

Administrative

How was assignment 0?

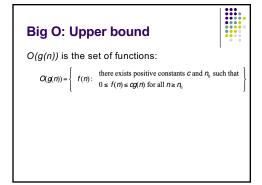
Mentor hours posted

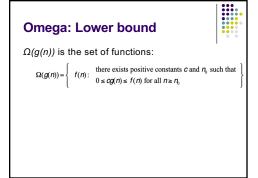
Group assignment: must attend mentor hours on Thursday or Friday and submit group assignment

Assignment 1 (due Sunday): must work with different partner

1

2





Theta: Upper and lower bound



 $\Theta(g(n))$ is the set of functions:

 $\Theta(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c, c_2 \text{ and } n_0 \text{ such that} \\ 0 \le c_1 g(n) \le f(n) \le c_2 g(n) \text{ for all } n \ge n_0 \end{cases}$

Note: A function is θ bounded iff it is O bounded and Ω bounded



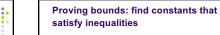
$$n^{\log n} + n^2 + 15n^3$$

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Big O: Upper bound





O(g(n)) is the set of functions:

 $O(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$

Show that $5n^2 - 15n + 100$ is $\Theta(n^2)$

Step 1: Prove $O(n^2)$ – Find constants c and n_0 such that $5n^2 - 15n + 100 \le cn^2$ for all $n > n_0$

$$cn^2 \geq 5n^2 - 15n + 100$$

$$c \geq 5-15/n+100/n^2$$

Let no = 1 and c = 5 + 100 = 105.

 $100/n^2$ only gets smaller as n increases and we ignore -15/n since it only varies between -15 and 0

Proving bounds



Step 2: Prove $\Omega(n^2)$ – Find constants c and n_0 such that $5n^2$ – $15n + 100 \ge cn^2$ for all $n > n_0$

$$cn^2 \le 5n^2 - 15n + 100$$

 $c \le 5 - 15/n + 100/n^2$

Let no =4 and c = 5 – 15/4 = 1.25 (or anything less than 1.25). -15/n is always increasing and we ignore $100/n^2$ since it is always between 0 and 100.

Bounds



Is $5n^2 O(n)$?

No

How would we prove it?

$$C(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$$

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Disproving bounds



Is $5n^2 O(n)$?

 $O(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n_0 \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$

Assume it's true

That means there exists some c and no such that

$$5n^2 \le cn \text{ for } n > n_0$$

 $5n \le c \text{ contradiction!}$

Divide and Conquer



Divide: Break the problem into smaller sub-problems

Conquer: Solve the sub-problems. Generally, this involves waiting for the problem to be small enough that it is trivial to solve (i.e. 1 or 2 items)

Combine: Given the results of the solved sub-problems, combine them to generate a solution for the complete problem

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Divide and Conquer: some thoughts



Often, the sub-problem is the same as the original problem

Dividing the problem in half frequently does the job

May have to get creative about how the data is split

Splitting tends to generate run times with $\log n$ in them

Divide and conquer



One approach:

- Pretend like you have a working version of your function, but it only works on smaller subproblems
- If you split up the current problem in some way (e.g. in half) and solved those sub-problems, how could you then get the solution to the larger problem?

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MergeSort

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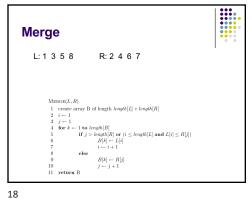
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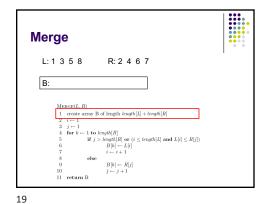
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 \begin{aligned} & \text{Merge-Sort}(A) \\ 1 & \text{ if } length[A] == 1 \\ 2 & \text{ return A} \\ 3 & \text{ else} \\ 4 & q \leftarrow \lfloor length[A]/2 \rfloor \\ 5 & \text{ create arrays } L[1.q] \text{ and } R[q+1..length[A]] \\ 6 & \text{ copy } A[1-q] \text{ to } L \\ 7 & \text{ copy } A[q+1..length[A]] \text{ to } R \\ 8 & LS \leftarrow \text{Merge-Sort}(L) \\ 9 & RS \leftarrow \text{Merge-Sort}(R) \\ 10 & \text{ return Merge}(LS, RS) \end{aligned}
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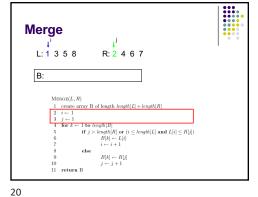
MergeSort: Merge

