

DYNAMIC PROGRAMMING:  
MORE FUN!

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CS 140 – Spring 2023

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## Admin

Assignment 5

Group 4 assignment distribution

Midterm next Thursday (2/29)

Assignment 6

- ▣ Released early next week
- ▣ **Due Friday before spring break**

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## Midterm 1

2 pages of notes

Up through 2/13 (no dynamic programming)

Made a previous midterm available (note, it was challenging)

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## Midterm 1 topics

Math foundations

- ▣ log properties
- ▣ properties of exponentials

Proofs by induction (weak, strong, and structural)

Big-O (theta and omega)

- ▣ Proving and disproving
- ▣ Categories and function ordering

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## Midterm 1 topics

### Recurrences

- Generating (i.e., given a function/algorithm, write the recurrence)
- Solving: recurrence tree, substitution, master method

### Sorting

- Insertion sort, Selection sort, Mergesort, Quicksort
- Runtimes, properties (in-place, stable)

### Order statistics

- median/selection
- run-time

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## Midterm 1 topics

### Data structures

- BSTs, red black trees, binary heaps, binomial heaps
- Run-times and functionality basics

### Amortized analysis

- Aggregate and accounting methods

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## Tree counting code

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## Where did “dynamic programming” come from?

“I spent the Fall quarter (of 1950) at RAND. My first task was to find a name for multistage decision processes.

“An interesting question is, ‘Where did the name, dynamic programming, come from?’ The 1950s were not good years for mathematical research. We had a very interesting gentleman in Washington named Wilson. He was Secretary of Defense, and he actually had a pathological fear and hatred of the word, research. I’m not using the term lightly; I’m using it precisely. His face would suffuse, he would turn red, and he would get violent if people used the term, research, in his presence. You can imagine how he felt, then, about the term, mathematical. The RAND Corporation was employed by the Air Force, and the Air Force had Wilson as its boss, essentially. Hence, I felt I had to do something to shield Wilson and the Air Force from the fact that I was really doing mathematics inside the RAND Corporation. What title, what name, could I choose? In the first place I was interested in planning, in decision making, in thinking. But planning, is not a good word for various reasons. I decided therefore to use the word, ‘programming.’ I wanted to get across the idea that this was dynamic, this was multistage, this was time-varying—I thought, let’s kill two birds with one stone. Let’s take a word that has an absolutely precise meaning, namely dynamic, in the classical physical sense. It also has a very interesting property as an adjective, and that is it’s impossible to use the word, dynamic, in a pejorative sense. Try thinking of some combination that will possibly give it a pejorative meaning. It’s impossible. Thus, I thought dynamic programming was a good name. It was something not even a Congressman could object to. So I used it as an umbrella for my activities” (p. 159).

Richard Bellman On the Birth of Dynamic Programming

Stuart Dreyfus

<http://www.eng.tau.ac.il/~ami/cd/r50/1526-5463-2002-50-01-0048.pdf>

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## Dynamic programming

Method for solving problems where optimal solutions can be defined in terms of optimal solutions to subproblems

**AND**  
the subproblems are overlapping

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## Dynamic programming: steps

1 a) **optimal substructure**: optimal solutions to the problem incorporate optimal solutions to related subproblems

- convince yourself that there is optimal substructure

1 b) **recursive definition**: use this to recursively define the value of an optimal solution

2) **DP solution**: describe the dynamic programming table:

- size, initial values, order in which it's filled in, location of solution

3) **Analysis**: analyze space requirements, running time

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## LCS problem

Given two sequences  $X$  and  $Y$ , a **common subsequence** is a subsequence that occurs in both  $X$  and  $Y$

Given two sequences  $X = x_1, x_2, \dots, x_n$  and

$Y = y_1, y_2, \dots, y_n$

What is the longest common subsequence?

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## 1 b: recursive solution

$X = A B C B D A B$

$Y = B D C A B A$

Assume you have a solver for smaller problems

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1 b: recursive solution

X = A B C B D A ?

Y = B D C A B ?

Is the last character part of the LCS?

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1 b: recursive solution

X = A B C B D A ?

Y = B D C A B ?

