

Asymptotic Notation (Big O)

<https://cs.pomona.edu/classes/cs140/>

Outline

Topics and Learning Objectives

- Discuss total running time
- Discuss asymptotic running time
- Learn about asymptotic notation

Exercise

- Running time

Extra Resources

- Chapter 3: asymptotic notation

Comparing Algorithms and Data Structures

We like to compare algorithms and data structures

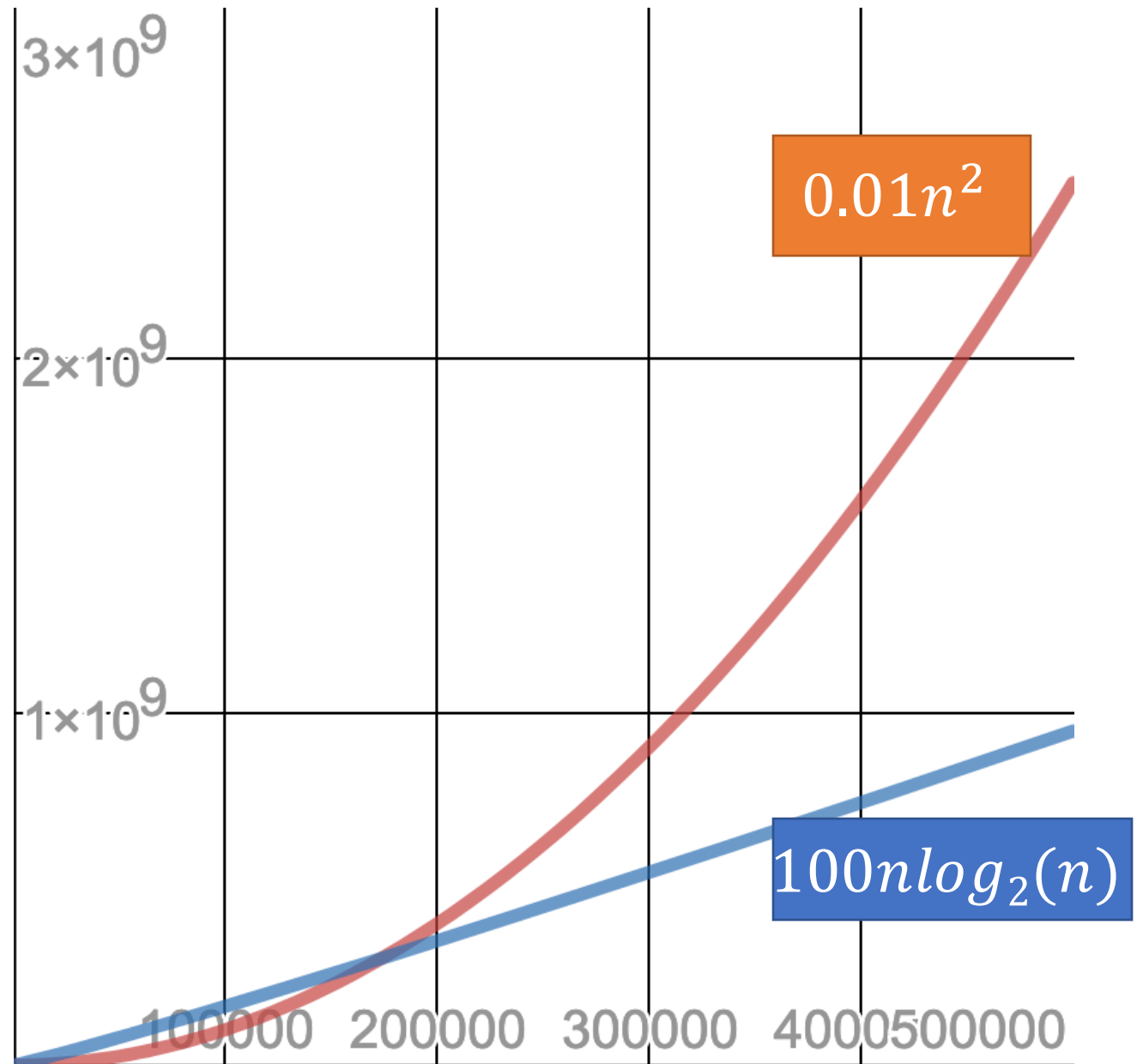
- Speed
- Memory usage

We don't always need to care about little details

We ignore some details anyway

- Data locality
- Differences among operations

Constants



Big-O Example Code (ODS 1.3.3)

