y) \bmod n$$

$$(\cancel{a_1 x_1 + a_2 x_2 + a_3 x_3 + a_4 x_4}) \bmod n = (\cancel{a_1 y_1 + a_2 y_2 + a_3 y_3 + a_4 y_4}) \bmod n$$

$$0 = [a_1(y_1 - x_1) + a_2(y_2 - x_2) + a_3(y_3 - x_3) + a_4(y_4 - x_4)] \bmod n$$

Theorem: for any possible hash function, the probability of a collision between objects  $X$  and  $Y$  is  $\leq \frac{1}{n}$

Hash functions are selected from the hash family by randomly generating four values for  $A$

$$0 = [a_1(y_1 - x_1) + a_2(y_2 - x_2) + a_3(y_3 - x_3) + a_4(y_4 - x_4)] \bmod n$$

Something must be different between  $X$  and  $Y$ . Let's assume that  $x_4 \neq y_4$

$$a_4(x_4 - y_4) \bmod n = [a_1(y_1 - x_1) + a_2(y_2 - x_2) + a_3(y_3 - x_3)] \bmod n$$

Non-zero value that depends on  $a_4$

Assume  $n$  is prime.

From here we are going to **fix** our choices of  $a_1$ ,  $a_2$ , and  $a_3$  and let  $a_4$  continue to be a random variable

Principle of Deferred Decisions

We want to show that for any value of  $a_4$  we have a  $\frac{1}{n}$  chance of a collision.

Theorem: for any possible hash function, the probability of a collision between objects  $X$  and  $Y$  is  $\leq \frac{1}{n}$

Something must be different between  $X$  and  $Y$ . Let's assume that  $x_4 \neq y_4$

Non-zero value that depends on  $a_4$

Assume  $n$  is prime.

$$a_4(x_4 - y_4) \bmod n = [a_1(y_1 - x_1) + a_2(y_2 - x_2) + a_3(y_3 - x_3)] \bmod n$$

From here we are going to **fix** our choices of  $a_1$ ,  $a_2$ , and  $a_3$  and let  $a_4$  be a random variable

Principle of Deferred Decisions

We want to show that for any value of  $a_4$  we have a  $\frac{1}{n}$  chance of a collision.

How many choices of  $a_4$  satisfy the above equation?

TTYNs

- Our RHS is some constant! It is just some number in  $[0, n-1]$  because  $X$ ,  $Y$ , and  $a_1$ ,  $a_2$ ,  $a_3$  are fixed
- If  $n$  is a prime number, then the LHS is equally likely to be any number from  $[0, n-1]$ 
  - This claim requires some number theory to properly prove

Unique multiplicative

Thus, based on our choice for  $a_4$ , we have that  $\Pr(h(X) = h(Y)) = 1/n$

# Prime number for n

$$n = 7, x_4 = 3, y_4 = 1$$

$a_4$	$a_4(x_4 - y_4) \bmod n$
0	$0 \cdot (2) \% 7 = 0$
1	$1 \cdot (2) \% 7 = 2$
2	$2 \cdot (2) \% 7 = 4$
3	$3 \cdot (2) \% 7 = 6$
4	$4 \cdot (2) \% 7 = 1$
5	$5 \cdot (2) \% 7 = 3$
6	$6 \cdot (2) \% 7 = 5$

Different hash functions from the family H

$X = (x_1, x_2, x_3, x_4)$  where  $x_i$  is in  $[0, 255]$

$Y = (y_1, y_2, y_3, y_4)$  where  $y_i$  is in  $[0, 255]$

$A = (a_1, a_2, a_3, a_4)$  and  $a_i$  is in  $[0, n-1]$

$|S| = 500$

$n = 997$

$h(x) = (A \cdot X) \bmod n$

And H includes all combinations for the coefficients in A

What do we want in the second column?

Different values indicate different hash values, which is good.

$$a_4(x_4 - y_4) \bmod n = [a_1(y_1 - x_1) + a_2(y_2 - x_2) + a_3(y_3 - x_3)] \bmod n$$

# Prime number for n

$$n = 7, x_4 = 3, y_4 = 1$$

$a_4$	$a_4(x_4 - y_4) \bmod n$
0	0
1	2
2	4
3	6
4	1
5	3
6	5

Different  
hash  
functions  
from the  
family  $H$

$$n = 7, x_4 = 4, y_4 = 1$$

$a_4$	$a_4(x_4 - y_4) \bmod n$
0	0
1	3
2	6
3	2
4	5
5	1
6	4

# Non-Prime number for n

x<sup>4</sup>-y<sup>4</sup> shares factors with n

$n = 8, x_4 = 3, y_4 = 1$

$n = 8, x_4 = 4, y_4 = 1$

Different hash functions from the family H

$a_4$	$a_4(x_4 - y_4) \pmod n$
0	0
1	2
2	4
3	6
4	0
5	2
6	4
7	6

$a_4$	$a_4(x_4 - y_4) \pmod n$
0	0
1	3
2	6
3	1
4	4
5	7
6	2
7	5

# Summary

- We cannot create a hash function that prevents creation of a pathological dataset
- As long as the hash function is known, a pathological dataset can be created
- We can create families of hash functions that make it infeasible to guess which hash function is in use