

MINIMUM SPANNING TREES

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CS 140 – Fall 2020

1

Admin

Assignment

Assignment 4.1 graded

Mentor hours this week

Checkpoint

2

Minimum spanning trees

What are they?

What do you remember about them?

What algorithms do you remember?

3

Minimum spanning trees

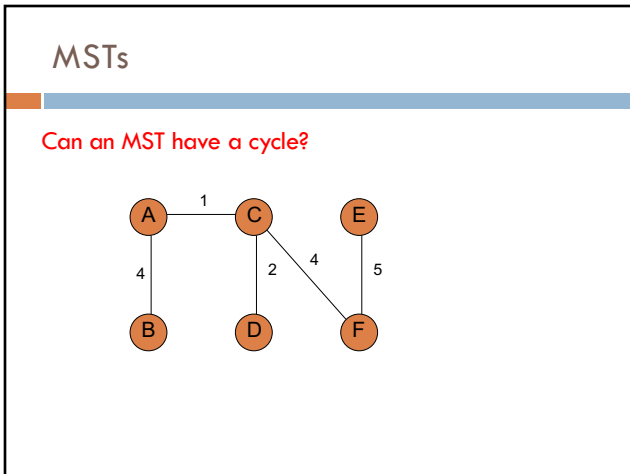
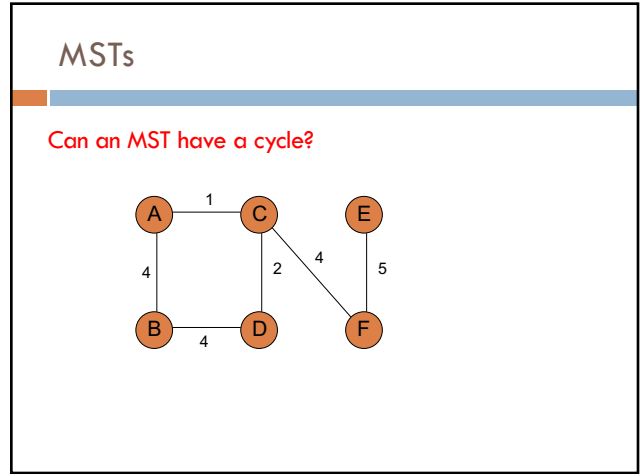
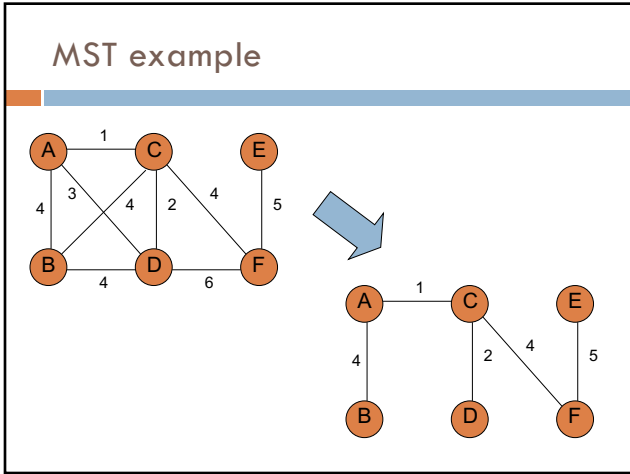
What is the lowest weight set of edges that connects all vertices of an undirected graph with positive weights

Input: An undirected, positive weight graph, $G=(V,E)$

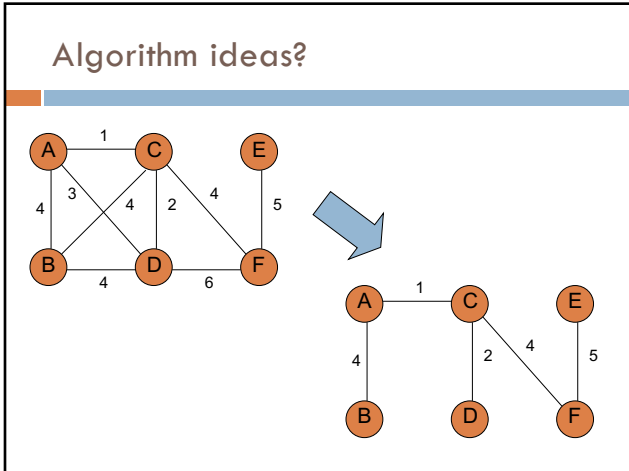
Output: A tree $T=(V,E')$ where $E' \subseteq E$ that minimizes

