

# GREEDY ALGORITHMS

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CS 140 – Fall 2022

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## Admin

### Assignment 7

Learning communities: may attend any group going forward

Grading update

- ▣ 5 graded
- ▣ 4.1 soon!
- ▣ Checkpoint revisions graded

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## Checkpoint 2

2 pages of notes

From hashtables (9/2) through dynamic programming (10/13)

Practice problems available in group assignment 7

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## A problem

Input: a number  $k$

Output:  $\{n_p, n_n, n_d, n_q\}$ , where  $n_p + 5n_n + 10n_d + 25n_q = k$  and  $n_p + n_n + n_d + n_q$  is minimized

What is this problem?  
How would you state it in English?

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## Making change!

Input: a number  $k$

Output:  $\{n_p, n_n, n_d, n_q\}$ , where  $n_p + 5n_n + 10n_d + 25n_q = k$   
and  $n_p + n_n + n_d + n_q$  is minimized

Provide (U.S.) coins that sum up to  $k$  such  
that we minimize the number of coins

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## Making change!

Input: a number  $k$

Output:  $\{n_p, n_n, n_d, n_q\}$ , where  $n_p + 5n_n + 10n_d + 25n_q = k$   
and  $n_p + n_n + n_d + n_q$  is minimized

Algorithm to solve it?

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## Making change!

Input: a number  $k$

Output:  $\{n_p, n_n, n_d, n_q\}$ , where  $n_p + 5n_n + 10n_d + 25n_q = k$   
and  $n_p + n_n + n_d + n_q$  is minimized

$$n_q = \lfloor k / 25 \rfloor \quad \text{pick as many quarters as we can}$$

Solve:

$$n_p + 5n_n + 10n_d = k - 25\lfloor k / 25 \rfloor \quad \text{recurse}$$

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## Algorithms vs heuristics

What is the difference between an algorithm and a heuristic?

Algorithm: a set of steps for arriving at the correct solution

Heuristic: a set of steps that will arrive at some solution

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## Making change!

$n_q = \lfloor k / 25 \rfloor$  pick as many quarters as we can

Solve:

$n_p + 5n_n + 10n_d = k - 25\lfloor k / 25 \rfloor$  recurse

Algorithm or heuristic?

Need to prove its correct!

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## Greedy algorithms

What is a greedy algorithm?

Algorithm that makes a local decision with the goal of creating a globally optimal solution

Method for solving problems where optimal solutions can be defined in terms of optimal solutions to sub-problems

What does this mean? Where have we seen this before?

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## Greedy vs. divide and conquer

Divide and conquer

To solve the general problem:



Break into sum number of sub problems, solve:



then possibly do a little work

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## Divide and conquer

Divide and conquer

To solve the general problem:



The solution to the general problem is solved with respect to solutions to sub-problems!

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## Dynamic programming

Dynamic programming

To solve the general problem:

The solution to the general problem is solved with respect to solutions to sub-problems **that overlap!**

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## Greedy vs. divide and conquer

Greedy

To solve the general problem:

Pick a locally optimal solution and repeat

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## Greedy vs. divide and conquer

Greedy

To solve the general problem:

The solution to the general problem is solved with respect to solutions to sub-problems!

Slightly different than divide and conquer

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## Proving greedy algorithms correct

One approach, prove:

- 1) **Optimal substructure:** The optimal solution contains within it the optimal solution to subproblems
- 2) **Greedy choice property:** The greedy choice is contained within some optimal solution

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## Making change!

$$n_q = \lfloor k / 25 \rfloor \quad \text{pick as many quarters as we can}$$

Solve:

$$n_p + 5n_n + 10n_d = k - 25\lfloor k / 25 \rfloor \quad \text{recurse}$$



$$\{c_1, c_2, c_3, \dots, c_m\} \quad \text{solution: individual coins selected}$$

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## Optimal substructure

If  $\{c_1, c_2, c_3, \dots, c_m\}$  is optimal for  $k$ , then  
 $\{c_2, c_3, \dots, c_m\}$  is optimal for  $k - c_1$

We can combine a greedy choice with the  
 optimal solution for the remaining problem  
 and get a solution to the general problem

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## Optimal substructure

Proof by contradiction:

Assume  $\{c_1, c_2, c_3, \dots, c_m\}$  is optimal for  $k$ ,  
 but  $\{c_2, c_3, \dots, c_m\}$  is not optimal for  $k - c_1$

What does that imply?

