

# Lecture 18: Concurrency

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*Slides based on those from Dan Grossman, U. of  
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## Why Concurrency?

- Not about speed, but
  - Code structure for responsiveness
    - Example: Respond to GUI events in one thread while another thread is performing an expensive computation
  - Processor utilization (mask I/O latency)
    - If 1 thread “goes to disk,” have something else to do
  - Failure isolation
    - Convenient structure if want to interleave multiple tasks and don't want an exception in one to stop the other

## Best Code

```
public class Account {  
    ...  
    // return balance  
    synchronized public int getBalance() {  
        return balance;  
    }  
  
    // update balance by adding amount  
    synchronized public void changeBalance(int amount) {  
        int newBalance = balance + amount;  
        display.setText("" + newBalance);  
        balance = newBalance;  
    }  
}
```

## Reentrant Locks

- If thread holds lock when executing code, then further method calls within block don't need to reacquire same lock.
- E.g., Methods m and n are both synchronized with same lock (e.g., with *this*), and execution of m results in calling n. Then once thread has the lock executing m, no delay in calling n.

## Concurrency for Responsiveness

## Maze Program

- Uses stack to solve a maze.
- When user clicks “solve maze” button, spawns Thread to solve maze.
- What happens if send “run” instead of “start”?

## Non-Event-Driven Programming

- Program in control.
- Program can ask for input at any point, with program control depending on input.
- But user can't interrupt program
  - Only give input when program ready

## Event-Driven Programming

- Control inverted.
  - User takes action, program responds
- GUI components (buttons, mouse, etc.) have "listeners" associated with them that are to be notified when component generates an event.
- Listeners then take action to respond to event.

## Event-Driven Programming in Java

- When an event occurs, it is posted to appropriate event queue.
  - Java GUI components share an event queue.
  - Any thread can post to the queue
  - Only the "event thread" can remove event from the queue.
- When event removed from queue, thread executes the appropriate method of listener w/ event as parameter.

## Example: Maze-Solver

- Start button ⇒ StartListener object
- Clear button ⇒ ClearAndChooseListener
- Maze choice ⇒ ClearAndChooseListener
- Speed slider ⇒ SpeedListener

## Listeners

- Different kinds of GUI items require different kinds of listeners:
  - Button -- ActionListener
  - Mouse -- MouseListener, MouseMotionListener
  - Slider -- ChangeListener
- See GUI cheatsheet on documentation web page

## Event Thread

- Removes events from queue
- Executes appropriate methods in listeners
- Also handles repaint events
- Must remain responsive!
  - Code must complete and return quickly
  - If not, then spawn new thread!

## Why did Maze Freeze?

- Solver animation was being run by event thread
- Because didn't return until solved, was not available to remove events from queue.
  - Could not respond to GUI controls
  - Could not paint screen

## Off to the Races

- A *race* condition occurs when the computation result depends on scheduling (how threads are interleaved). Answer depends on shared state.
- Bugs that exist only due to concurrency
  - No interleaved scheduling with 1 thread
- Typically, problem is some intermediate state that "messes up" a concurrent thread that "sees" that state

## Example

```
class Stack<E> {
    ...
    synchronized void push(E val) { ... }
    synchronized E pop() {
        if(isEmpty())
            throw new StackEmptyException();
        ...
    }

    E peek() {
        E ans = pop();
        push(ans);
        return ans;
    }
}
```

## Sequentially Fine

- Correct in sequential world
- May need to write this way, if only have access to push, pop, & isEmpty methods.
- peek() has no overall effect on data structure
  - reads rather than writes

## Concurrently Flawed

- Way it's implemented creates an inconsistent intermediate state
  - Even though calls to push and pop are synchronized so no data races on the underlying array/list/whatever
  - (A data race is simultaneous (unsynchronized) read/write or write/write of the same memory: more on this soon)
- This intermediate state should not be exposed
  - Leads to several wrong interleavings...

