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Algorithmic "techniques"

Iterative/incremental: solve problem of size n by first solving problem of size $\mathrm{n}-1$.

Divide-and-conquer: divide problem into independent subproblems. Solve each subproblem independently. Combine solutions to subproblem to create solution to the original problem.

4 solving problan of size n-1.

## Admin

Sakai/gradescope up to date

## Dynamic programming

Method for solving problems where optimal solutions can be defined in terms of optimal solutions to subproblems

AND
the subproblems are overlapping



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Running time

Fibonacci( $n$ )
1 if $n=1$ or $n=2$
else return 1
else
return $\operatorname{Fibonacci}(n-1)+\operatorname{Fibonacci}(n-2)$

Each call creates two recursive calls

Each call reduces the size of the problem by 1 or 2

Creates a full binary of depth $n$
$O\left(2^{n}\right)$
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## Dynamic programming: steps

1a) optimal substructure: optimal solutions to the problem incorporate optimal solutions to related subproblems
$\square$ convince yourself that there is optimal substructure
1b) recursive definition: use this to recursively define the value of an optimal solution
2) DP solution: describe the dynamic programming table: $\square$ size, initial values, order in which it's filled in, location of solution
3) Analysis: analyze space requirements, running time


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1 b : recursive definition

Fibonacci:

$$
F(n)=?
$$

## 1 b : recursive definition

Define a function and clearly define the inputs to the function

The function definition should be recursive with respect to multiple subproblems
$\square$ pretend like you have a working function, but it only works on smaller problems

Key: subproblems will be overlapping, i.e., inputs to subproblems will not be disjoint

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1 b : recursive definition


Fibonacci:

$$
F(n)=F(n-1)+F(n-2)
$$

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| 2: DP solution |
| :---: |
| The recursive solution will generally be top-down, i.e., working from larger problems to smaller |
| DP solution: <br> - work bottom-up, from the smallest versions of the problem to the largest <br> - store the answers to subproblems in a table (often an array or matrix) <br> - to build bigger problems, lookup solutions in the table to subproblems |

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## 2: DP solution

$F(n)=F(n-1)+F(n-2)$

What are the smallest possible values
(subproblems)?

To calculate $\mathrm{F}(\mathrm{n})$, what are all the subproblems we need to calculate? This is the "table".

How should we fill in the table?

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| 2: DP solution |
| :---: |
| ```Fibonacci-DP \((n)\) \(f i b[1] \leftarrow 1\) \(f i b[2] \leftarrow 1\) for \(i \leftarrow 3\) to \(n\) \(f i b[i] \leftarrow f i b[i-1]+f i b[i-2]\) return \(f i b[n]\)``` |
| Store the intermediary values in an array (fib) |

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## Counting binary search trees

How many unique binary search trees can be created using the numbers 1 through $n$ ?


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| 3: Analysis |
| :---: |
| ```Fibonacci-DP( \(n\) ) \(f i b[1] \leftarrow 1\) fib[2] \(\leftarrow 1\) for \(i \leftarrow 3\) to \(n\) \(f i b[i] \leftarrow f i b[i-1]+f i b[i-2]\) return \(f i b[n]\)``` |
| Space requirements: $\Theta(n)$ <br> Running time: $\Theta(n)$ |

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1 a: optimal substructure
optimal solutions to a problem incorporate optimal solutions to related subproblems


$$
T(i-1) \quad T(n-i)
$$

By definition of binary trees: binary trees are recursive structures


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## A recursive implementation

$$
T(n)=\sum_{i=1}^{n} T(i-1) * T(n-i)
$$

$\operatorname{BST}-\operatorname{Count}(n)$
1 if $n=0$
$\begin{array}{ll}\text { else } & \text { return } \\ & \\ \text { sum }=0 \\ \text { for } i \leftarrow 1\end{array}$


Like with Fibonacci, we're repeating a lot of work

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2: DP solution (from the bottom-up)

$$
T(n)=\sum_{i=1}^{n} T(i-1) * T(n-i)
$$

What are the smallest possible subproblems?
To calculate $T(n)$, what are all the subproblems we need
to calculate? This is the "table".
How should we fill in the table?