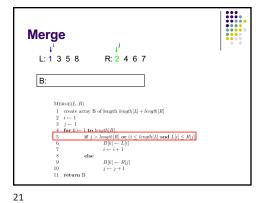
Assuming L and R are sorted already, merge the two to create a single sorted array

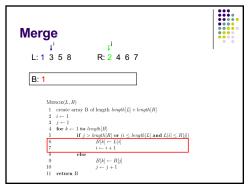
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 \begin{aligned} & \operatorname{Merge}(L,R) \\ & 1 & \operatorname{create\ array\ B\ of\ length\ } length[L] + length[R] \\ & 2 & i \leftarrow 1 \\ & 3 & j \leftarrow 1 \\ & 4 & \operatorname{for\ } k \leftarrow 1\ \operatorname{to\ } length[B] \\ & 5 & \operatorname{if\ } j > length[R]\ \operatorname{or\ } (i \leq length[L]\ \operatorname{and\ } L[i] \leq R[j]) \\ & 6 & B[k] \leftarrow L[i] \\ & 7 & i \leftarrow i + 1 \\ & 8 & \operatorname{else} \\ & 9 & B[k] \leftarrow R[j] \\ & 10 & j \leftarrow j + 1 \end{aligned}
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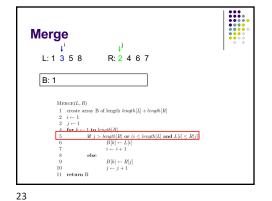


