

Expressive AI: A Hybrid Art and Science Practice

Author(s): Michael Mateas

Source: *Leonardo*, 2001, Vol. 34, No. 2 (2001), pp. 147-153

Published by: The MIT Press

Stable URL: <https://www.jstor.org/stable/1577018>

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact support@jstor.org.

Your use of the JSTOR archive indicates your acceptance of the Terms & Conditions of Use, available at <https://about.jstor.org/terms>



The MIT Press is collaborating with JSTOR to digitize, preserve and extend access to *Leonardo*

JSTOR

Expressive AI: A Hybrid Art and Science Practice

Michael Mateas

The field of artificial intelligence (AI) has produced a rich set of technical practices and interpretive conventions for building machines whose behavior can be narrated as intelligent activity. Artists have begun to incorporate AI practices into cultural production—that is, into the production of artifacts and experiences that function as art within the cultural field. In this article I describe my own practice of AI-based cultural production: expressive AI. I provide a preliminary understanding of this practice by both situating expressive AI with respect to other discourses on AI and proceeding inductively from my own AI-based artwork. I first provide a brief description of three of my AI-based art pieces. These serve as concrete examples to ground the rest of the discussion. I then describe the expressive AI practice first by situating it with respect to the GOFAI/interactionist AI debate, then by describing the central organizing metaphors of authorial and interpretive affordance, and finally by providing a preliminary set of desiderata for expressive AI practice.

THREE AI-BASED ARTWORKS

In the brief descriptions below, I have combined a discussion of both the concept of each piece and its technical implementation. While both artists and AI researchers may find these hybrid descriptions unsatisfying, they are necessary in order to ground the discussion of the practice of expressive AI.

Subjective Avatars

A viewer's representative within a virtual world, or avatar, is generally conceived of as a passive puppet providing unmediated agency within the virtual world. The avatar does not actively shape the viewer's experience. *Subjective Avatars* [1], on the other hand, actively manipulate a viewer's subjective position within the virtual world. These avatars have an autonomous personality model that reacts to events in the virtual world and maintains an emotional state and narrative viewpoint relative to these events. The emotional state and narrative viewpoint are used to actively manipulate the view of the world presented to the participant. The *Subjective Avatar* is like a magic pair of glasses that allows the participant to inhabit an alien subjective position. To maintain the avatar's emotional state, I make use of Em [2], an AI model of emotion that is integrated with Hap [3], a reactive-planning language specifically designed for writing autonomous characters. Em and Hap are technologies developed as part of Oz [4], a project developing dramatically interesting virtual worlds.

Office Plant #1

Walk into a typical high-tech office environment and, among the snaking network wires, glowing monitors and clicking

keyboards, you are likely to see a plant. In this cyborg environment, the silent presence of the plant fills an emotional niche. Unfortunately, this plant is often dying; it is not adapted to the fluorescent lighting, lack of water and climate-controlled air of the office. *Office Plant #1 (OP#1)* [5] is an exploration of a technological object adapted to the office ecology, which fills the same social and emotional niche as a plant. *OP#1* employs text-classification techniques to monitor its owner's

e-mail activity. Its robotic body, reminiscent of a plant in form, reacts in slow, rhythmic movements to express moods generated by the monitored activity. In addition, low, quiet, ambient sound is generated; the combination of slow movement and ambient sound produces a sense of presence, responsive to the changing activity of the office environment.

OP#1 classifies incoming e-mail into social and emotional categories using AI statistical text-classification techniques [6]. Given the categories detected by the e-mail classifiers, a Fuzzy Cognitive Map (FCM) [7] determines which behavior the plant should perform. The FCM is a neural network-like structure in which nodes corresponding to behaviors are connected to each other by negative and positive feedback loops. *OP#1* was produced in collaboration with roboticist and artist Marc Boehlen.