Two cases: either the characters are the same or they're different

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1 b: recursive solution

X = A B C B D A A

Y = B D C A B A

LCS

The characters are part of the LCS

What is the recursive relationship?

If they're the same

$$LCS(X, Y) = LCS(X_{1...n-1}, Y_{1...m-1}) + x_n$$

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1 b: recursive solution

X = A B C B D A B

Y = B D C A B A

LCS

If they're different

$$LCS(X, Y) = LCS(X_{1...n-1}, Y)$$

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1 b: recursive solution

X = A B C B D A B

LCS

Y = B D C A B A

↑

If they're different

$$LCS(X, Y) = LCS(X, Y_{1\dots m-1})$$

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1 b: recursive solution

X = A B C B D A B

Y = B D C A B A

?

X = A B C B D A B

Y = B D C A B A

↑

If they're different

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1 b: recursive solution

X = A B C B D A B

↑

Y = B D C A B A

↑

$$LCS(X, Y) = \begin{cases} 1 + LCS(X_{1\dots n-1}, Y_{1\dots m-1}) & \text{if } x_n = y_m \\ \max(LCS(X_{1\dots n-1}, Y), LCS(X, Y_{1\dots m-1})) & \text{otherwise} \end{cases}$$

(for now, let's just worry about counting the length of the LCS)

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2: DP solution

$$LCS(X, Y) = \begin{cases} 1 + LCS(X_{1\dots n-1}, Y_{1\dots m-1}) & \text{if } x_n = y_m \\ \max(LCS(X_{1\dots n-1}, Y), LCS(X, Y_{1\dots m-1})) & \text{otherwise} \end{cases}$$

What types of subproblem solutions do we need to store?

$LCS(X_{1\dots j}, Y_{1\dots k})$

↑      ↑

two different indices

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## 2: DP solution

$$LCS(X, Y) = \begin{cases} 1 + LCS(X_{1..n-1}, Y_{1..m-1}) & \text{if } x_n = y_m \\ \max(LCS(X_{1..n-1}, Y), LCS(X, Y_{1..m-1})) & \text{otherwise} \end{cases}$$

What types of subproblem solutions do we need to store?

$LCS(X_{1..j}, Y_{1..k})$

$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
i		y <sub>j</sub>	B	D	C	A	B	A	A
0	x <sub>i</sub>								
1	A								
2	B								
3	C								
4	B								
5	D								
6	A								
7	B								

For Fibonacci and tree counting, we had to initialize some entries in the array. Any here?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
i		y <sub>j</sub>	B	D	C	A	B	A	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0						
2	B		0						
3	C		0						
4	B		0						
5	D		0						
6	A		0						
7	B		0						

Need to initialize values within 1 smaller in either dimension.

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
i		y <sub>j</sub>	B	D	C	A	B	A	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0						
2	B		0						
3	C		0						
4	B		0						
5	D		0						
6	A		0						
7	B		0						

How should we fill in the table?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0						
2 B	0						
3 C	0		?				
4 B	0						
5 D	0						
6 A	0						
7 B	0						

To fill in an entry, we may need to look:

- up one
- left one
- diagonal up and left

Just need to make sure these exist

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	?					
2 B	0						
3 C	0						
4 B	0						
5 D	0						
6 A	0						
7 B	0						

LCS(A, B)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0					
2 B	0						
3 C	0						
4 B	0						
5 D	0						
6 A	0						
7 B	0						

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	0	?	
2 B	0						
3 C	0						
4 B	0						
5 D	0						
6 A	0						
7 B	0						

LCS(A, BDCA)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	1		
2 B	0						
3 C	0						
4 B	0						
5 D	0						
6 A	0						
7 B	0						

LCS(A, BDCA)

29

$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	1	1	1
2 B	0	1	1	1	1	2	2
3 C	0	1	1	2	2	2	2
4 B	0	1	1	2	2	?	
5 D	0						
6 A	0						
7 B	0						

LCS(ABCB, BDCAB)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	1	1	1
2 B	0	1	1	1	1	2	2
3 C	0	1	1	2	2	2	2
4 B	0	1	1	2	2	3	
5 D	0						
6 A	0						
7 B	0						