$$weight(T) = \sum_{e \in E'} w_e$$

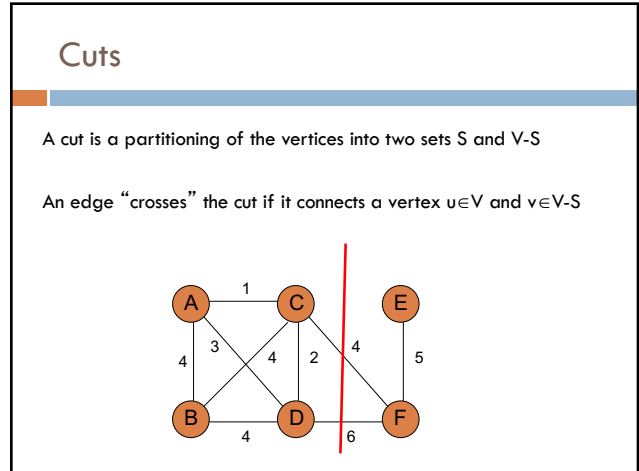
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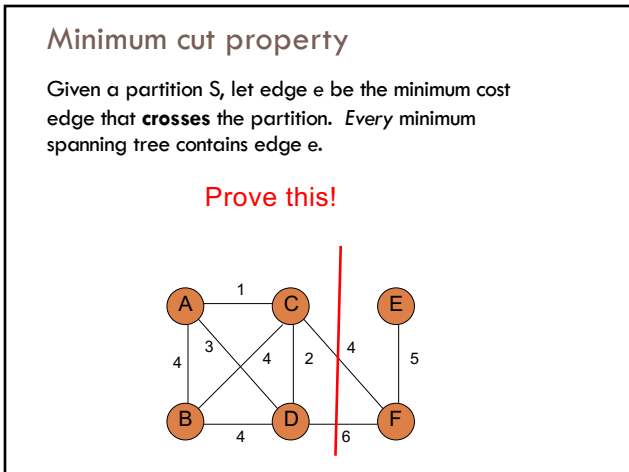
- ### Applications?
- Connectivity
 - Networks (e.g. communications)
 - Circuit design/wiring
 - hub/spoke models (e.g. flights, transportation)
 - Traveling salesman problem?
- 8



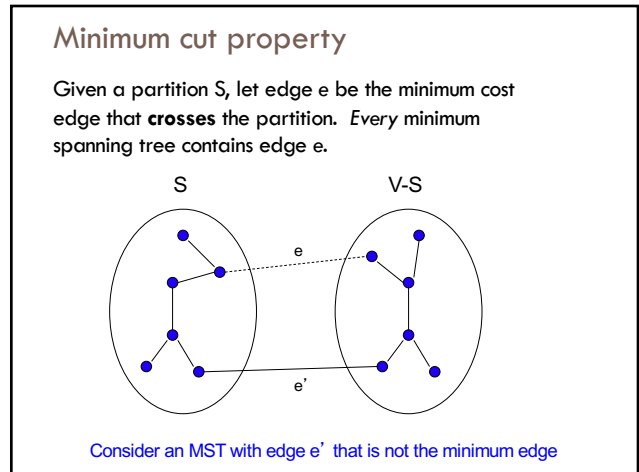
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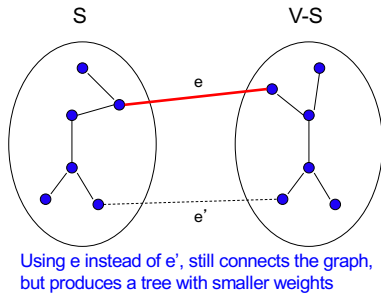
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Minimum cut property

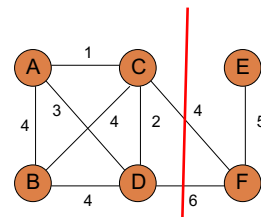
Given a partition S , let edge e be the minimum cost edge that **crosses** the partition. Every minimum spanning tree contains edge e .



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Minimum cut property

If the minimum cost edge that **crosses** the partition is not unique, then *some* minimum spanning tree contains edge e .



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Kruskal's algorithm

Given a partition S , let edge e be the minimum cost edge that **crosses** the partition. Every minimum spanning tree contains edge e .