Terminal Time

Terminal Time [8,9] is a machine that constructs ideologically biased documentary histories in response to audience feedback. *Terminal Time* is a cinematic experience designed for projection on a large screen in a movie-theater setting. At the beginning of the show and at several points during the show, the audience responds to multiple-choice questions reminiscent of marketing polls. The audience's answers to these questions register on an applause meter—the answer generating the most applause “wins.” The answers to these questions allow the computer program to create historical narratives intended to mirror and often exaggerate the audience's biases and desires. By exaggerating the ideological position implied in the audience's answers, *Terminal Time* encourages the audience to ask fundamental questions about the relationship of point of view to constructions of history.

ABSTRACT

Expressive AI is a new interdiscipline of AI-based cultural production, combining art practice and AI-research practice. This article explores expressive AI by comparing it with other AI discourses, describing how it borrows notions of interpretation and authorship from both art and AI research practice and providing preliminary desiderata for the practice.

Michael Mateas (artist, computer scientist), Computer Science Department and Studio for Creative Inquiry, Carnegie Mellon University, 5000 Forbes Avenue, Pittsburgh, PA 15213, U.S.A. E-mail: <michaelm@cs.cmu.edu>

Terminal Time makes use of symbolic AI techniques. Historical events are represented in the machine using a logical formalism. Ideological bias is represented using a goal-tree formulation of ideology similar to that of Carbonell [10]. The goal tree is modified as the audience answers the polling questions. Pursuit of goals in the goal tree causes the system to search its knowledge base of historical episodes for those which can be slanted to support the current ideological bias. Symbolic processes are used to construct a narrative that is eventually turned into English text (which will be read by a voice synthesizer) and illustrated with video clips chosen from a multimedia database.

Terminal Time was made in collaboration with interactive media artist Paul Vanouse and documentary filmmaker Steffi Domike.

These three AI-based pieces provide a concrete ground for discussing expressive AI practice. They will be used as examples throughout this article.

THE GOFAI/ INTERACTIONIST AI DEBATE

In recent years, discourse about AI's high-level research agenda has been structured as a debate between symbolist, or Good Old Fashioned AI (GOFAI), and behavioral, or interactionist AI. The GOFAI/interactionist distinction has shaped discourse both within AI and cognitive science [11–13], in cultural-theoretical studies of AI [14] and in hybrid practice combining AI and cultural theory [15–17]. This debate has shaped much contemporary practice combining AI and cultural production, with practitioners commonly aligning themselves with the interactionist camp. Because of this connection with cultural practice, it will be useful to position expressive AI relative to this debate, which I briefly describe here. I then distinguish the goals of expressive AI as a practice from those of both the GOFAI and interactionist agendas.

Characterizing GOFAI and Interactionist AI

GOFAI is characterized by its concern with symbolic manipulation and problem solving [18]. Its proponents draw a firm distinction between mental processes happening “inside” the mind and activities in the world happening “outside” the mind [19]. GOFAI's research program is concerned with developing the theories and engineering practices

necessary to build minds that exhibit intelligence. Such systems are commonly built by expressing knowledge in symbolic structures and specifying rules and processes that manipulate these structures. Intelligence is considered a property that inheres in symbolic manipulation “inside” the mind. This intelligence is demonstrated by the program's ability to solve problems.

Where GOFAI concerns itself with mental functions such as planning and problem solving, interactionist AI is concerned with embodied agents interacting in a world (physical or virtual). Rather than solving complex symbolic problems, such agents engage in a moment-by-moment dynamic pattern of interaction with the world. Often there is no explicit representation of the “knowledge” needed to engage in these interactions. Rather, the interactions emerge from the dynamic regularities of the world and the reactive processes of the agent. As opposed to GOFAI, which focuses on internal mental processing, interactionist AI assumes that having a body embedded in a concrete situation is essential for intelligence. It is the body that defines many of the interaction patterns between the agent and its environment.

The distinctions between the kinds of systems built by GOFAI and interactionist AI researchers are summarized in Table 1.