LCS(ABCB, BDCAB)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

i \ j	0	1	2	3	4	5	6
	$y_j$	B	D	C	A	B	A
0 $x_i$	0	0	0	0	0	0	0
1 A	0	0	0	0	1	1	1
2 B	0	1	1	1	1	2	2
3 C	0	1	1	2	2	2	2
4 B	0	1	1	2	2	3	3
5 D	0	1	2	2	2	3	3
6 A	0	1	2	2	3	3	4
7 B	0	1	2	2	3	4	4

Where's the final answer?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j						
		0	1	2	3	4	5	6
i	x <sub>i</sub>	y <sub>j</sub>	B	D	C	A	B	A
	0	x <sub>0</sub>		0	0	0	0	0
1	A		0	0	0	0	1	1
2	B		0	1	1	1	1	2
3	C		0	1	1	2	2	2
4	B		0	1	1	2	2	3
5	D		0	1	2	2	2	3
6	A		0	1	2	2	3	4
7	B		0	1	2	2	3	4

Space requirements?  
Running time?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j						
		0	1	2	3	4	5	6
i	x <sub>i</sub>	y <sub>j</sub>	B	D	C	A	B	A
	0	x <sub>0</sub>		0	0	0	0	0
1	A		0	0	0	0	1	1
2	B		0	1	1	1	1	2
3	C		0	1	1	2	2	2
4	B		0	1	1	2	2	3
5	D		0	1	2	2	2	3
6	A		0	1	2	2	3	4
7	B		0	1	2	2	3	4

Space requirements:  $\Theta(nm)$   
Running time:  $\Theta(nm)$

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### The algorithm

```

LCS-LENGTH(X, Y)
1  m ← length[X]
2  n ← length[Y]
3  c[0, 0] ← 0
4  for i ← 1 to m
5      c[i, 0] ← 0
6  for j ← 1 to n
7      c[0, j] ← 0
8  for i ← 1 to m
9      for j ← 1 to n
10         if xi = yj
11             c[i, j] ← 1 + c[i-1, j-1]
12         elseif c[i-1, j] > c[i, j-1]
13             c[i, j] ← c[i-1, j]
14         else
15             c[i, j] ← c[i, j-1]
16  return c[m, n]
    
```

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### The algorithm

```

LCS-LENGTH(X, Y)
1  m ← length[X]
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3  c[0, 0] ← 0
4  for i ← 1 to m
5      c[i, 0] ← 0
6  for j ← 1 to n
7      c[0, j] ← 0
8  for i ← 1 to m
9      for j ← 1 to n
10         if xi = yj
11             c[i, j] ← 1 + c[i-1, j-1]
12         elseif c[i-1, j] > c[i, j-1]
13             c[i, j] ← c[i-1, j]
14         else
15             c[i, j] ← c[i, j-1]
16  return c[m, n]
    
```

Base case initialization

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## The algorithm

```

LCS-LENGTH( $X, Y$ )
1  $m \leftarrow \text{length}[X]$ 
2  $n \leftarrow \text{length}[Y]$ 
3  $c[0, 0] \leftarrow 0$ 
4 for  $i \leftarrow 1$  to  $m$ 
5    $c[i, 0] \leftarrow 0$ 
6 for  $j \leftarrow 1$  to  $n$ 
7    $c[0, j] \leftarrow 0$ 
8 for  $i \leftarrow 1$  to  $m$ 
9   for  $j \leftarrow 1$  to  $n$ 
10    if  $x_i = y_j$ 
11       $c[i, j] \leftarrow 1 + c[i - 1, j - 1]$ 
12    elseif  $c[i - 1, j] > c[i, j - 1]$ 
13       $c[i, j] \leftarrow c[i - 1, j]$ 
14    else
15       $c[i, j] \leftarrow c[i, j - 1]$ 
16 return  $c[m, n]$ 