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## Optimal substructure

Proof by contradiction:

Assume  $\{c_1, c_2, c_3, \dots, c_m\}$  is optimal for  $k$ ,  
 but  $\{c_2, c_3, \dots, c_m\}$  is not optimal for  $k - c_1$

There is some other set of coins  
 $\{c'_2, c'_3, \dots, c'_n\}$  where  $n < m$  that add up to  $k - c_1$

Any problem contradiction?

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## Optimal substructure

Proof by contradiction:

Assume  $\{c_1, c_2, c_3, \dots, c_m\}$  is optimal for  $k$ ,  
but  $\{c_2, c_3, \dots, c_m\}$  is not optimal for  $k-c_1$

There is some other set of coins

$\{c'_2, c'_3, \dots, c'_n\}$  where  $n < m$  that add up to  $k-c_1$

$\{c_1, c'_2, c'_3, \dots, c'_n\}$  would be a solution, but since  $n < m$  this implies that our original solution wasn't optimal!

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## Optimal substructure

If  $\{c_1, c_2, c_3, \dots, c_m\}$  is optimal for



$\{c_2, c_3, \dots, c_m\}$  is optimal for  $k-c_1$

We can make greedy decisions

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## Greedy choice property

Greedy choice property: The greedy choice is contained within some optimal solution

The greedy choice results in an optimal solution

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## Greedy choice property

Proof by contradiction:

Let  $\{c_1, c_2, c_3, \dots, c_m\}$  be an optimal solution

Assume it is ordered from largest to smallest

Assume that it does not make the greedy choice at some coin  $c_i$

Any problem contradiction?

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## Greedy choice property

### Proof by contradiction:

Let  $\{c_1, c_2, c_3, \dots, c_m\}$  be an optimal solution  
 Assume it is ordered from largest to smallest

Assume that it does not make the greedy choice at  
 some coin  $c_i$

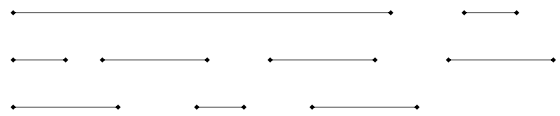
$c_i > c_1$ . We need at least one more lower denomination  
 coin because  $c_i$  can be made up of  $c_1$  and one or more of  
 the other denominations

but that would mean that the solution is longer than the  
 greedy!

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## Interval scheduling

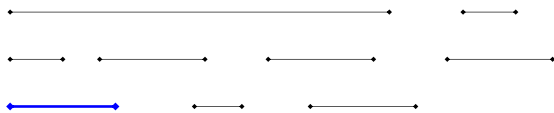
Given  $n$  activities  $A = [a_1, a_2, \dots, a_n]$  where each activity  
 has start time  $s_i$  and a finish time  $f_i$ . Schedule as many  
 as possible of these activities such that they don't conflict.



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## Interval scheduling

Given  $n$  activities  $A = [a_1, a_2, \dots, a_n]$  where each activity  
 has start time  $s_i$  and a finish time  $f_i$ . Schedule as many  
 as possible of these activities such that they don't conflict.

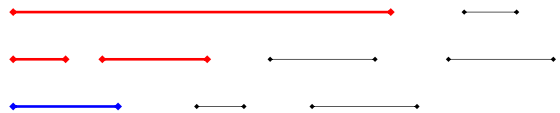


Which activities conflict?