GOFAI systems often attempt to *deeply* model a *narrow*, isolated mental capability (e.g. reasoning, memory, language use, etc.). These mental components duplicate the capabilities of high-level human reasoning in abstract, simplified environments. In contrast, interactionist AI systems exhibit the savvy of insects in complex environments. Interactionist systems have a *broad* range of *shallow* sensory, decision and action capabilities rather than a single, *narrow*, *deeply* modeled capability.

GOFAI seeks general solutions: the theory of language understanding, the theory of planning, etc. Interactionist AI starts with the assumption that there is a complex “fit” between an agent and its environment; there may not be generic solutions for all environments (just as

many animals do not function well when removed from their environment).

GOFAI divorces mental capabilities from a body; the interface between mind and body is not commonly addressed. Interactionist AI assumes that having a body that is embedded in a concrete situation is essential for intelligence. Thus, interactionists do not buy into the Cartesian split. For them, it is the body that defines many of the interaction patterns between the agent and its environment.

Because of AI's historical affinity with symbolic logic, many GOFAI systems utilize semantic symbols—that is, pieces of composable syntax that make one-to-one references to objects and relationships in the world. The state of the world within which the mind operates is represented by a constellation of such symbols. Interactionist AI, because of its concern with environmental coupling, eschews complex symbolic representations; building representations of the environment and keeping them up to date is notoriously difficult.

In GOFAI systems, agents tend to operate according to the sense-plan-act cycle. During sensing, the symbolic representation of the state of the world is updated by inferences from sense information. The agent then constructs a plan to accomplish its current goal in the symbolically represented world by composing a set of operators (primitive operations the agent can perform). Finally, the plan is executed. After the plan is completed (or is interrupted because of some unplanned-for contingency), the cycle repeats. Rather than employing the sense-plan-act cycle, interactionist systems are reactive. They are composed of bundles of behaviors, each of which describes some simple action or sequence of actions. Each behavior is appropriate under some environmental and internal conditions. As these conditions constantly change, a complex pattern of behavioral activation occurs, resulting in the agent taking action.

Cultural Production versus AI

Both interactionist AI and GOFAI share research goals that are at odds with the

Table 1. Contrasting properties of GOFAI and interactionist AI systems

GOFAI	Interactionist AI
Narrow/Deep	Broad/Shallow
Generality	Fits an Environmental Specificity
Disembodied	Embodied and Situated
Semantic Symbols	State Dispersed and Uninterpreted
Sense-Plan-Act	Reactive

Table 2. Contrasting Goals of Cultural Production and AI

Cultural Production	AI
Poetics	Task Competence
Audience Perception	Objective Measurement
Specificity	Generality
Artistic Abstraction	Realism

goals of those using AI for cultural production. Table 2 summarizes some of the differences between cultural production and traditional AI research practice.

Artists are concerned with building artifacts that convey complex meanings, often layering meanings, playing with ambiguities and exploring the liminal region between opaque mystery and interpretability. Thus, the purpose of, motivation behind or concept defining any particular AI-based artwork will be an interrelated set of concerns, perhaps not fully explicable without documenting the functioning of the piece itself. In contrast, the focus in AI is on task competence, that is, on demonstrably accomplishing a well-defined task. To “demonstrably accomplish” means to show, either experimentally or by means of mathematical proof, that the AI system can accomplish a task. A “well-defined task” means a simple, concisely defined objective that is to be accomplished using a given set of resources, where the objective often has “practical” (i.e. economic) utility. In GOFAI, task competence has often meant competence at complex reasoning and problem solving. For interactionist AI, this has often meant moving around in complex environments without getting stepped on or stuck behind obstacles or falling off a ledge. In describing *OP#1* to AI practitioners (and more generally, computer scientists), I often confront this distinction between poetics and task competence. A technical researcher tends to view *OP#1* as a sophisticated e-mail indicator that would be used to indicate to the user whether they should read their mail or not. That is, *OP#1* is viewed as facilitating the task of reading and answering e-mail. The notion that *OP#1* is really about creating a presence whose behavior should correlate with e-mail activity while maintaining a sense of mystery and whose “function” is to open a contemplative window onto a “user’s” daily activity is only communicated to a technical practitioner with some difficulty.