## Lose Invariants

- Want: If there is at least one push and no pops, then isEmpty always returns false.
- Fails with two threads if one is doing a peek, other isEmpty, & unlucky.
- Gets worse: Can lose LIFO property
  - Problem do push while doing peek.
- Want: If # pushes > # pops then peek never throws an exception.
  - Can fail if two threads do simultaneous peeks

## Solution

- Make peek synchronized (w/same lock)
  - No problem with internal calls to push and pop because locks reentrant
- Just because all changes to state done within synchronized pushes and pops doesn't prevent exposing intermediate state.

## A Fix!

- Re-entrant locks allows calls to push and pop if use same lock

*From within Stack*

```
class Stack<E> {  
    ...  
    synchronized E peek() {  
        E ans = pop();  
        push(ans);  
        return ans;  
    }  
}
```

*From outside Stack*

```
class C {  
    <E> E myPeek(Stack<E> s) {  
        synchronized (s) {  
            E ans = s.pop();  
            s.push(ans);  
            return ans;  
        }  
    }  
}
```

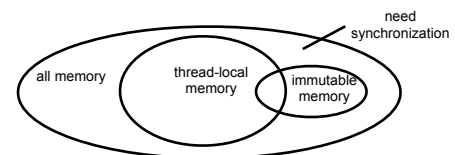
## Beware of Accessing Changing Data

- Even if unsynchronized methods don't change it.

```
class Stack<E> {  
    private E[] array = (E[])new Object[SIZE];  
    int index = -1;  
    boolean isEmpty() { // unsynchronized: wrong?!  
        return index==-1;  
    }  
    synchronized void push(E val) {  
        array[++index] = val;  
    }  
    synchronized E pop() {  
        return array[index--];  
    }  
    E peek() { // unsynchronized: wrong!  
        return array[index];  
    }  
}
```

## Providing Safe Access

- For every memory location (e.g., object field) in your program, you must obey at least one of the following:
  - Thread-local: Don't use the location in > 1 thread
  - Immutable: Don't write to the memory location
  - Synchronized: Use synchronization to control access to the location



## Conventional Wisdom

## Thread-Local

- Whenever possible, don't share resources
  - Easier to have each thread have its own thread-local copy of a resource than to have one with shared updates
  - This is correct only if threads don't need to communicate through the resource
    - That is, multiple copies are a correct approach
    - Example: Random objects
  - Note: Since each call-stack is thread-local, never need to synchronize on local variables
- *In typical concurrent programs, the vast majority of objects should be thread-local: shared-memory should be rare – minimize it.*

## Immutable

- Whenever possible, don't update objects
  - Make new objects instead
- One of key tenets of functional programming
  - Hopefully you study this in 52
  - Generally helpful to avoid side-effects
  - Much more helpful in a concurrent setting
- If a location is only read, never written, no synchronization is necessary!
  - Simultaneous reads are not races and not a problem
- *Programmers over-use mutation – minimize it.*

## Dealing with the Rest

- Guideline #0: No data races
  - Never allow two threads to read/write or write/write the same location at the same time
- Necessary: In Java or C, a program with a data race is almost always wrong

## Worse Than You Think!

```
class C {
  private int x = 0;
  private int y = 0;

  void f() {
    x = 1;
    y = 1;
  }
  void g() {
    int a = y;
    int b = x;
    assert (b >= a);
  }
}
```

- Assertion always true w/ single threaded.
- Looks always true for multithreaded.
  - OK if f not called at all
  - OK after f completes
  - Looks OK if in middle of f
- But have race condition

## Memory Reordering

- For performance reasons, compiler and hardware reorder memory operations.
- But, but, ...
  - Compiler/hardware will never perform a memory reordering that affects the result of a single-threaded program
  - The compiler/hardware will never perform a memory reordering that affects the result of a data-race-free multi-threaded program
- So: If no interleaving of your program has a data race, then can forget about reordering nonsense: result will be equivalent to some interleaving

## A Second Fix

- If label field *volatile*, accesses don't count as data races
- Implementation forces memory consistency
  - though slower!
- Should have used this in CS 51 w/shared variables.
- Really for experts -- better to use locks.