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## Interval scheduling

Given  $n$  activities  $A = [a_1, a_2, \dots, a_n]$  where each activity  
 has start time  $s_i$  and a finish time  $f_i$ . Schedule **as many  
 as possible** of these activities such that they **don't conflict**.



Which activities conflict?

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## Simple recursive solution

Enumerate all possible solutions and find which schedules the most activities

```

INTERVALSCHEDULE-RECURSIVE(A)
1  if A = {}
2     return 0
3  else
4     max = -∞
5     for all a ∈ A
6         A' ← A minus a and all conflicting activities with a
7         s = INTERVALSCHEDULE-RECURSIVE(A')
8         if s > max
9             max = s
10    return 1 + max
    
```

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## Simple recursive solution

Is it correct?

- max{all possible solutions}

Running time?

- $O(n!)$

```

INTERVALSCHEDULE-RECURSIVE(A)
1  if A = {}
2     return 0
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10    return 1 + max
    
```

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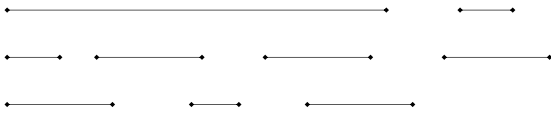
## Can we do better?

Dynamic programming

- $O(n^2)$

Greedy solution – Is there a way to repeatedly make local decisions?

- Key: we'd still like to end up with the *optimal* solution



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## Overview of a greedy approach

Greedily pick an activity to schedule

Add that activity to the answer

Remove that activity and all conflicting activities. Call this  $A'$ .

Repeat on  $A'$  until  $A'$  is empty

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### Greedy options

Select the activity that starts the earliest, i.e.  
 $\text{argmin}\{s_1, s_2, s_3, \dots, s_n\}$ ?

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### Greedy options

Select the activity that starts the earliest, i.e.  
 $\text{argmin}\{s_1, s_2, s_3, \dots, s_n\}$ ?

non-optimal

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### Greedy options

Select the shortest activity, i.e.  
 $\text{argmin}\{f_1-s_1, f_2-s_2, f_3-s_3, \dots, f_n-s_n\}$

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### Greedy options

Select the shortest activity, i.e.  
 $\text{argmin}\{f_1-s_1, f_2-s_2, f_3-s_3, \dots, f_n-s_n\}$

non-optimal

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### Greedy options

Select the activity with the smallest number of conflicts

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### Greedy options

Select the activity with the smallest number of conflicts

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### Greedy options

Select the activity with the smallest number of conflicts

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

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### Greedy options

Select the activity that ends the earliest, i.e.  $\text{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

remove the conflicts

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### Greedy options

Select the activity that ends the earliest, i.e.  $\text{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

remove the conflicts

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### Greedy options

Select the activity that ends the earliest, i.e.  $\text{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

remove the conflicts

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### Greedy options

Select the activity that ends the earliest, i.e.  $\text{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

remove the conflicts

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

The diagram shows a horizontal timeline with several activity intervals represented by double-headed arrows. Two intervals are highlighted in blue. A third interval, highlighted in red, starts after the first blue interval ends but overlaps with the second blue interval. Two other intervals are shown in grey, one overlapping the first blue interval and another overlapping the second blue interval.

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

The diagram shows a horizontal timeline with several activity intervals. Two intervals are highlighted in blue. A third interval, highlighted in grey, starts after the first blue interval ends but overlaps with the second blue interval. Two other intervals are shown in grey, one overlapping the first blue interval and another overlapping the second blue interval.

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

The diagram shows a horizontal timeline with several activity intervals. Three intervals are highlighted in blue. A fourth interval, highlighted in grey, starts after the second blue interval ends but overlaps with the third blue interval. Two other intervals are shown in grey, one overlapping the first blue interval and another overlapping the second blue interval.