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## 2: DP solution (from the bottom-up)

$$
T(n)=\sum_{i=1}^{n} T(i-1) * T(n-i)
$$

What are the smallest possible subproblems? $T(0)=1, T(1)=1$


Need to think carefully about base cases/edge cases

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## 2: DP solution (from the bottom-up)

$$
T(n)=\sum_{i=1}^{n} T(i-1) * T(n-i)
$$

What are the smallest possible subproblems?
$T(0)=1, T(1)=1$
To calculate $T(n)$, what are all the subproblems we need
to calculate? This is the "table". $\mathrm{T}(0) \ldots \mathrm{T}(\mathrm{n}-1)$
How should we fill in the table? $T(0) \rightarrow T(n)$


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$\begin{array}{llllllll}0 & 1 & 2 & 3 & 4 & & \ldots & n\end{array}$

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```
BST-Count-DP(n)
```

BST-Count-DP(n)
c[0]=1
c[0]=1
c[1]=1
c[1]=1
for }k\leftarrow2\mathrm{ to }
for }k\leftarrow2\mathrm{ to }
c[k]\leftarrow0
c[k]\leftarrow0
for }i\leftarrow1\mathrm{ to }
for }i\leftarrow1\mathrm{ to }
return c[n]
return c[n]
ck]}\leftarrowc[k]+c[i-1]*c[k-i

```
        ck]}\leftarrowc[k]+c[i-1]*c[k-i
```

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```
BST-Count-DP(n)
```

$c[0]=1$
$c[0]=1$
$c[1]=1$
$c[1]=1$
for $k \leftarrow 2$ to $n$
for $k \leftarrow 2$ to $n$
$c[k] \leftarrow 0$
for $i \leftarrow 1$ to $k$
$c[k] \leftarrow c[k]+c[i-1] * c[k-i]$
return $c[n]$
$\mathrm{c}[0]]^{*} \mathrm{c}[1]+\mathrm{c}[1]^{*} \mathrm{c}[0]$
11 .
$\begin{array}{llllllll}0 & 1 & 2 & 3 & 4 & 5 & \ldots & n\end{array}$
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## 3: Analysis

BST-Count-DP ( $n$ )
$\begin{array}{ll}1 & c[0]=1 \\ 2 & c[1]=1\end{array}$
$2 c[1]=1$
for $k \leftarrow 2$ to $n$
$c[k] \leftarrow 0$
for $i \leftarrow 1$ to $k$
$c[k] \leftarrow c[k]+c[i-1] * c[k-i]$
return $c[n]$
Space requirements: $\Theta(n)$
Running time: $\Theta\left(\mathrm{n}^{2}\right)$

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| Longest common subsequence (LCS) |
| :---: |
| For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}, a$ a subsequence is a <br> subset of the sequence defined by a set of increasing <br> indices $\left(i_{1}, i_{2}, \ldots, i_{k}\right)$ where <br> $1 \leq i_{1}<i_{2}<\ldots<i_{k} \leq n$ |
| $X=A B A C D A B A B$ |
| ABA |

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Longest common subsequence (LCS)

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where
$1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$
$X=A B A C D A B A B$

ACA

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Longest common subsequence (LCS)

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where
$1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$
X = A B A C D A B A B

## ACA?

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Longest common subsequence (LCS)

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where $1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$

$$
X=A B A C D A B A B
$$

## DCA?

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where
$1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$
X = ABACDABAB


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## Longest common subsequence (LCS)

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where
$1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$

$$
X=A B A C D A B A B
$$

AADAA

Longest common subsequence (LCS)

For a sequence $X=x_{1}, x_{2}, \ldots, x_{n}$, a subsequence is a subset of the sequence defined by a set of increasing indices ( $i_{1}, i_{2}, \ldots, i_{k}$ ) where
$1 \leq \mathrm{i}_{1}<\mathrm{i}_{2}<\ldots<\mathrm{i}_{\mathrm{k}} \leq \mathrm{n}$
X = A B A C D A B A B

## AADAA?

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## LCS problem

Given two sequences $X$ and $Y$, a common subsequence is a subsequence that occurs in both $X$ and $Y$

Given two sequences $X=x_{1}, x_{2}, \ldots, x_{n}$ and
$Y=y_{1}, y_{2}, \ldots, y_{n}$

What is the longest common subsequence?