```

Fill in the matrix

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## The algorithm

```

LCS-LENGTH( $X, Y$ )
1  $m \leftarrow \text{length}[X]$ 
2  $n \leftarrow \text{length}[Y]$ 
3  $c[0, 0] \leftarrow 0$ 
4 for  $i \leftarrow 1$  to  $m$ 
5    $c[i, 0] \leftarrow 0$ 
6 for  $j \leftarrow 1$  to  $n$ 
7    $c[0, j] \leftarrow 0$ 
8 for  $i \leftarrow 1$  to  $m$ 
9   for  $j \leftarrow 1$  to  $n$ 
10    if  $x_i = y_j$ 
11       $c[i, j] \leftarrow 1 + c[i - 1, j - 1]$ 
12    elseif  $c[i - 1, j] > c[i, j - 1]$ 
13       $c[i, j] \leftarrow c[i - 1, j]$ 
14    else
15       $c[i, j] \leftarrow c[i, j - 1]$ 
16 return  $c[m, n]$ 

```

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## The algorithm

```

LCS-LENGTH( $X, Y$ )
1  $m \leftarrow \text{length}[X]$ 
2  $n \leftarrow \text{length}[Y]$ 
3  $c[0, 0] \leftarrow 0$ 
4 for  $i \leftarrow 1$  to  $m$ 
5    $c[i, 0] \leftarrow 0$ 
6 for  $j \leftarrow 1$  to  $n$ 
7    $c[0, j] \leftarrow 0$ 
8 for  $i \leftarrow 1$  to  $m$ 
9   for  $j \leftarrow 1$  to  $n$ 
10    if  $x_i = y_j$ 
11       $c[i, j] \leftarrow 1 + c[i - 1, j - 1]$ 
12    elseif  $c[i - 1, j] > c[i, j - 1]$ 
13       $c[i, j] \leftarrow c[i - 1, j]$ 
14    else
15       $c[i, j] \leftarrow c[i, j - 1]$ 
16 return  $c[m, n]$ 

```

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## The algorithm

```

LCS-LENGTH( $X, Y$ )
1  $m \leftarrow \text{length}[X]$ 
2  $n \leftarrow \text{length}[Y]$ 
3  $c[0, 0] \leftarrow 0$ 
4 for  $i \leftarrow 1$  to  $m$ 
5    $c[i, 0] \leftarrow 0$ 
6 for  $j \leftarrow 1$  to  $n$ 
7    $c[0, j] \leftarrow 0$ 
8 for  $i \leftarrow 1$  to  $m$ 
9   for  $j \leftarrow 1$  to  $n$ 
10    if  $x_i = y_j$ 
11       $c[i, j] \leftarrow 1 + c[i - 1, j - 1]$ 
12    elseif  $c[i - 1, j] > c[i, j - 1]$ 
13       $c[i, j] \leftarrow c[i - 1, j]$ 
14    else
15       $c[i, j] \leftarrow c[i, j - 1]$ 
16 return  $c[m, n]$ 

```

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### Keeping track of the solution

Our LCS algorithm only calculated the length of the LCS between X and Y

What if we wanted to know the actual sequence?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	?	
5	D		0						
6	A		0						
7	B		0						

LCS(ABCB, BDCAB)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	
5	D		0						
6	A		0						
7	B		0						

LCS(ABCB, BDCAB)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	?
5	D		0						
6	A		0						
7	B		0						

LCS(ABCB, BDCABA)

44

$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	3
5	D		0						
6	A		0						
7	B		0						

LCS(ABCB, BDCABA)

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	3
5	D		0	1	2	2	2	3	3
6	A		0	1	2	2	3	3	4
7	B		0	1	2	2	3	4	4

How do we generate the solution from this?

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$$LCS[i, j] = \begin{cases} 1 + LCS[i-1, j-1] & \text{if } x_i = y_j \\ \max(LCS[i-1, j], LCS[i, j-1]) & \text{otherwise} \end{cases}$$

		j	0	1	2	3	4	5	6
		i	y <sub>j</sub>	B	D	C	A	B	A
0	x <sub>i</sub>		0	0	0	0	0	0	0
1	A		0	0	0	0	1	1	1
2	B		0	1	1	1	1	2	2
3	C		0	1	1	2	2	2	2
4	B		0	1	1	2	2	3	3
5	D		0	1	2	2	2	3	3
6	A		0	1	2	2	3	3	4
7	B		0	1	2	2	3	4	4

We can follow the arrows to generate the solution

BCBA

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### Rod splitting

Input: a length  $n$  and a table of prices for  $i = 1, 2, \dots, m$

Output: maximum revenue obtainable by cutting up the rod and selling the pieces

Example:

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

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## Rod splitting

Input: a length  $n$  and a table of prices for  $i = 1, 2, \dots, m$

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Example:

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

What is the best way if you have 13 units of rod?