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

The diagram shows a horizontal timeline with several activity intervals. Three intervals are highlighted in blue. A fourth interval, highlighted in grey, starts after the third blue interval ends but overlaps with the third blue interval. Two other intervals are shown in grey, one overlapping the first blue interval and another overlapping the second blue interval.

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

Multiple optimal solutions

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

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### Greedy options

Select the activity that ends the earliest, i.e.  $\operatorname{argmin}\{f_1, f_2, f_3, \dots, f_n\}$ ?

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### Efficient greedy algorithm

Once you've identified a reasonable greedy heuristic:

- ▣ Prove that it always gives the correct answer
- ▣ Develop an efficient solution

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### Is our greedy approach correct?

“Stays ahead” argument:

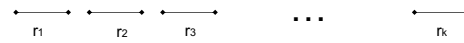
show that no matter what other solution someone provides you, the solution provided by your algorithm always “stays ahead”, in that no other choice could do better

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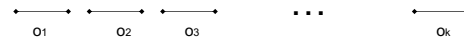
### Is our greedy approach correct?

“Stays ahead” argument

Let  $r_1, r_2, r_3, \dots, r_k$  be the solution found by our approach



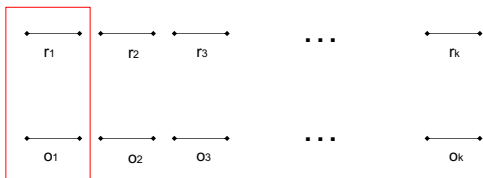
Let  $o_1, o_2, o_3, \dots, o_k$  be another optimal solution



Show our approach “stays ahead” of any other solution

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### Stays ahead

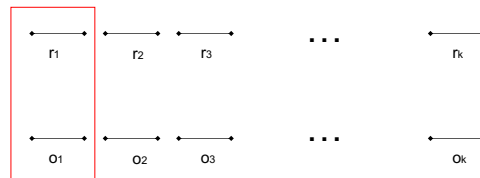


Compare first activities of each solution

what do we know?

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### Stays ahead



$$\text{finish}(r_1) \leq \text{finish}(o_1)$$

what does this imply?

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### Stays ahead

We have **at least** as much time as any other solution to schedule the remaining 2...k tasks

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### An efficient solution

```

INTERVALSCHEDULE-GREEDY(A)
1  sort A based on finish times  $f_i$ 
2  for  $i \leftarrow 1$  to  $n$ 
3      add  $a_i$  to  $R$ 
4       $finish \leftarrow f_i$ 
5      while  $s_i < finish$ 
6           $i \leftarrow i + 1$ 
7  return  $R$ 
    
```

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### Running time?

```

INTERVALSCHEDULE-GREEDY(A)
1  sort A based on finish times  $f_i$ 
2  for  $i \leftarrow 1$  to  $n$ 
3      add  $a_i$  to  $R$ 
4       $finish \leftarrow f_i$ 
5      while  $s_i < finish$ 
6           $i \leftarrow i + 1$ 
7  return  $R$ 
    
```

$\Theta(n \log n)$

$\Theta(n)$

**Better than:**  
 $O(n!)$   
 $O(n^2)$

Overall:  $\Theta(n \log n)$

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### Scheduling all intervals

Given  $n$  activities, we need to schedule **all** activities.  
**Goal:** minimize the number of resources required.

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### Greedy approach?

The best we could ever do is the maximum number of conflicts for any time period

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### Calculating max conflicts efficiently

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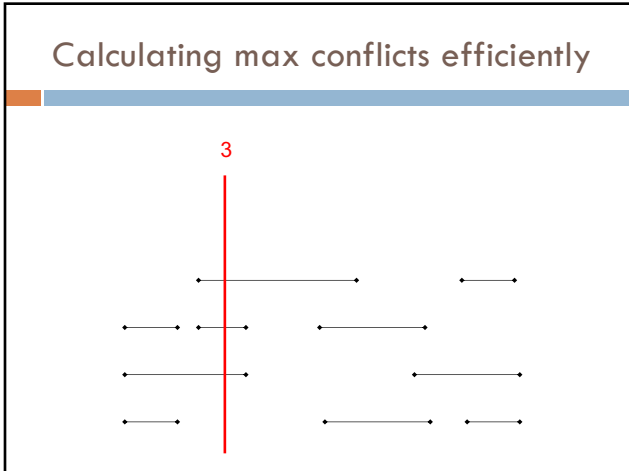
### Calculating max conflicts efficiently