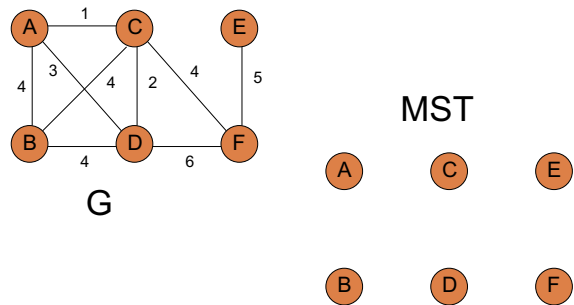
```

KRUSKAL( $G$ )
1 for all  $v \in V$ 
2   MAKESET( $v$ )
3  $T \leftarrow \{\}$ 
4 sort the edges of  $E$  by weight
5 for all edges  $(u, v) \in E$  in increasing order of weight
6   if FIND-SET( $u$ )  $\neq$  FIND-SET( $v$ )
7     add edge to  $T$ 
8     UNION(FIND-SET( $u$ ), FIND-SET( $v$ ))
    
```

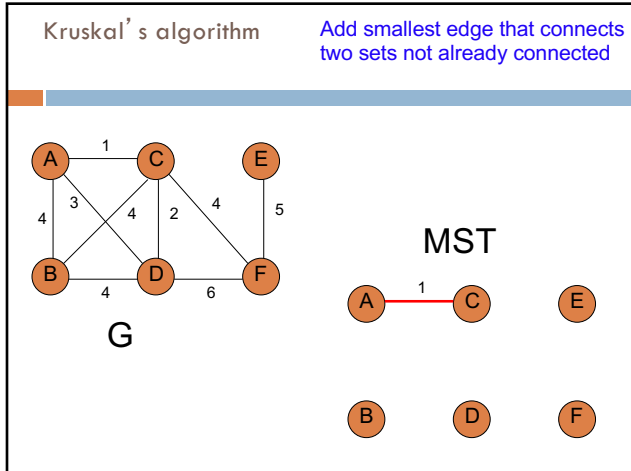
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Kruskal's algorithm

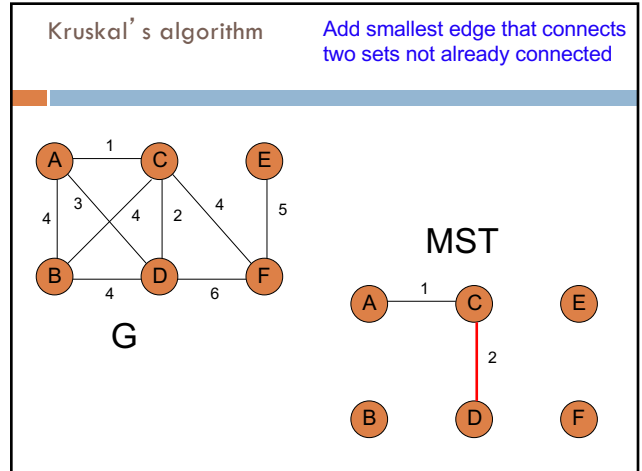
Add smallest edge that connects two sets not already connected



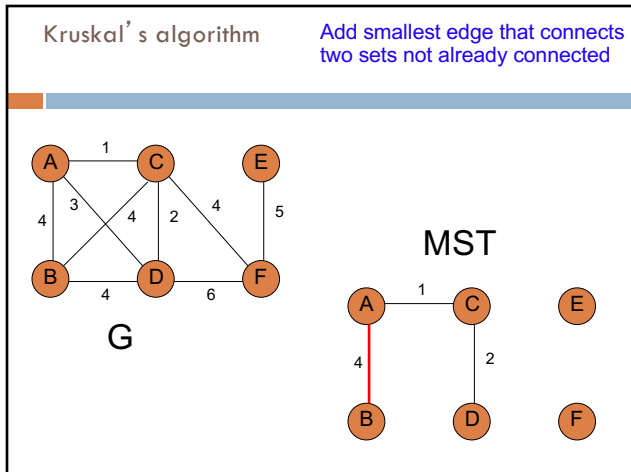
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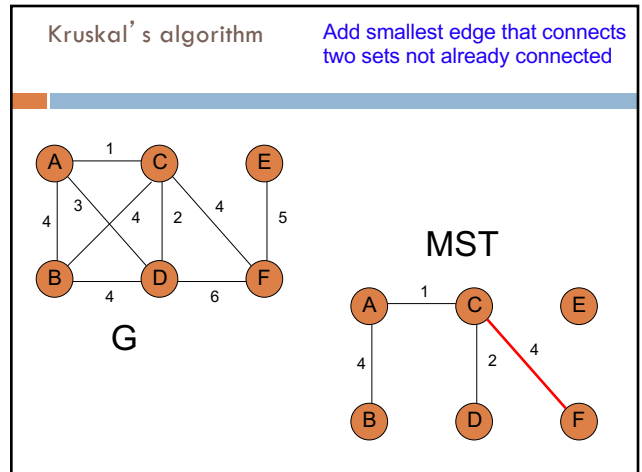
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Kruskal's algorithm Add smallest edge that connects two sets not already connected