function_one has a total running time of $2n \log n + 2n - 250$

`a = function_one(input_one)`

function_two has a total running time of $3n \log n + 6n + 48$

`b = function_two(input_two)`

- The total running time of the code above is:

$$2n \log n + 2n - 250 + 1 + 3n \log n + 6n + 48 + 1$$

$$5n \log n + 8n - 200$$

Big-O Example Math (ODS 1.3.3)

$$5n \log n + 8n - 200$$

- We don't care about most of these details
- We want to be able to quickly glance at the running time of an algorithm and know how it compares to others
- So we say the following

$$5n \log n + 8n - 200 = O(n \log n)$$

Big-O (Asymptotic Running Time)

$$T(n) = O(f(n))$$

If and only if (iff) we can find values for $c, n_0 > 0$, such that

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Note: c, n_0 cannot depend on n

→ Searching an array for a given number?

Write an algorithm (in pseudocode):

What is the **total running time**?

```

Function FindNum (array, num)
→ { For val In array
→ {   If val == num
      { Return True
→ { Return False
  
```

What is
the total
running time?

$$T(n) = 2n + 1$$

$$\longrightarrow T(n) \leq \underline{c} f(n), \text{ where } n \geq \underline{n_0}$$

Searching an array for a given number?



What is the asymptotic running time? $T(n) = 2n + 1$

$$T(n) = O(?)$$

$$T(n) = O(n)$$

$$\star \underline{T(n) \leq cn}$$

$$\forall n \geq \underline{n_0}$$

$$2n + 1 \leq \underline{cn} \quad \forall n \geq \underline{n_0}$$

$$\underline{2n} + \underline{1} \leq \underline{2n} + \underline{n} \quad \forall n \geq 1$$

$$\begin{aligned} c &= 3 \\ n_0 &= 1 \end{aligned}$$

$$\begin{aligned} \downarrow \\ \cancel{3n \leq cn} \\ \forall n \geq n_0 \end{aligned}$$



Search two separate arrays (sequentially) for a given number?



Write an algorithm (in pseudocode):

What is the **total running time**?

Function FindNumIn2 (array1, array2, num)

return FindNum(array1, num) OR
FindNum(array2, num)

$$T(n) = 4n + 3$$

n?
n = max(array1.length, array2.length)

$(2n + 1) + (2n + 1) + 1 + 1$

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Search two separate arrays (sequentially) for a given number?

What is the asymptotic running time? $T(n) = 4n + 3 = O(n)$



$$T(n) = O(n)$$

$$4n + 3 \leq c n \quad \forall n \geq n_0$$

$$4n + 3 \leq 4n + 3n \leq c n \quad \forall n \geq n_0$$

$$4n + 3 \leq 4n + 3n$$

$$3 \leq 3n \rightarrow n \geq 1$$

$$4n + 3n \leq c n$$

$$7n \leq c n$$

$$c = 7, n_0 = 1$$

Naïve

Hash Table $\rightarrow O(n)$

Searching two arrays for any common number?



Write an algorithm (in pseudocode):

What is the **total running time**?

```
Function Find Common (array1, array2)
n   For val1 In array1
n   If Find (array2, val1) (2n+1)
      Return True
Return False
```

$$\begin{aligned} T(n) &= n + n(2n+1) + 1 \\ &= 2n^2 + \overset{2n}{n} + n + 1 \end{aligned}$$

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Searching two arrays for any common number?



What is the asymptotic running time? $T(n) = 2n^2 + 2n + 1$

$$T(n) \neq O(n)$$

$$\frac{2n^2}{n} + \frac{2n}{n} + \frac{1}{n} \leq \frac{cn}{n}$$

$$\nexists n \geq \underline{n_0}$$

1,000,000

$$\downarrow 20^2 + 1 = 20^3$$

$$2n + 2 + \frac{1}{n} \leq C$$

$$n_0 = ? \\ = 2000$$

$$T(n) \leq c \underline{f(n)}, \text{ where } n \geq n_0$$

Searching two arrays for any common number?



What is the asymptotic running time? $T(n) = 2n^2 + 2n + 1$

$$T(n) = O(n^2)$$

$$2n^2 + 2n + 1 \leq c(n^2)$$

$$2n^2 + 2n + 1 \leq 2n^2 + 2n^2 + 1n^2 \leq cn^2 \quad \forall n \geq n_0$$

$$\cancel{2n^2} + 2n + 1 \leq \cancel{2n^2} + 2n^2 + n^2$$

$$2n \leq 2n^2 \quad 1 \leq n^2$$

$$1 \leq n \quad n \geq 1$$

$$2n^2 + 2n^2 + n^2 \leq cn^2$$

$$5n^2 \leq cn^2$$

$$c = 5, n_0 = 1$$

Searching a single array for duplicate numbers?