The success of an AI-based artwork is determined by audience perception. If the audience is able to participate in the poetics defined by the artist, that is, to engage in an interpretive process envi-

sioned by the artist, then the piece is successful. AI tries to measure success objectively. How many problems could the program solve? How long did the robot run around before it got into trouble? How similar is the system’s solution to a human’s solution? The artist is concerned with the subjective experience of the audience, while the AI researcher strives to eliminate any reference to human perception of the artifact. In contrast, all three AI-based artworks described above are intimately concerned with audience experience. *Subjective Avatars* structures a participant’s experience of a virtual world as if seen from an alien subjective viewpoint. *OP#1* creates a variable sculptural presence reflecting its owner’s daily activity. *Terminal Time* makes visible ideological bias in the construction of history by generating biased histories in response to audience feedback. There is no audience-free vantage point from which to consider these systems.

Artists build specific works. Each piece is crafted so as to establish a specific poetics, so as to engage the audience in specific processes of interpretation. The artist explores meaning-making from the vantage point of his or her particular cultural situation. AI, like most sciences, tries to create general and universal knowledge. Even interactionist AI, while stressing the importance of an agent’s fit to its environment, seeks general principles by which to describe agent/environment interactions. Where AI conceives of itself as searching for timeless truths, artists participate in the highly contingent meaning systems of a particular cultural milieu. Even those AI practitioners engaged in the engineering task of building “smarter” gizmos here and now, who would probably demur from the “timeless truth” characterization of AI practice, are still committed to building generally applicable engineering tools. *Subjective Avatars* provides an example of expressive AI’s focus on specificity. The characters in *Subjective Avatars* were built using Hap, a language designed to facilitate the crafting of specific, unique characters [20]. This is in contrast to both artificial-life and top-down approaches to character that at-

tempt to define universal character frameworks in which specific characters are “tuned in” by adjusting parameters in the model [21].

Finally, artists engage in abstraction. That is, they are not so much concerned with building exact replicas of parts of the world (mimesis) as with creating meaning systems that make reference to various aspects of the lifeworld (the amalgam of the physical world and culture). On the other hand, much of AI research is motivated by realism. A GOFAI researcher may claim that a program solves a problem the way human minds really solve the problem; an interactionist AI researcher may claim that an agent is a living creature, in that it captures the same environment/agent interactions as an animal. The first time I presented *Terminal Time* to a technical audience, there were several questions about whether I was modeling the practices of real historians. The implicit assumption was that the value of such a system lies in its veridical model of human behavior. In fact, the architectural structure of *Terminal Time* is part of the concept of the piece, not as a realist portrait of human behavior, but rather as a caricature of certain institutionalized processes of documentary film production.

Artistic Practice Transforms AI

Artistic practice is potentially concerned with a broader set of issues than the issues of agency that structure the technical interactionist/GOFAI debate. Artistic practice also operates from a different set of goals and assumptions than those shared by both interactionist and GOFAI researchers. Thus, despite the affinity between cultural-theoretical critiques of Enlightenment rationality and the technical project of interactionist AI, we should be wary of any position, implicit or explicit, holding that some particular technical school of thought within AI is particularly suited to artistic practice. AI-based art is not a subfield of AI, nor affiliated with any particular technical school within AI, nor an application of AI. Rather it is a stance or viewpoint from which all of AI is reconstructed. When artistic practice and AI research combine, it results in a new interdisciplinary: one that I term “expressive AI.”