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Correctness of Kruskal's

Never adds an edge that connects already connected vertices

Always adds lowest cost edge to connect two sets. By min cut property, that edge must be part of the MST

```

KRUSKAL(G)
1  for all v ∈ V
2    MAKESET(v)
3  T ← {}
4  sort the edges of E by weight
5  for all edges (u, v) ∈ E in increasing order of weight
6    if FIND-SET(u) ≠ FIND-SET(v)
7      add edge to T
8    UNION(FIND-SET(u), FIND-SET(v))
    
```

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Running time of Kruskal's

```

KRUSKAL(G)
1  for all v ∈ V
2    MAKESET(v)
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Running time of Kruskal's

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```

|V| calls to MakeSet

O(|E| log |E|)

2|E| calls to FindSet

|V| calls to Union

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Running time of Kruskal's

Disjoint set data structure

$O(|E| \log |E|) +$

MakeSet	FindSet E calls	Union V calls	Total
Linked lists			

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Disjoint set

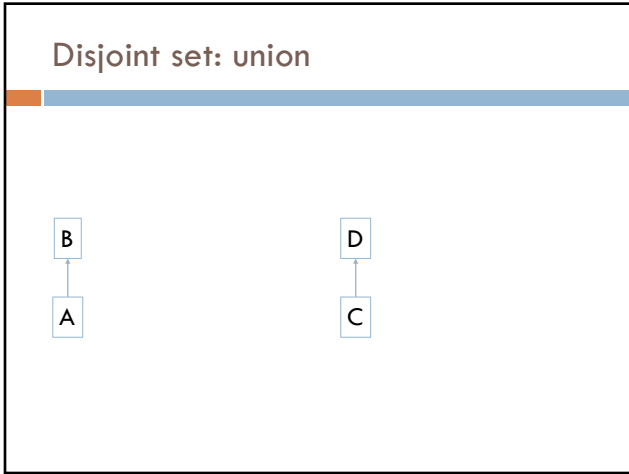
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Disjoint set: union

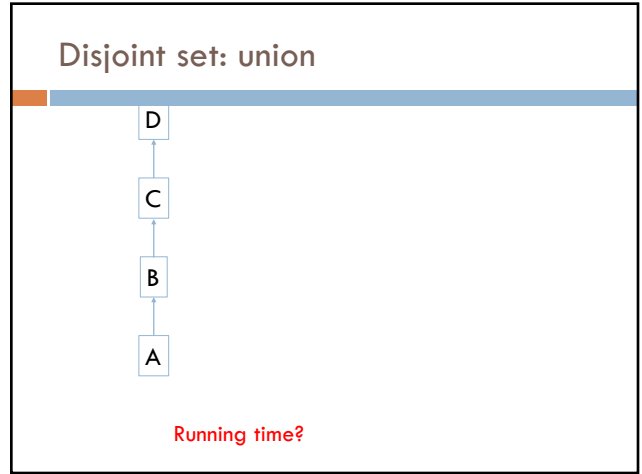
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Disjoint set: union

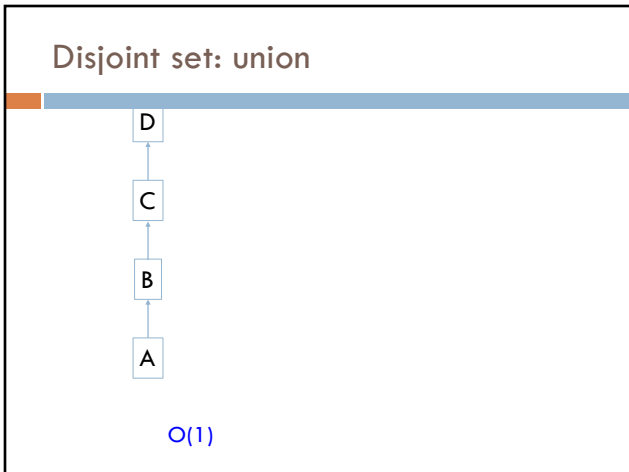
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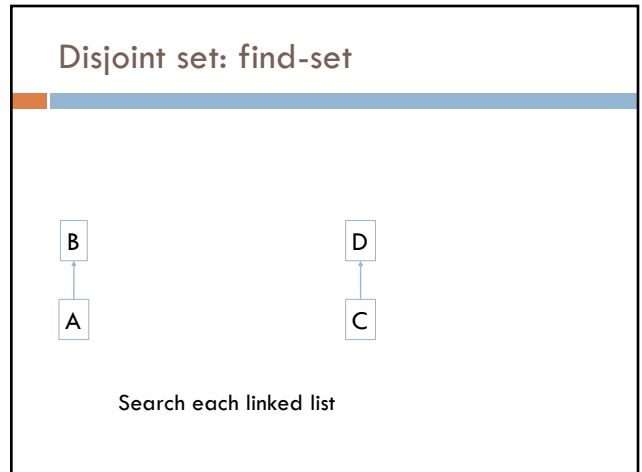
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Disjoint set: find-set

Running time?

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Disjoint set: find-set

$O(n)$ -- n = number of things in set

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Running time of Kruskal's

Disjoint set data structure

	MakeSet	FindSet $ E $ calls	Union $ V $ calls	Total
				$O(E \log E) +$
Linked lists	$ V $	$O(V E)$	$ V $	$O(V E + E \log E)$ $O(V E)$
Linked lists + heuristics	$ V $	$O(E \log V)$	$ V $	$O(E \log V + E \log E)$ $O(E \log E)$

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Prim's algorithm

Start at some root node and build out the MST by adding the lowest weighted edge at the frontier