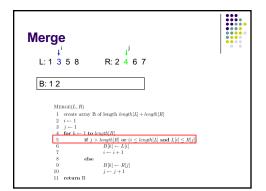


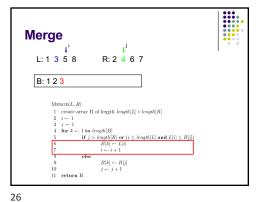


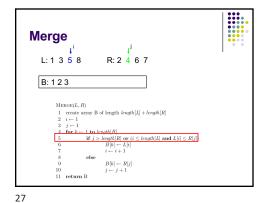


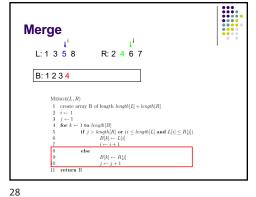


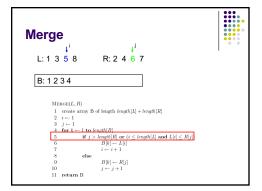


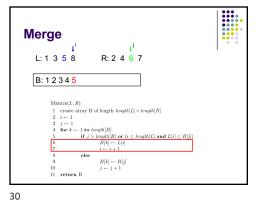


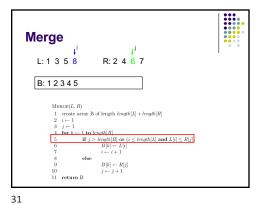


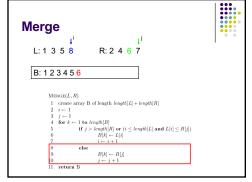


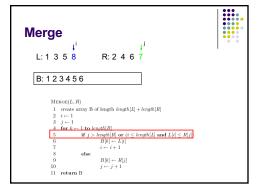


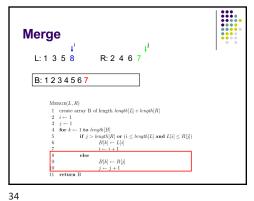


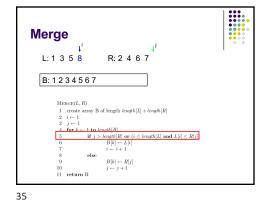






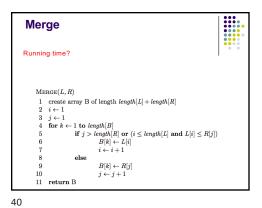


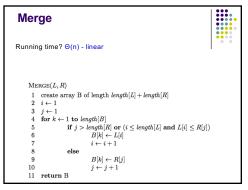




Merge L: 1 3 5 8 R: 2 4 6 7 B: 1 2 3 4 5 6 7 8 MERGELL, R)

1 create array B of length length[L] + length[R]2 i - 13 j - 14 for k - 1 to length[B]5 f' > length[B] or $(i \le length[L] \text{ and } L[i] \le R[j])$ 6 B[h] - L[i]7 i - i + 18 e-be eise $B[k] \leftarrow R[j]$ $j \leftarrow j + 1$ 11 return B





 $\begin{tabular}{l|l} \textbf{Merge-Sort} \\ \hline \textbf{Running time?} \\ \hline & \textbf{Merge-Sort}(A) \\ 1 & \textbf{if } length[A] == 1 \\ 2 & \textbf{return A} \\ 3 & \textbf{else} \\ 4 & q \leftarrow \lfloor length[A]/2 \rfloor \\ 5 & \textbf{create arrays } L[1..q] \ \text{and } R[q+1..length[A]] \ \text{copy } A[1..q] \ \text{to } L \\ 7 & \textbf{copy } A[1..q] \ \text{to } L \\ 7 & \textbf{copy } A[-1..length[A]] \ \text{to } R \\ 8 & LS \leftarrow \texttt{Merge-Sort}(L) \\ 9 & RS \leftarrow \texttt{Merge-Sort}(R) \\ 10 & \textbf{return Merge}(LS, RS) \\ \hline \end{tabular}$

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Merge-Sort

Running time?

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$$T(n) = \begin{cases} c & \text{if } n \text{ is small} \\ 2T(n/2) + D(n) + C(n) & \text{otherwise} \end{cases}$$

D(n): cost of splitting (dividing) the data C(n): cost of merging/combining the data

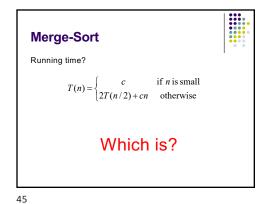
Merge-Sort

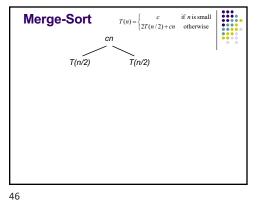
Running time?

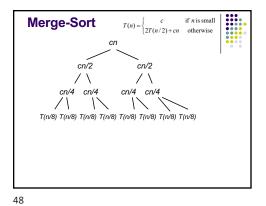
$$T(n) = \begin{cases} c & \text{if } n \text{ is small} \\ 2T(n/2) + D(n) + C(n) & \text{otherwise} \end{cases}$$

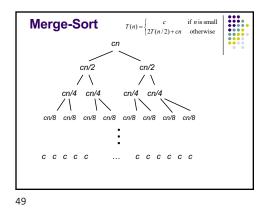
D(n): cost of splitting (dividing) the data - linear $\Theta(n)$ C(n): cost of merging/combining the data - linear $\Theta(n)$

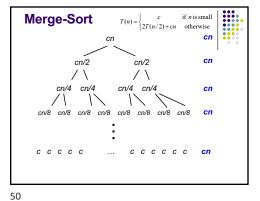
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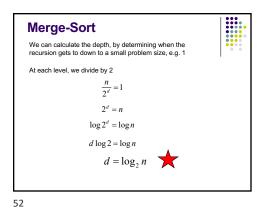






Merge-Sort $T(n) = \begin{cases} c & \text{if n is small} \\ 2T(n/2) + cn & \text{otherwise} \end{cases}$ $Cn/2 & Cn/2 & Cn \\ Cn/4 & Cn/4 & Cn/4 & Cn/4 & Cn \\ Cn/8 & Cn/$

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Merge-Sort

$$T(n) = \begin{cases} c & \text{if } n \text{ is small} \\ 2T(n/2) + cn & \text{otherwise} \end{cases}$$

Running time?