## LCS problem

Given two sequences $X$ and $Y$, a common subsequence is a subsequence that occurs in both $X$ and $Y$
Given two sequences $X=x_{1}, x_{2}, \ldots, x_{n}$ and
$Y=y_{1}, y_{2}, \ldots, y_{n}$

What is the longest common subsequence?

$$
\begin{aligned}
& X=A B C B D A B \\
& Y=B D C A B A
\end{aligned}
$$

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## 1a: optimal substructure

optimal solutions to a problem incorporate optimal solutions to subproblems

Often a proof by contradiction:
Show: optimal solutions incorporate optimal solutions to subproblems

Assume the optimal solution does not contain optimal solutions to subproblems

Show this leads to a contradiction (often that we could create a better solution using the solution to the subproblem)

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## LCS problem

Given two sequences $X$ and $Y$, a common subsequence is a subsequence that occurs in both $X$ and $Y$
Given two sequences $X=x_{1}, x_{2}, \ldots, x_{n}$ and
$Y=y_{1}, y_{2}, \ldots, y_{n}$

What is the longest common subsequence?

$$
\begin{aligned}
& X=A B C B D A B \\
& Y=B D C A B A
\end{aligned}
$$

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## 1a: optimal substructure

Prove: optimal solutions to the problem incorporate optimal solutions to related subproblems

Proof by contradiction:
Assume: $s_{1}, s_{2}, \ldots, s_{m}$ is the LCS(X,Y), but $s_{2}, \ldots, s_{m}$ is not the optimal solution to
LCS(substring_after( $\left.s_{1}, X\right)$, substring_after( $\left.s_{1}, Y\right)$ ).

If that were the case, then we could make a longer subsequence by:
$s_{1}$ LCS(substring_after( $\left.s_{1}, \mathrm{X}\right)$, substring_after( $\left.s_{1}, Y\right)$ )
contradiction
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1 b : recursive solution
$X=A B C B D A ?$
$Y=B D C A B ?$

Is the last character part of the LCS?

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1 b : recursive solution
$X=A B C B D A ?$
$Y=B D C A B ?$

Two cases: either the characters are the same or they're different

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1 b : recursive solution


If they're the same

$$
\operatorname{LCS}(X, Y)=\operatorname{LCS}\left(X_{1 \ldots n-1}, Y_{1 . \ldots m-1}\right)+x_{n}
$$

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$$
\operatorname{LCS}(X, Y)=\operatorname{LCS}\left(X_{1 \ldots n-1}, Y\right)
$$

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1 b : recursive solution
$X=\underset{\text { LCs }}{\text { ABCBDA }}$
$Y=$ BDCABA
If they're different

$$
\operatorname{LCS}(X, Y)=\operatorname{LCS}\left(X, Y_{1 \ldots m-1}\right)
$$

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1 b : recursive solution
$X=A B C B D A B$
$Y=B D C A B A$
$\operatorname{LCS}(X, Y)=\left\{\begin{array}{cc}1+\operatorname{LCS}\left(X_{1 \ldots n-1}, Y_{1 \ldots m-1}\right) & \text { if } x_{n}=y_{m} \\ \max \left(\operatorname{LCS}\left(X_{1 \ldots n-1}, Y\right), \operatorname{LCS}\left(X, Y_{1 \ldots m-1}\right)\right. & \text { otherwise }\end{array}\right.$
(for now, let's just worry about counting the length of the LCS)

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## $L C S[i, j]=\left\{\begin{array}{cc}1+L C S(i-1, j-1) & i f x_{i}=y_{j} \\ \max (L C S(i-1, j), L C S(i, j-1) & \text { otherwise }\end{array}\right.$

$\left.\begin{array}{ll|llllllll} & j & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ i & & y_{j} & \text { B D D C A B A }\end{array}\right]$

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## 2: DP solution

$\operatorname{LCS}(X, Y)=\left\{\begin{array}{cc}1+\operatorname{LCS}\left(X_{1 . \ldots n-1}, Y_{1 \ldots m-1}\right) & \text { if } x_{n}=y_{m} \\ \max \left(\operatorname{LCS}\left(X_{1 . . . n-1}, Y\right), \operatorname{LCS}\left(X, Y_{1 \ldots m-1}\right)\right. & \text { otherwise }\end{array}\right.$

What types of subproblem solutions do we need to store?
$\operatorname{LCS}\left(X_{1 \ldots j}, Y_{1 \ldots k}\right)$
$L C S[i, j]=\left\{\begin{array}{cl}1+L C S[i-1, j-1] & i \text { f } x_{i}=y_{j} \\ \max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise }\end{array}\right.$

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| $L C S[i, j]=\left\{\begin{array}{cl} 1+L C S[i-1, j-1] & i f x_{i}=y_{j} \\ \max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise } \end{array}\right.$ |  |
| :---: | :---: |
| $i^{j}$ | $\begin{array}{llllll} 0 & 1 & 2 & 3 & 4 & 5 \end{array}$ |
| $\begin{array}{ll} 0 & x_{i} \\ 1 & \mathrm{~A} \\ 2 & \mathrm{~B} \\ 3 & \mathrm{C} \\ 4 & \mathrm{~B} \\ 5 & \mathrm{D} \\ 6 & \mathrm{~A} \\ 7 & \mathrm{~B} \end{array}$ | 0 0 0 0 0 0 |