Write an algorithm (in pseudocode):

What is the **total running time**?

```

Function FindDuplicate(array)
    array = Merge Sort (array)
    For i In [1 .. < array.length]
        If array[i-1] == array[i]
            Return True
    Return False
  
```

$2 \ln \ln n + 2 \ln$
 $\ln$
 $3n$
 $2 \ln \ln n + 2 \ln + 4n + 1$
 $2 \ln \ln n + 25n + 1$

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Searching a single array for duplicate numbers?



What is the asymptotic running time? $T(n) = 21n \lg n + 25n + 1$

$$T(n) = O(n \lg n)$$

$$\frac{21n \lg n}{n \lg n} + \frac{25n}{n \lg n} + \frac{1}{n \lg n} \leq \frac{C n \lg n}{n \lg n} \quad \forall n \geq n_0$$

$$\rightarrow 21 + \frac{25}{\lg n} + \frac{1}{n \lg n} \leq C \quad \forall n \geq n_0$$

$\frac{25}{\lg n} \leq 1 \rightarrow \frac{25}{\lg 2} \rightarrow \frac{25}{1} \rightarrow 25$
 $\frac{25}{\lg 2} \rightarrow \frac{25}{1} \rightarrow 25$
 $\frac{1}{n \lg n} \rightarrow \frac{1}{26} \rightarrow 1 \rightarrow 1$

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Searching a single array for duplicate numbers?



What is the asymptotic running time? $T(n) = 21n \lg n + 25n + 1 \Rightarrow O(n \lg n)$

$$21 + 1 + \frac{1}{n \lg n} \leq C \quad \forall n \geq n_0$$

$$n_0 \geq 2^{25}$$

$$\frac{1}{n \lg n} \leq 1$$

$$21 + 1 + 1 \leq C \quad \forall n \geq 2^{25}$$

$$C = 22, \quad n_0 = 2^{25}$$

$$n \geq 2$$

Exercise

Big-O Examples

$$T(n) = O(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Note: c, n_0 cannot depend on n

- Claim: $2^{n+10} = O(2^n)$

$$2^{n+10} \leq \underline{c} 2^n \quad \forall n \geq \underline{n_0}$$

$$2^n 2^{10} \leq c \cancel{2^n} \quad \forall n \geq n_0$$

$$c = 2^{10}, \quad n_0 = 1$$



Big-O Examples

$$T(n) = O(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Note: c, n_0 cannot depend on n

- Claim: $2^{10n} \neq O(2^n)$

$$2^{-n} \frac{2^{10n}}{2^n} \leq c \cancel{2^n} \quad \forall n \geq n_0$$

$$2^{10n-n} \leq c$$

$$2^{9n} \leq \boxed{c} \quad \forall n \geq n_0$$

$$\forall n \geq n_0$$

$$2^{10n} \neq O(2^n)$$



Big-O Examples

$$T(n) = O(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$T(n) \leq c f(n), \text{ where } n \geq n_0$$

Note: c, n_0 cannot depend on n

- Claim: for every $k \geq 1$, n^k is ^{$\neq$} **not** $O(n^{k-1})$



$$\forall k \geq 1 \quad n^k \neq O(n^{k-1})$$

$$n^k \leq c n^{k-1} \quad \forall n \geq n_0$$

$$\cancel{n^k} \leq c \cancel{n^k} n^{-1} \quad \forall n \geq n_0$$

$$\underbrace{n \leq c}_{\forall n \geq n_0}$$

$\square$ Claim is true

Θ Examples

$$T(n) = \Theta(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$c_1 f(n) \leq T(n) \leq c_2 f(n), \text{ where } n \geq n_0$$

Note: c_1, c_2, n_0 cannot depend on n

- Claim: $21n (\log_2(n) + 1) = \Theta(n \log_2 n)$



Other Notations

• Big-O ($\leq$) : $T(n) = O(f(n))$ if $T(n) \leq c f(n)$, where $n \geq n_0$

• Big-Omega ($\geq$) : $T(n) = \Omega(f(n))$ if $T(n) \geq c f(n)$, where $n \geq n_0$

