

# Insertion Sort

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# Outline

Sorting Algorithms

Insertion Sort

Proving Sortedness

# Sorted Lists

- ▶ Next semester, you'll take CS62
- ▶ You'll talk a lot about algorithms and data structures
  - ▶ Including sorting lists
- ▶ Today we'll get a preview in the functional setting
- ▶ So what's a sorted list?

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- ▶ Two important things about the output list:
  1. It is in ascending order
  2. It is a *permutation* of the input list

# Ascending Order

- ▶ We can write this different ways for a list `l`:
  - ▶ forall `x`, if `x` appears at `n` in `l`, and `n` is not the end of the list, then `nth l (n+1)` is at least as big as `x`.
  - ▶ forall `n`, if `n < length l` then `nth l n ≤ nth l (n+1)`
  - ▶ "An empty list and a one element list are sorted; prepending an element `x` to a list is sorted if `x ≤` the first element of the list, if any" (an inductive definition!)
  - ▶ `sorted(l) = True` where:  
`sorted (x:y:l) = x <= y && sorted (y:l)`  
`sorted _ = True`

# Permutations

- ▶ We need this property too!
  - ▶ Otherwise [] is a perfect output for any "sorting function"
- ▶ The new list needs the same elements as the old list, but possibly in a different order

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  - ▶ If this element is bigger than the front of the new list, recurse with the tail of the new list
- ▶ Once we've inserted every element, we're done!

## Insert

```
insertion_sort [] = []  
insertion_sort (x:l) = insert x (insertion_sort l)
```

```
insert _x [] = [x]  
insert x (y:l)  
  | x <= y = x:y:l  
  | otherwise = y:(insert x l)
```

- ▶ Try it on these lists: [2, 1, 3], [1, 2, 3], [3, 2, 1].

# Preservation Properties

- ▶ We often want to prove that applying some function doesn't lose us some property
  - ▶ We call these "preservation properties"
  - ▶ E.g., "map preserves length" is the property that mapping over a list doesn't change its length
  - ▶ E.g., "filter preserves order" states that the order of elements in a list won't change through filter
- ▶ Preservation properties are an easy way to build up proofs about a whole procedure
  - ▶ If each step of the procedure preserves the thing we care about, then the whole procedure will too

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- ▶ ( $l=[]$ ). Let  $x$  be given; we know `insert x [] = [x]` and we know single-element lists are trivially sorted.

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- ▶ ( $l=[]$ ). Let  $x$  be given; we know  $\text{insert } x \ [] = [x]$  and we know single-element lists are trivially sorted.
- ▶ ( $l=(y:l')$ ). IH:  $\text{sorted } l' = \text{True}$  implies forall  $x$ ,  $\text{sorted } (\text{insert } x \ l') = \text{True}$ .

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  - ▶ Assume  $\text{sorted } (y:l') = \text{True}$ . Let  $z$  be given.

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  - ▶ We could have sorted  $(\text{insert } z \ l')$  by our IH, if we could prove sorted  $(y:l')$ ; and we already know sorted  $(y:l')$  from our assumption.

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  - ▶ `insertion_sort (y:l')` is just `insert y (insertion_sort l')`
  - ▶ Since our IH states that `insertion_sort l'` is sorted, and we know that `insert` preserves sortedness, we know `insert y (insertion_sort l')` must also be sorted.

# Using Sortedness

- ▶ Consider:  $\text{forall } l, \text{filter } f \text{ (insertion\_sort } l) = \text{insertion\_sort (filter } f \text{ } l)$ 
  1. Why is this an interesting property?
  2. Prove it!