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$$
L C S[i, j]=\left\{\begin{array}{cl}
1+L C S[i-1, j-1] & \text { if } x_{i}=y_{j} \\
\max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise }
\end{array}\right.
$$

|  | $j$ | 0 | 1 | 2 | 3 | 4 | 5 | 6 |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| i |  | $y_{j}$ | $B$ | $D$ | $C$ | $A$ | $B$ | $A$ |  |  |  |
| 0 | $x_{i}$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 |  |  |  |
| 1 | $A$ | 0 | $?$ |  |  |  |  |  |  |  |  |
| 2 | $B$ | 0 |  |  |  |  |  |  |  |  |  |
| 3 | $C$ | 0 |  |  |  |  |  |  |  |  |  |
| 4 | $B$ | 0 |  |  |  |  |  |  |  |  |  |
| 5 | $D$ | 0 |  |  |  |  |  |  |  |  |  |
| 6 | $A$ | 0 |  |  |  |  |  |  |  |  |  |
| 7 | $B$ | 0 |  |  |  |  |  |  |  |  |  |

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$$
L C S[i, j]=\left\{\begin{array}{cc}
1+L C S[i-1, j-1] & \text { if } x_{i}=y_{j} \\
\max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise }
\end{array}\right.
$$



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| $L C S[i, j]=$ | $\begin{gathered} 1+L C S[i-1, j-1] \\ \max (L C S[i-1, j], L C S[i, j-1] \end{gathered}$ | $\text { if } x_{i}=y_{j}$ <br> otherwise |
| :---: | :---: | :---: |
| $i^{j}$ | $\begin{array}{cccccc} 0 & 1 & 2 & 3 & 4 & 5 \end{array}$ |  |
| $0 \mathrm{x}_{\mathrm{i}}$ | 0000000 |  |
| 1 A | 00001 | LCS(A, BDCA) |
| 2 B | 0 |  |
| 3 C | 0 |  |
| 4 B | 0 |  |
| 5 D | 0 |  |
| 6 A | 0 |  |
| 7 B | 0 |  |

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| $L C S[i, j]=$ | $\left[\begin{array}{c} 1+L C S[i-1, j-1] \\ \max (L C S[i-1, j], L C S[i, j-1] \end{array}\right.$ | $\text { if } x_{i}=y_{j}$ otherwise |
| :---: | :---: | :---: |
| j | 0123456 | Where's the final answer? |
|  | $y_{j}$ B DCABA |  |
| $0 \mathrm{x}_{\mathrm{i}}$ | 0000000 |  |
| 1 A | 0000111 |  |
| 2 B | 0111122 |  |
| 3 C | 0112222 |  |
| 4 B | 0112233 |  |
| 5 D | 0122233 |  |
| 6 A | 0122334 |  |
| 7 B | 0122344 |  |

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## The algorithm



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$$
L C S[i, j]=\left\{\begin{array}{cc}
1+L C S[i-1, j-1] & \text { if } x_{i}=y_{j} \\
\max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise }
\end{array}\right.
$$

| $i^{j}$ | $\begin{array}{lllllll} 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ y_{j} & B & D & C A B B A \end{array}$ |
| :---: | :---: |
| $0 \mathrm{x}_{\mathrm{i}}$ | 0000000 |
| 1 A | 0000111 |
| 2 B | 0111122 |
| 3 C | 0112222 |
| 4 B | 01122 ? |
| 5 D | 0 |
| 6 A | 0 |
| 7 B | 0 |

## Keeping track of the solution

Our LCS algorithm only calculated the length of the LCS between $X$ and $Y$

What if we wanted to know the actual sequence?

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$L C S[i, j]=\left\{\begin{array}{cc}1+L C S[i-1, j-1] & \text { if } x_{i}=y_{j} \\ \max (L C S[i-1, j], L C S[i, j-1] & \text { otherwise }\end{array}\right.$

| i | $\begin{array}{lllll} 0 & 1 & 2 & 3 & 4 \\ y_{j} & B & D & C & A \end{array}$ |  |
| :---: | :---: | :---: |
| $0 \mathrm{xi}_{1}$ | 0000000 |  |
| 1 A | 000011 |  |
| 2 B | $01-112$ | We can follow the |
| 3 C | 0.112222 | arrows to generate |
| 4 B | 01.12233 | the solution |
| 5 D | 012223,3 |  |
| 6 A | 0122334 | BCBA |
| 7 B | 0122344 |  |

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