EXPRESSIVE AI

AI has traditionally been engaged in the study of the possibilities and limitations inherent in the physical realization of intelligence [22]. The focus has been on

understanding AI systems as independent entities, studying the patterns of computation and interactions with the world that the system exhibits in response to specific problems to be solved or tasks to be performed. Both GOFAI and interactionist AI reify the notion of intelligence. That is, intelligence is viewed as an independently existing entity with certain essential properties. GOFAI assumes that intelligence is a property of symbolic manipulation systems. Interactionist AI assumes that intelligence is a property of embodied interaction with a world. Both are concerned with building something that is intelligent; that unambiguously exhibits the essential properties of intelligence.

In expressive AI the focus turns to *authorship*. The AI system becomes an artifact built by authors in order to communicate a constellation of ideas and experiences to an audience. If GOFAI builds brains in vats, and interactionist AI builds embodied insects, then expressive AI builds *cultural artifacts*. The concern is not with building something that is intelligent independent of any observer and their cultural context. Rather, the concern is with building an artifact that seems intelligent, that participates in a specific cultural context in a manner that is perceived as intelligent. Expressive AI views a system as a performance. The system expresses the author's ideas within a performative space and is both a messenger for and a message from the author.

Metaphors Structuring AI-Based Artwork

The concept of an AI system as communication and performance is depicted in Fig. 1. The AI system (here labeled "gizmo") mediates between artist and

Fig. 1. The conversational model of meaning making. In the conversation model, art practice is conceived as the negotiation of meaning between artist and audience as mediated by the art object.

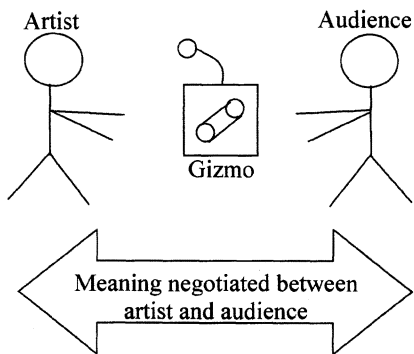
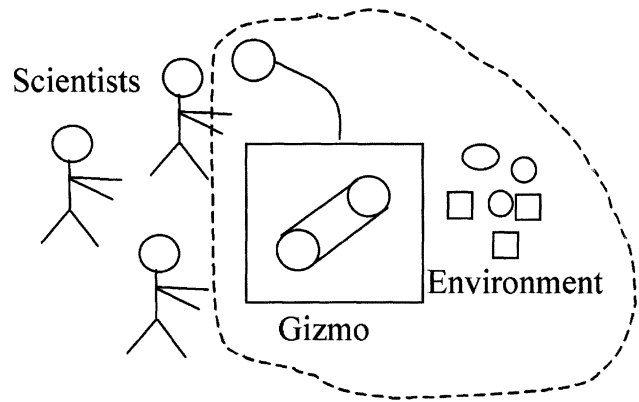


Fig. 2. The construction model of AI research. In the construction model, AI research is conceived as the study of the objective properties of AI systems.



audience. The gizmo structures the context within which the artist and audience negotiate meaning. The artist attempts to influence this negotiation by structuring the interpretive affordances of the gizmo, that is, by providing the audience with the resources necessary to make up a story about what the gizmo is doing and what meanings the author may have intended to communicate. This relationship between gizmo, artist and audience is the conversation metaphor, artistic practice conceived of as a conversation between artist and audience mediated by the art "object" (the object can be something non-concrete, such as a performance).

The conversation metaphor is an example of what Agre [23] calls a theory-constitutive metaphor. Such a metaphor structures the theories and practices of a field. Every such metaphor has a center and a margin. The center is the set of issues brought into focus by the metaphor, those issues that will be considered primary in the practice structured by the metaphor. The margin is the set of issues made peripheral by the metaphor, those issues which will only be a secondary part of the practice, if considered at all. The practice may even assume that the margin will "take care of itself" in the process of focusing on the center.