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## Rod splitting

Input: a length  $n$  and a table of prices for  $i = 1, 2, \dots, m$

Output: maximum revenue obtainable by cutting up the rod and selling the pieces

Example:

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

What is the best way if you have 14 units of rod?

50

## 1a: optimal substructure

Prove: optimal solutions to the problem incorporate optimal solutions to related subproblems

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

What would a solution look like?

51

## 1a: optimal substructure

Prove: optimal solutions to the problem incorporate optimal solutions to related subproblems

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

$$\{l_1, l_2, l_3, \dots, l_m\} \text{ where } \sum_{i=1}^m l_i \leq n$$

What would a subproblem solution look like?

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### 1 a: optimal substructure

Prove: optimal solutions to the problem incorporate optimal solutions to related subproblems

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

$$\{l_1, l_2, l_3, \dots, l_m\} \text{ where } \sum_{i=1}^m l_i \leq n$$

$$\{l_2, l_3, \dots, l_m\} \text{ where } \sum_{i=2}^m l_i \leq n - l_1$$

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### 1 a: optimal substructure

Prove: optimal solutions to the problem incorporate optimal solutions to related subproblems

Proof by contradiction:

Assume:  $\{l_1, l_2, l_3, \dots, l_m\}$  is a solution to  $n$ , but  $\{l_2, l_3, \dots, l_m\}$  is **not** a solution to  $n - l_1$

If that were the case, then some solution to  $n - l_1$  exists where the the sum of the prices of the lengths is greater than that for  $\{l_2, l_3, \dots, l_m\}$ .

We could add  $l_1$  to this subproblem solution and get a better solution to the  $n$  problem... **contradiction**

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28



What should be the first cut?

What are the options?

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28



cut 1  
price 1

How much is left?

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 1  
price 1

57

### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 2  
price 3

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

Which one should we choose?

...

Pretend like we have a solver (R) that gives us the answer to subproblems.

What would R take as input and return?

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

$R(x) \rightarrow$  price for best set of cuts of length  $x$

...

(could structure it with the actual cuts, but focusing on just the price is easier for now)

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 1  
price 1

$n-1$

What's the best we can do with this cut?

61

### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 1  
price 1

$n-1$

$1 + R(n-1)$

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 2  
price 3

$n-2$

What's the best we can do with this cut?

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### 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

cut 2  
price 3

$n-2$

$3 + R(n-2)$

64



## 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

n

What should be the first cut?

65

## 1 b: recursive solution

length	$i$	1	2	3	4	5	6	7	8	9	10
price	$p_i$	1	3	8	9	10	17	17	20	24	28

n

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n-l_i)\}$$

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## 2: DP solution (from the bottom-up)

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n-l_i)\}$$

What are the smallest possible subproblems?

To calculate  $R(n)$ , what are all the subproblems we need to calculate? This is the "table".

How should we fill in the table?

Where will the answer be?

67

## 2: DP solution (from the bottom-up)

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n-l_i)\}$$

What are the smallest possible subproblems?

$R(0) = 0$ ,  $R(-i)$  not possible

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## 2: DP solution (from the bottom-up)

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n - l_i)\}$$

To calculate  $R(n)$ , what are all the subproblems we need to calculate? This is the "table".  $R(0) \dots R(n)$

Note: This is filling in a table for all possible integer lengths from 1 to  $n$ .

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## 2: DP solution (from the bottom-up)

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n - l_i)\}$$

How should we fill in the table?