```

PRIM( $G, r$ )
1  for all  $v \in V$ 
2      $key[v] \leftarrow \infty$ 
3      $prev[v] \leftarrow null$ 
4   $key[r] \leftarrow 0$ 
5   $H \leftarrow MAKEHEAP(key)$ 
6  while !Empty( $H$ )
7      $u \leftarrow EXTRACT-MIN(H)$ 
8      $visited[u] \leftarrow true$ 
9     for each edge  $(u, v) \in E$ 
10        if !visited[ $v$ ] and  $w(u, v) < key[v]$ 
11           DECREASE-KEY( $v, w(u, v)$ )
12            $prev[v] \leftarrow u$ 
    
```

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Prim's

```

6 while !Empty(H)
7   u ← EXTRACT-MIN(H)
8   visited[u] ← true
9   for each edge (u, v) ∈ E
10      if !visited[v] and w(u, v) < key[v]
11         DECREASE-KEY(v, w(u, v))
12         prev[v] ← u
    
```

MST

A	C	E
B	D	F

40

Prim's

```

6 while !Empty(H)
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11         DECREASE-KEY(v, w(u, v))
12         prev[v] ← u
    
```

MST

A	C	E
B	D	F

∞ ∞ ∞
∞ ∞ 0

41

Prim's

```

6 while !Empty(H)
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11         DECREASE-KEY(v, w(u, v))
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```

MST

A	C	E
B	D	F

∞ 4 5
∞ 6 0

42

Prim's

```

6 while !Empty(H)
7   u ← EXTRACT-MIN(H)
8   visited[u] ← true
9   for each edge (u, v) ∈ E
10      if !visited[v] and w(u, v) < key[v]
11         DECREASE-KEY(v, w(u, v))
12         prev[v] ← u
    
```