The center of the conversation metaphor is the relationship between two subjects, the artist and the audience. A practice structured by this metaphor will focus on the negotiation of meaning between these two subjects. The margin is the internal structure of the gizmo itself. The conversation metaphor interprets the internal structure of the gizmo as an accidental byproduct of a focus on negotiated meaning; the structure "takes care of itself" in the process of focusing on the negotiation of meaning between artist and audience.

The central and marginal concerns of the conversation metaphor reverse those found in AI research practice. AI research practice proceeds by means of the construction metaphor as depicted in Fig. 2. The gizmo (in GOFAI practice) or the gizmo + environment (in interactionist AI practice) is considered a system complete unto itself, about which statements can be made without reference to either the system builders or interpreters as subjects. Instead, system construction and interpretation is rendered as an objective process; construction is conditioned only by engineering concerns, and interpretation only by the requirements of empirical investigation. The active process of meaning making engaged in by a subject is marginalized.

Expressive AI simultaneously focuses on the negotiation of meaning and the internal structure of the AI system. These two apparently disparate views are unified by thinking in terms of affordances: negotiation of meaning is conditioned by interpretive affordances, and the internal structure of the AI system is conditioned by authorial affordances. Before describing interpretive and authorial affordance, it is useful to first define the more general concept of affordance.

The notion of affordance was first suggested by Gibson [24,25] as a way to understand perception and was later re-articulated by Norman [26] in the field of interface design. Affordances refer to the perceived properties of things, particularly those properties that suggest actions that can be taken with the thing. Affordances are the opportunities for action made available by an object. But affordance is even stronger than implied by the phrase "made available"; in order for an object to be said to afford a certain action, the object must in some sense "cry out" for the action to be

taken. There should be a naturalness to the afforded action that makes it the obvious thing to do. For example, the handle on a teapot affords picking up the teapot with your hand. The handle cries out to be grasped. Affordances not only limit what actions can be taken (the negative form of constraint) but cry out to make certain actions obvious (the positive form of constraint).

Interpretive Affordance

Interpretive affordances support the interpretations an audience makes about the operations of an AI system. In the conversation model of negotiated meaning, it is the interpretive affordances that condition the meanings that can be negotiated between artist and audience. Interpretive affordances provide resources both for narrating the operation of the system and additionally, in the case of AI-based interactive art, for supporting intentions for actions that an audience may take with the system.

Agre [27] describes how AI technical practice provides narrative affordances that support AI researchers in creating stories describing the system's operation. Different practices (e.g. GOFAI or interactionist AI) provide different affordances for narrating system behavior. However, in typical AI research practice, these affordances are not consciously manipulated. Rather, they serve as part of the unconscious background of the engineering practice; they co-evolve with the technical practice as a silent but necessary partner in the research. Expressive AI practitioners think explicitly about how to provide the affordances supporting the narration of system behavior. For example, Sengers [28] explicitly added transition behaviors to behavior-based agents to support the audience's ability to narrate the agent's behavior.

For interactive art, intentional affordances support the goals an audience can form with respect to the artwork. The audience should be able to take an action and understand how the artwork responds to this action. This does not mean that the artwork must provide simple one-to-one responses to the audience's actions. Such responses would be uninteresting; rather, the poetics of the piece will most likely avoid commonly used tropes while exploring ambiguities, surprise and mystery. But the audience should be able to understand that the system is responding to them, even if the response is unex-

pected or ambiguous. The audience should be able to tell some kind of unfolding story about their interaction with the work. Both extremes, simple stereotyped responses to audience interaction making use of well-known tropes and opaque incoherence with no determinable relationship between interaction and the response of the artwork, should be avoided.

A concern with interpretive affordance will be familiar to artists; negotiating meaning between artist and audience is central to artistic practice. Expressive AI adopts this concern within the context of AI-based art. But expressive AI also adopts a concern for the artifact from AI research practice.