$R(0) \rightarrow R(n)$

The dependencies are on smaller values

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## 2: DP solution (from the bottom-up)

$$R(n) = \max_{i:n-l_i \geq 0} \{p_i + R(n - l_i)\}$$

Where will the answer be?

$R(n)$

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## 2: DP solution

```

DP-Rod-Splitting(n)
  r[0] = 0
  for j = 1 to n
    max = 0
    for i = 1 to m
      if  $l_i \leq j$ 
         $p = p_i + r[j - l_i]$ 
        if  $p > \max$ 
          max = p

    r[j] = max

  return r[n]
```

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## 2: DP solution

```

DP-Rod-Splitting(n)
r[0] = 0
for j = 1 to n
    max = 0
    for i = 1 to m
        if  $l_i \leq j$ 
             $p = p_i + r[j - l_i]$ 
            if  $p > \max$ 
                 $\max = p$ 

    r[j] = max

return r[n]

```

Space requirements?

Running time?

73

## 2: DP solution

```

DP-Rod-Splitting(n)
r[0] = 0
for j = 1 to n
    max = 0
    for i = 1 to m
        if  $l_i \leq j$ 
             $p = p_i + r[j - l_i]$ 
            if  $p > \max$ 
                 $\max = p$ 

    r[j] = max

return r[n]

```

Space requirements:  $\Theta(n)$

Running time:  $\Theta(nm)$

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## 2: DP solution

```

DP-Rod-Splitting(n)
r[0] = 0
for j = 1 to n
    max = 0
    for i = 1 to m
        if  $l_i \leq j$ 
             $p = p_i + r[j - l_i]$ 
            if  $p > \max$ 
                 $\max = p$ 

    r[j] = max

return r[n]

```

What if you want to know the cuts?

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## Memoization

Sometimes it can be a challenge to write the function in a bottom-up fashion

Memoization:

- Write the recursive function top-down
- Alter the function to check if we've already calculated the value
- If so, use the pre-calculated value
- If not, do the recursive call(s)

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## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

---

```

FIBONACCI-MEMOIZED(n)

```

```

1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

```

FIB-LOOKUP(n)

```

```

1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2)
4 if x < fib[n]
5   fib[n] ← x
6 return fib[n]

```

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## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

---

```

FIBONACCI-MEMOIZED(n)

```

```

1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

```

FIB-LOOKUP(n)

```

```

1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2)
4 if x < fib[n]
5   fib[n] ← x
6 return fib[n]

```

Use ∞ to denote uncalculated

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## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

---

```

FIBONACCI-MEMOIZED(n)

```

```

1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

What else could we use besides an array?

Use ∞ to denote uncalculated

```

FIB-LOOKUP(n)

```

```

1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2)
4 if x < fib[n]
5   fib[n] ← x
6 return fib[n]

```

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## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

---

```

FIBONACCI-MEMOIZED(n)

```

```

1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

```

FIB-LOOKUP(n)

```

```

1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2)
4 if x < fib[n]
5   fib[n] ← x
6 return fib[n]

```

Check if we already calculated the value

85

## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

```

FIBONACCI-MEMOIZED(n)
1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

```

FIB-LOOKUP(n)
1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2) calculate the value
4 if x < fib[n]
5   fib[n] ← x
6 return fib[n]

```

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## Memoized fibonacci

```

FIBONACCI(n)
1 if n = 1 or n = 2
2   return 1
3 else
4   return FIBONACCI(n - 1) + FIBONACCI(n - 2)

```

```

FIBONACCI-MEMOIZED(n)
1 fib[1] ← 1
2 fib[2] ← 1
3 for i ← 3 to n
4   fib[i] ← ∞
5 return FIB-LOOKUP(n)

```

```

FIB-LOOKUP(n)
1 if fib[n] < ∞
2   return fib[n]
3 x ← FIB-LOOKUP(n - 1) + FIB-LOOKUP(n - 2)
4 if x < fib[n]
5   fib[n] ← x store the value
6 return fib[n]

```

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## Memoization

### Pros

- Can be more intuitive to code/understand
- Can be memory savings if you don't need answers to all subproblems

### Cons

- Depending on implementation, larger overhead because of recursion (though often the functions are tail recursive)

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