• Theta ($=$) : $T(n) = \Theta(f(n))$ if $T(n) = O(f(n))$ and $T(n) = \Omega(f(n))$

$$c_1 f(n) \leq T(n) \leq c_2 f(n), \text{ where } n \geq n_0$$

Other Notations

- Big-O ($\leq$) : $T(n) = O(f(n))$ if $T(n) \leq c f(n)$, where $n \geq n_0$
- little-o ($<$)
- Big-Omega ($\geq$) : $T(n) = \Omega(f(n))$ if $T(n) \geq c f(n)$, where $n \geq n_0$
- Little-omega ($>$)

Θ Examples

Big-O upper bound

- Claim: $21n (\log_2(n) + 1) = \Theta(n \log_2 n)$

$$T(n) = \Theta(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$c_1 f(n) \leq T(n) \leq c_2 f(n), \text{ where } n \geq n_0$$

Note: c_1, c_2, n_0 cannot depend on n



$$21n \lg n + 21n \leq c_2 n \lg n \quad \forall n \geq n_0$$

$$21n \lg n + (21n) \leq 21n \lg n + 21n \lg n \leq c_2 n \lg n$$

$$21n \lg n \leq 21n \lg n \quad \checkmark$$

$$\begin{aligned} 21n &\leq 21n \lg n \\ 1 &\leq \lg n \quad n \geq 2 \end{aligned}$$

$$42n \lg n \leq c_2 n \lg n$$

$$c_2 = 42, \quad n_0 = 2$$

Θ Examples

Big-O

- Claim: $21n (\log_2(n) + 1) = \Theta(n \log_2 n)$

$$\left. \begin{array}{l} c_2 = 22 \\ n_0 = 2^{21} \end{array} \right\}$$

$$T(n) = \Theta(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$c_1 f(n) \leq T(n) \leq c_2 f(n), \text{ where } n \geq n_0$$

Note: c_1, c_2, n_0 cannot depend on n



Θ Examples

$$T(n) = \Theta(f(n))$$

If and only if we can find values for $c, n_0 > 0$, such that

$$c_1 f(n) \leq T(n) \leq c_2 f(n), \text{ where } n \geq n_0$$

Note: c_1, c_2, n_0 cannot depend on n

→ 42 2

- Claim: $21n (\log_2(n) + 1) = \Theta(n \log_2 n)$



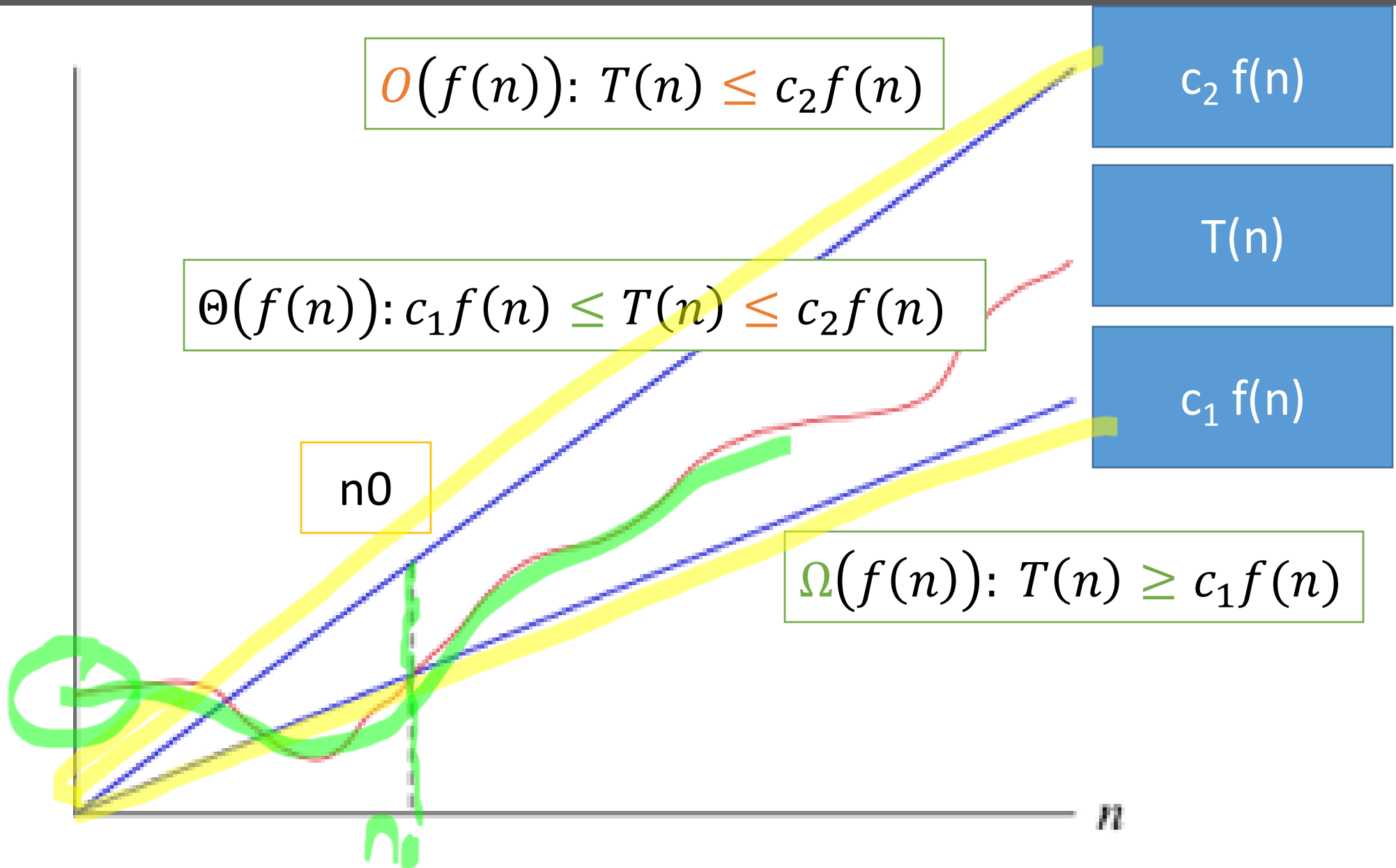
$$c_1 n \lg n \leq 21n \lg n + 21n \quad \forall n \geq n_0$$