Authorial Affordance

The authorial affordances of an AI architecture are the "hooks" that an architecture provides for an artist to inscribe their authorial intention on the machine. Different AI architectures provide different relationships between authorial control and the combinatorial possibilities offered by computation. Expressive AI engages in a sustained inquiry into these authorial affordances, crafting specific architectures that afford appropriate authorial control for specific artworks.

This concern with the machine itself will be familiar to AI research practitioners (both GOFAI and interactionist). However, AI research practice tends to focus on individual architectures, not on the human authorship supported by the architecture nor on understanding the differences between architectures. AI research practice downplays the role of human authorship within the system because this authorship disrupts the story of the system as an autonomously intelligent entity. Rather, the focus is on the architecture itself, independent of any "content," and generally independent of any discussion of any other architecture. Expressive AI simultaneously adopts and transforms this concern with the machine.

A focus on the machine is alien to current electronic media practice. In keeping with the conversation metaphor of meaning making, the internal structure of the machine is generally marginalized. The machine itself is considered a hack, an accidental byproduct of the artist's engagement with the concept of the piece. In the documentation of electronic media works, the internal structure of the machine is almost systematically effaced.

When the structure is discussed, it is usually described at only the highest level, using hype-ridden terminology and wishful component naming (e.g. "meaning generator" or "emotion detector"). At its best, such discursive practice is a spoof of similar practice within AI research and may also provide part of the context within which the artist wishes the work to be interpreted. At its worst, such practice is a form of obfuscation, perhaps masking a gap between intention and accomplishment—the fact that the machine does not actually do what is indicated in the concept of the piece.

Why would an artist want to concern herself with authorial affordance, with the structural properties of the machine itself? Because such a concern allows an artist to explore expressive possibilities that can only be opened by a simultaneous inquiry into interpretive affordance and the structural possibilities of the machine. An artist engaging in expressive AI practice will be able to build works with a depth, a richness, a sophistication that cannot be achieved without this simultaneous focus on meaning making and machine structure.

Combining Interpretive and Architectural Concerns

The splitting of AI-based art practice into interpretive and authorial concerns is for heuristic purposes only, as a way to understand how expressive AI borrows from both art practice and AI research practice. Expressive AI practice combines these two concerns into a dialectically related whole; the concerns mutually inform each other. The "interface" is not separated from the "architecture." In a process of total design, a tight relationship is maintained between the sensory experience of the audience and the architecture of the system. The architecture is crafted in such a way as to enable just those authorial affordances that allow the artists to manipulate the interpretive affordances dictated by the concept of the piece. At the same time, the architectural explorations suggest new ways to manipulate the interpretive affordances, thus suggesting new conceptual opportunities.

The AI-based artist should avoid architectural elaborations that are not visible to the audience. However, this admonition should not be read too narrowly. The architecture itself may be part of the concept of the piece, part of the larger interpretive context of people theorizing about the piece. For ex-

ample, one can imagine building a machine like *Terminal Time*, in which some small finite collection of historical narratives has been written. The narrative played is determined by a hard-coded selection mechanism keyed off the audience polls. For any one audience, the sensory experience of this piece would be indistinguishable from *Terminal Time*. However, at a conceptual level, this piece would be much weaker than *Terminal Time*. A *Terminal Time* audience is manipulating a procedural process that is a caricature of ideological bias and of institutionalized documentary filmmaking. The operationalization of ideology is critical to the concept of the piece, both for audiences and for artists and critics who wish to theorize the piece.

Expressive AI Desiderata

Now that the practice of expressive AI has been given an abstract description, this section provides a tentative list of desiderata.