- Each level costs cn
- log n levels

 $cn \log n = \Theta(n \log n)$

Why don't we write it as n log2 n?

Log properties

$$\log_a b = \frac{\log b}{\log a}$$

$$n\log_2 n = \frac{n\log n}{\log 2}$$

$$n\log_2 n = \frac{n\log n}{c} = \theta(n\log n)$$

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Recurrence

smaller inputs



$$T(n) = 2T(n/2) + n$$

$$T(n) = 16T(n/4) + n$$

$$T(n) = 2T(n-1) + n^2$$

Why are we interested in recurrences?



Computational cost of divide and conquer algorithms

$$T(n) = aT(n/b) + D(n) + C(n)$$

- a subproblems of size n/b
- D(n) the cost of dividing the data
- C(n) the cost of recombining the subproblem solutions

In general, the runtimes of most recursive algorithms can be expressed as recurrences

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The challenge

Recurrences are often easy to define because they mimic the structure of the program

But... they do not directly express the computational cost, i.e. $n, n^2, ...$

We want to remove self-recurrence and find a more understandable form for the function

Three approaches



Substitution method: when you have a good guess of the solution, prove that it's correct

Recursion-tree method: If you don't have a good guess, the recursion tree can help

- Calculate exactly (like we did with MergeSort)
- Use it to get a good quest, then prove with substitution method.

Master method: Provides solutions for recurrences of the form:

$$T(n) = aT(n/b) + f(n)$$

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Substitution method



Guess the form of the solution
Then prove it's correct by induction

$$T(n) = T(n/2) + d$$

Halves the input then a constant amount of work

Guesses?

Substitution method



Guess the form of the solution
Then prove it's correct by induction

$$T(n) = T(n/2) + d$$

Halves the input then a constant amount of work Similar to binary search:

Guess: O(log n)

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Proof?



$$T(n) = T(n/2) + d = O(\log n)$$

Ideas?

Proof?



$$T(n) = T(n/2) + d = O(\log n)$$

Proof by induction!
-Assume it's true for smaller T(k), i.e. $k \le n$ -prove that it's then true for current T(n)

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T(n) = T(n/2) + d



Assume $T(k) = O(\log k)$ for all k < nShow that $T(n) = O(\log n)$

From our assumption, $T(n/2) = O(\log n/2)$:

$$O(g(n)) = \left\{ f(n): \text{ there exists positive constants } c \text{ and } n \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \right\}$$

From the definition of big-O: $T(n/2) \le c \log(n/2)$

How do we now prove $T(n) = O(\log n)$?

T(n) = T(n/2) + dTo prove that $T(n) = O(\log n)$ identify the appropriate $O(g(n)) = \left\{ f(n): \text{ there exists positive constants } c \text{ and } n \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \right\}$ i.e. some constant c' such that $T(n) \le c' \log n$ T(n) = T(n/2) + d $\leq c \log \left(\frac{n}{2}\right) + d$ from our inductive hypothesis $\leq c \log n - c \log 2 + d$ $\leq c \log n (-c+d)$ residual Key question: does a constant exist such that: $T(n) \le c' \log n$

T(n) = T(n/2) + dTo prove that $T(n) = O(\log n)$ identify the appropriate constants: $O(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$ i.e. some constant c' such that $T(n) \le c' \log n$ Key question: does a constant exist such that: $T(n) \le c' \log n$ $T(n) \le c \log n - c + d$ if $c \ge d$, then, just let c' = c $T(n) \le c \log n - c + d \le c \log n$

T(n) = T(n/2) + dTo prove that $T(n) = O(\log n)$ identify the appropriate constants: $O(g(n)) = \begin{cases} f(n): & \text{there exists positive constants } c \text{ and } n \text{ such that} \\ 0 \le f(n) \le cg(n) \text{ for all } n \ge n_0 \end{cases}$ i.e. some constant c' such that $T(n) \le c' \log n$ Key question: does a constant exist such that: $T(n) \le c' \log n$ $T(n) \le c \log n - c + d$ if c < d, let c' = d+1 and $T(n) \le c \log n - c + d \le d \log n + \log n$

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Base case?