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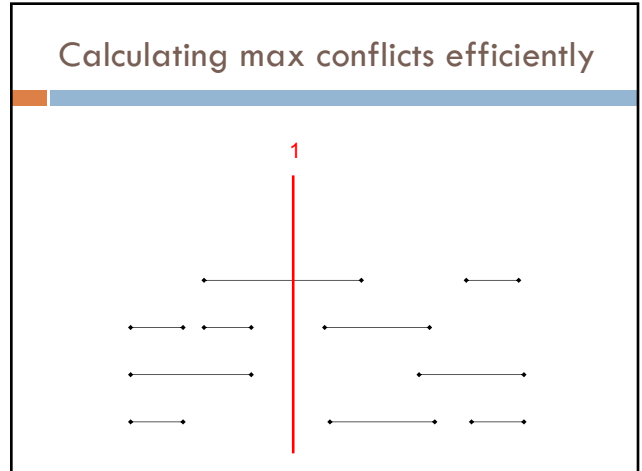
### Calculating max conflicts efficiently

64

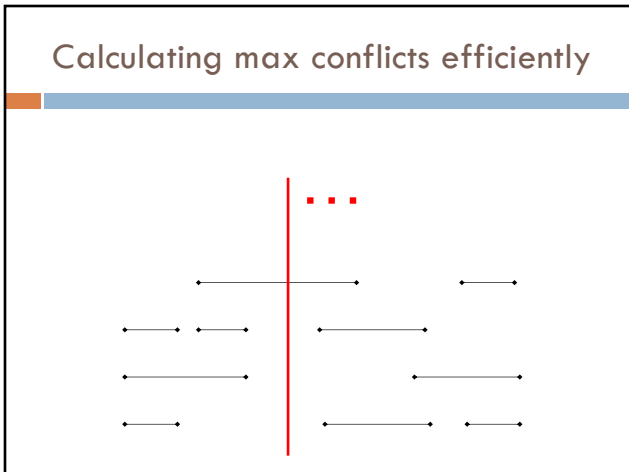




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### Calculating max conflicts

```

ALLINTERVALSCHEDULECOUNT(A)
1  Sort the start and end times, call this X
2  current ← 0
3  max ← 0
4  for i ← 1 to length[X]
5      if xi is a start node
6          current ++
7      else
8          current --
9          if current > max
10             max ← current
11 return max
    
```

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## Correctness?

We can do no better than the max number of conflicts.  
This exactly counts the max number of conflicts.

```

ALLINTERVALSCHEDULECOUNT(A)
1  Sort the start and end times, call this X
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5      if xi is a start node
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10         max ← current
11  return max
  
```

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## Runtime?

$O(2n \log 2n + n) = O(n \log n)$

```

ALLINTERVALSCHEDULECOUNT(A)
1  Sort the start and end times, call this X
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```

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## Knapsack problems: Greedy or not?

**0-1 Knapsack** – A thief robbing a store finds  $n$  items worth  $v_1, v_2, \dots, v_n$  dollars and weight  $w_1, w_2, \dots, w_n$  pounds, where  $v_i$  and  $w_i$  are integers. The thief can carry at most  $W$  pounds in the knapsack. Which items should the thief take if he wants to maximize value.

**Fractional knapsack problem** – Same as above, but the thief happens to be at the bulk section of the store and can carry fractional portions of the items. For example, the thief could take 20% of item  $i$  for a weight of  $0.2w_i$  and a value of  $0.2v_i$ .

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