$$\underline{c_1 n \lg n} \leq \underline{21n \lg n} \quad \leq \quad \underline{21n \lg n + 21n}$$

$$c_1 \cancel{n \lg n} \leq 21 \cancel{n \lg n}$$

$$\underline{n \geq 1}$$

$$c_1 = 21, \quad c_2 = 42, \quad n_0 = 2$$

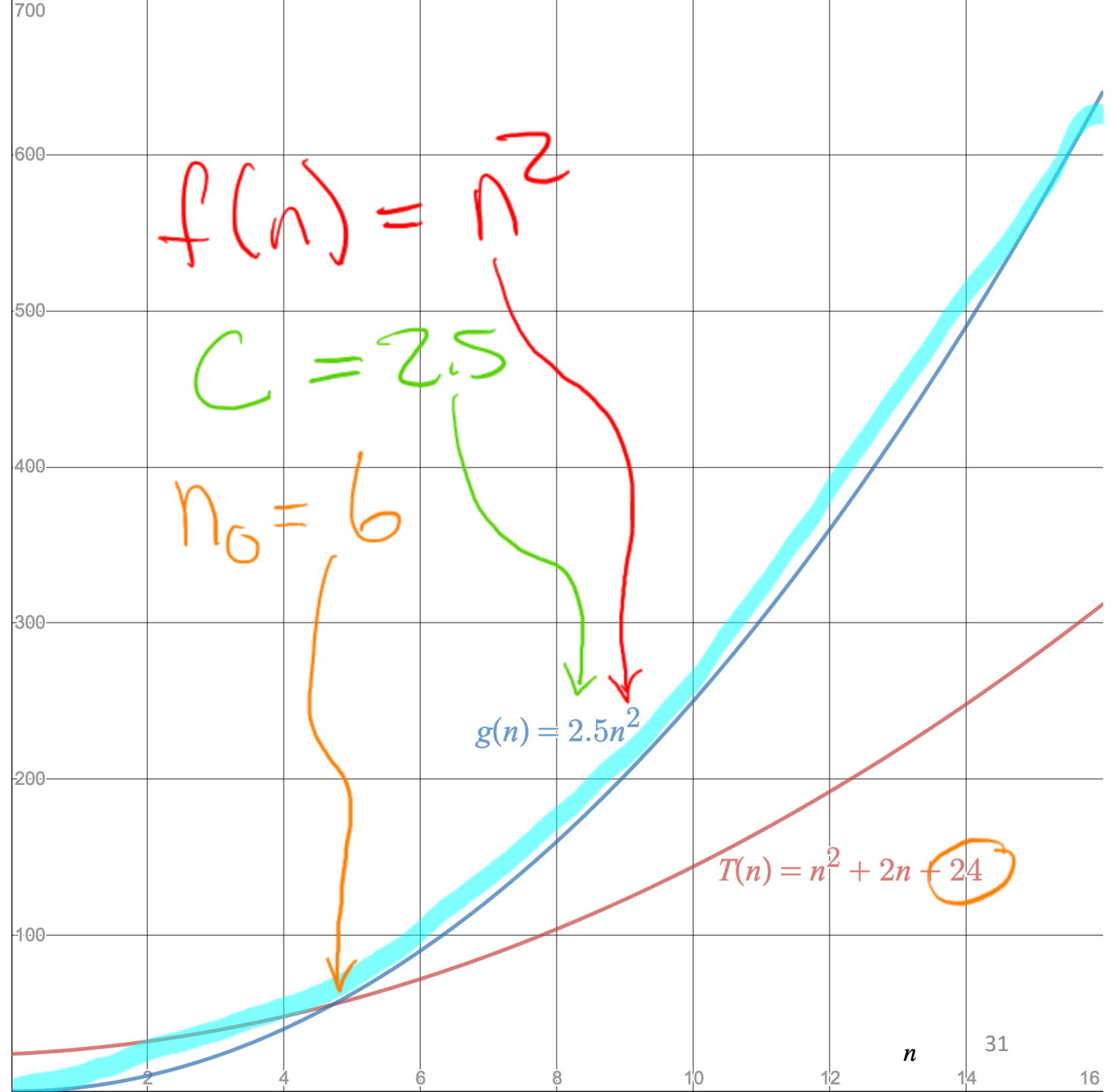


What is $f(n)$?

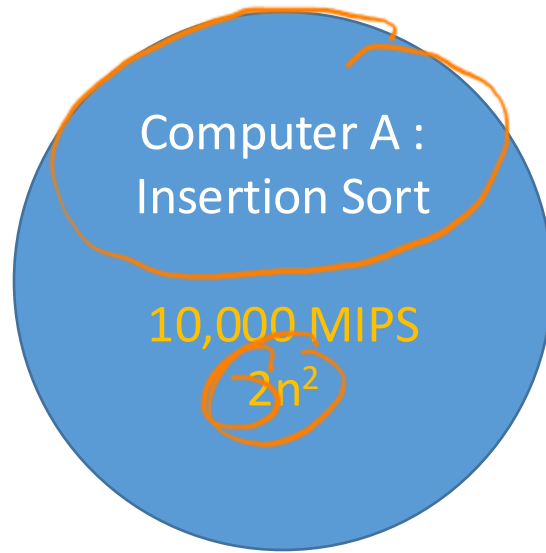
What are good values for:

- c

- n_0



Insertion Sort vs Merge Sort



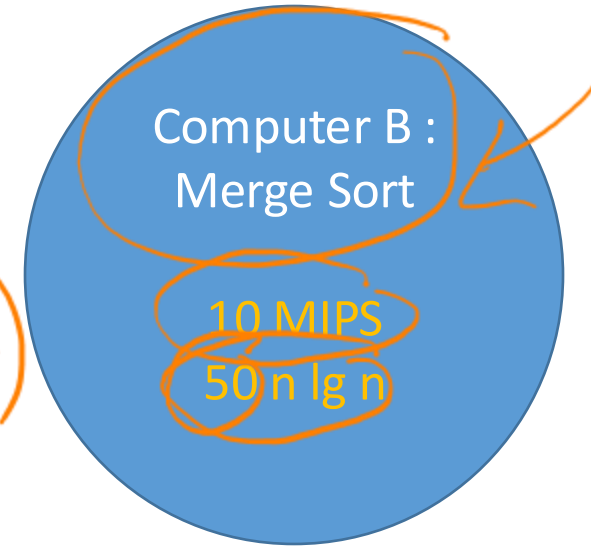
$O(n^2)$

5.5 hours

23 days

10 million numbers
100 million numbers

$O(n \lg n)$



20 minutes

4 hours

Simplifying the Comparison

- Why can we remove leading coefficients?
- Why can we remove lower order terms?
- They are both insignificant when compared with the growth of the function.
- They both get factored into the constant “c”

The diagram shows the expression $2\ln \lg n + 2\ln$ written in orange. A green circle highlights the coefficient '2' of the first term. A green arrow points from the first bullet point to this circle. A blue arrow points from the second bullet point to the entire expression. A black arrow points from the third bullet point to the $\lg n$ term. A blue arrow points from the fourth bullet point to the constant '2' in the second term.