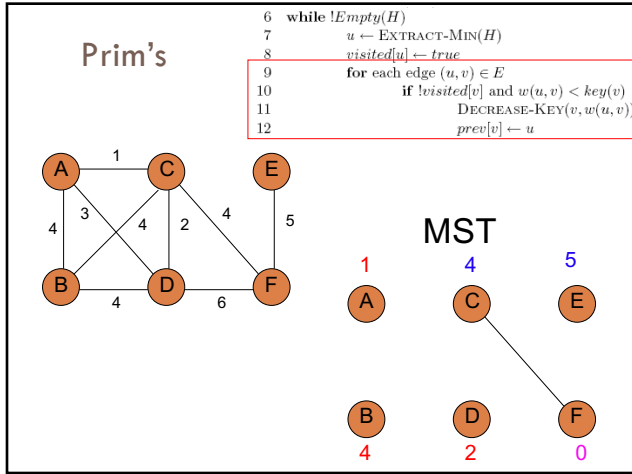
MST

A	C	E
B	D	F

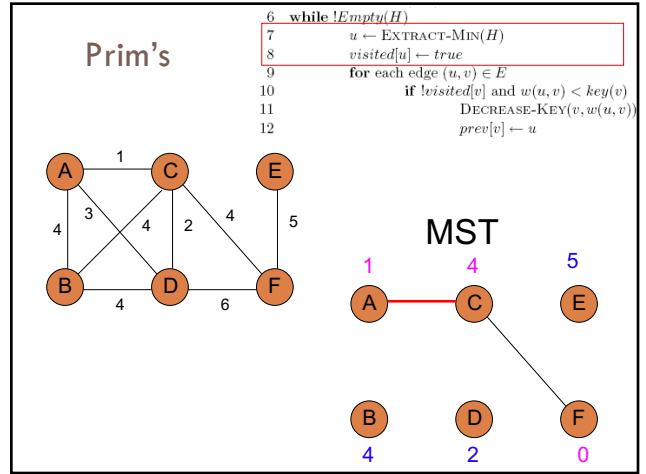
∞ 4 5
∞ 6 0

Red line connects C and F.