For an inductive proof we need to show two things:

- Show that it holds for some base case
- Assuming it's true for k < n show it's true for n

What is the base case in our situation?

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \text{ is small} \\ T(n/2) + d & \text{otherwise} \end{cases}$$

T(n) = T(n-1) + n



Guess the solution?

At each iteration, does a linear amount of work (i.e. iterate over the data) and reduces the size by one at each step

 $O(n^2)$

Assume $T(k) = O(k^2)$ for all k < n• again, this implies that $T(n-1) \le c(n-1)^2$ Show that $T(n) = O(n^2)$, i.e. $T(n) \le c'n^2$

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$$T(n) = T(n-1) + n$$

$$\leq c(n-1)^2 + n \quad \text{from our inductive hypothesis}$$

$$= c(n^2 - 2n + 1) + n$$

$$= cn^2 2cn + c + n \quad \text{residual}$$
if $-2cn + c + n \leq 0$
then let $c' = c$ and there exists a constant such that $T(n) \leq c'n^2$

```
T(n) = T(n-1) + n
\leq c(n-1)^2 + n \quad \text{from our inductive hypothesis}
= c(n^2 - 2n + 1) + n
= cn^2 \underbrace{-2cn + c + n} \quad \text{residual}
-2cn + c + n \leq 0
-2cn + c \leq -n
c(-2n+1) \leq -n
c \geq \frac{n}{2n-1}
which holds for any c \geq \frac{1}{2-1/n}
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T(n) = 2T(n/2) + nGuess the solution?
Recurses into 2 sub-problems that are half the size and performs some operation on all the elements $O(n \log n)$ What if we guess wrong, e.g. $O(n^2)$?
Assume $T(k) = O(k^2)$ for all k < n• again, this implies that $T(n/2) \le c(n/2)^2$ Show that $T(n) = O(n^2)$

T(n) = 2T(n/2) + n $\leq 2c(n/2)^2 + n \text{ from our inductive hypothesis}$ $= 2cn^2 / 4 + n$ $= 1/2cn^2 + n$ $= cn^2 - (1/2cn^2 - n) \text{ residual}$ if $-(1/2cn^2 - n) \leq 0$ $-1/2cn^2 + n \leq 0$ $cn \geq 2$

```
T(n) = 2T(n/2) + n
What if we guess wrong, e.g. O(n)?

Assume T(k) = O(k) for all k < n
• again, this implies that T(n/2) \le c(n/2)
Show that T(n) = O(n)

T(n) = 2T(n/2) + n
\le 2cn/2 + n
= cn + n
\le cn
factor of n so we can just roll it in?
```

```
T(n) = 2T(n/2) + n
What if we guess wrong, e.g. O(n)?

Assume T(k) = O(k) for all k < n
• again, this implies that T(n/2) \le c(n/2)
Show that T(n) = O(n)

T(n) = 2T(n/2) + n
\le 2cn/2 + n
= cn + n
\le cn
factor of T so we can are froil it in?
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T(n) = 2T(n/2) + n
Prove T(n) = O(n \log_2 n)
Assume T(k) = O(k \log_2 k) for all k < n
• again, this implies that T(k) = ck \log_2 k
Show that T(n) = O(n \log_2 n)
T(n) = 2T(n/2) + n
\leq 2cn/2 \log(n/2) + n
\leq cn(\log_2 n - \log_2 2) + n
\leq cn \log_2 n - cn + n
residual
\leq cn \log_2 n
if cn \geq n, c > 1
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