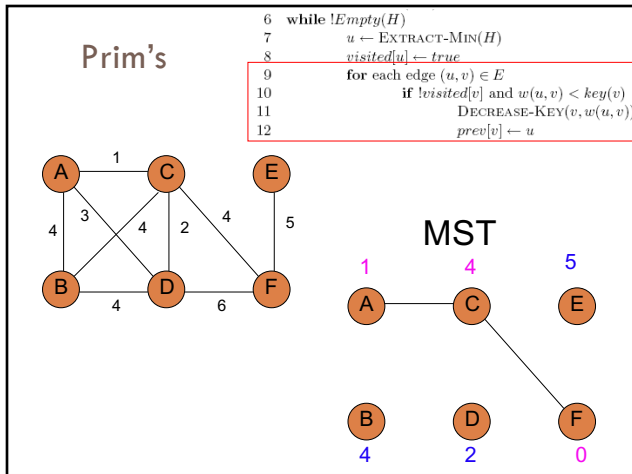
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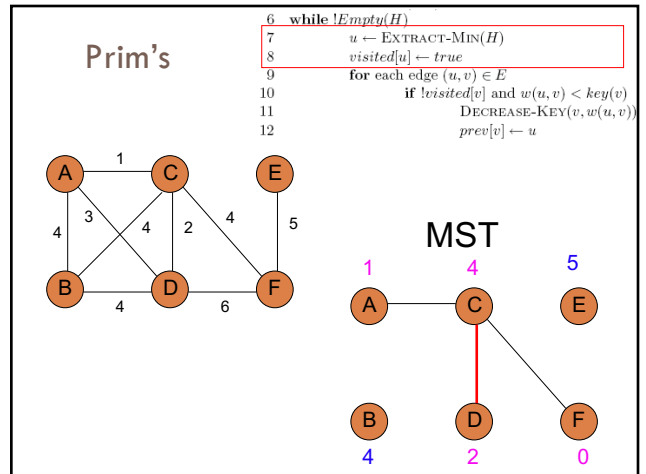
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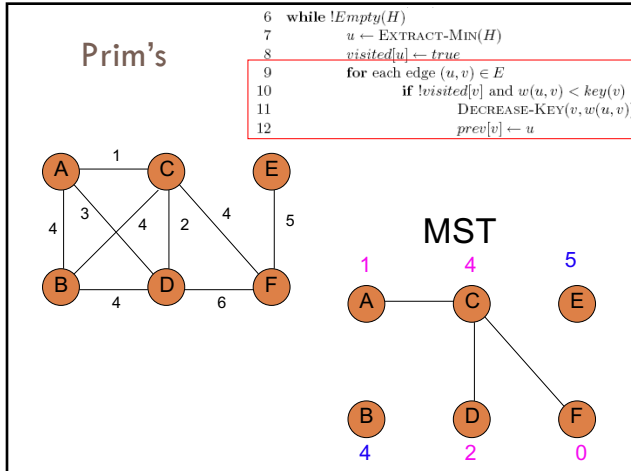
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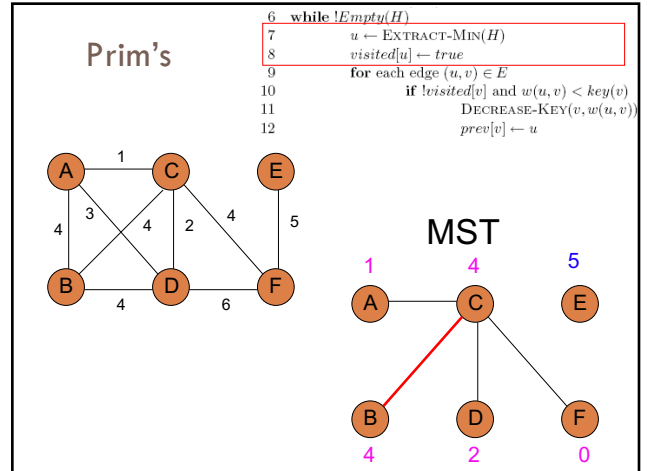
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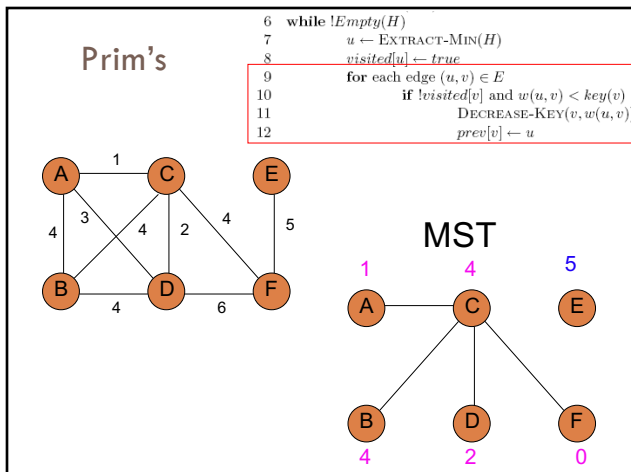
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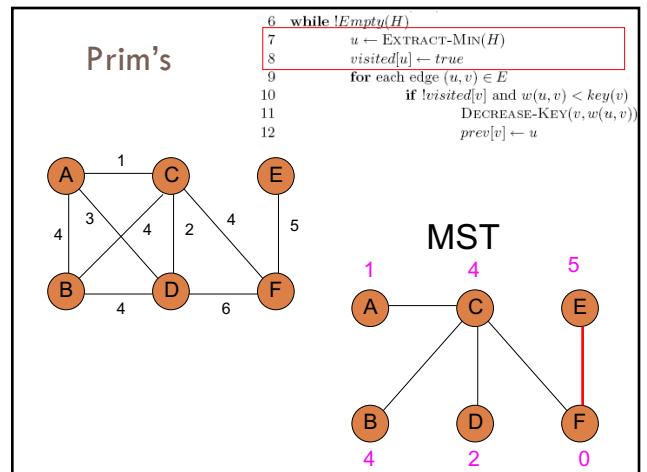
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Correctness of Prim's?

Can we use the min-cut property?

- Given a partition S , let edge e be the minimum cost edge that crosses the partition. Every minimum spanning tree contains edge e .

Let S be the set of vertices visited so far

The only time we add a new edge is if it's the lowest weight edge from S to $V-S$

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Running time of Prim's

```

PRIM( $G, r$ )
1 for all  $v \in V$ 
2    $key[v] \leftarrow \infty$ 
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53

Running time of Prim's

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```

$\Theta(|V|)$

1 call to MakeHeap

$|V|$ calls to Extract-Min

$|E|$ calls to Decrease-Key

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Running time of Prim's

	1 MakeHeap	$ V $ ExtractMin	$ E $ DecreaseKey	Total
Array	$\Theta(V)$	$O(V ^2)$	$O(E)$	$O(V ^2)$
Bin heap	$\Theta(V)$	$O(V \log V)$	$O(E \log V)$	$O((V + E) \log V)$ $O(E \log V)$
Fib heap	$\Theta(V)$	$O(V \log V)$	$O(E)$	$O(V \log V + E)$ Kruskal's: $O(E \log E)$

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