Expressive AI as More Than “Mere Application.” Expressive AI is not an application area of AI. Applications are understood as the use of off-the-shelf techniques that are unproblematically appropriated for some concrete task. AI applications do not question the deep technical and philosophical assumptions that underlie AI practice. Expressive AI, on the other hand, changes AI practice by simultaneously exploring interpretive and authorial affordances. Expressive AI is not a technical research program calling for the overthrow of GOFAI or interactionist AI. Nor does it single out a particular technical tradition as being peculiarly suited for artistic expression. For example, *Subjective Avatars* draws from interactionist AI, *Office Plant #1* draws from statistical AI and *Terminal Time* draws from GOFAI. Rather, expressive AI is a stance or viewpoint from which AI techniques can be rethought and transformed. New avenues for exploration are opened up; research values are changed.

Microworlds with Human Significance.

Building microworlds was an AI approach popular in the 1970s. The idea was to build simple, constrained, artificial worlds in which an AI system could exhibit its competence. The hope was that it would be possible to slowly scale up from systems that exhibit competence in a microworld to systems exhibiting competence in the real world. The microworld

research agenda has been widely criticized [29]; it did not prove possible to scale systems up from microworlds. However, the microworld concept can be useful in expressive AI. An AI-based art piece may be a microworld with human significance. The “micro” nature of the world makes certain AI techniques tractable. As long as the microworld has some cultural interest, the system still functions as an artwork. This is simply the recognition that an artwork is not the “real world” but is rather a representational space crafted out of the world. The AI techniques used in an artwork only have to function within the specific artistic context defined by the piece. For example, in *Subjective Avatars*, the agents only have to operate within the specific dramatic context defined by the storyworld.

Active Reflection on Affordances Associated with Different Architectures.

Expressive AI practitioners must unpack the complex relationships that exist between authorial intention and different architectures. Architectures, and the associated technical practices supporting the architecture, make available different authorial and interpretive affordances. Active reflection on the co-evolution of affordances and technical solutions is part of expressive AI considered as a design practice. By understanding these relationships, the practitioner improves her skill as an AI-based artist, becoming more able to navigate the design space of affordance and architecture. While this reflection is similar to AI research practices, it differs in focusing explicitly on affordances, which are commonly left unarticulated in traditional AI practice.

Cultural Theory and Expressive AI.

Culture theory is extremely valuable for unpacking hidden assumptions lurking in AI practice. Understanding these assumptions allows an artist to gain a free relation to AI technology, to avoid being forced into the “natural” interpretation of the technology that has been historically constructed. The maintenance of a free relation to technology is a process, not an achievable end. There is no final, “perfect” AI to be found, for artistic or any other purpose.

CONCLUSION

Expressive AI is a new interdisciplinary of AI-based cultural production combining art practice and AI research practice. Expressive AI changes the focus

from an AI system as a thing in itself (presumably demonstrating some essential feature of intelligence) to the communication between author and audience. The technical practice of building the artifact becomes one of exploring which architectures and techniques best serve as an inscription device within which the authors can express their message. Expressive AI does not single out a particular technical tradition as peculiarly suited to culture production. Rather, expressive AI is a stance or viewpoint from which all of AI can be rethought and transformed.

References

1. M. Mateas, “Computational Subjectivity in Virtual World Avatars,” in *Working Notes of the Socially Intelligent Agents Symposium, AAAI Fall Symposium Series* (Menlo Park, CA: AAAI Press, 1997).
2. W.S. Neal Reilly, *Believable Social and Emotional Agents*, Ph.D. diss. (Pittsburgh, PA: School of Computer Science, Carnegie Mellon University, 1996).
3. A.B. Loyall and J. Bates, “Hap: A Reactive, Adaptive Architecture for Agents,” Technical Report CMU-CS-91-147 (Pittsburgh, PA: Department of Computer Science, Carnegie Mellon University, 1991).
4. J. Bates, “Virtual Reality, Art, and Entertainment,” in *Presence: The Journal of Teleoperators and Virtual Environments* 1, No. 1, 133–138 (1992).
5. M. Boehlen and M. Mateas, “Office Plant #1: Intimate Space and Contemplative Entertainment,” in *Leonardo* 31, No. 5, 345–348 (1998).
6. T. Mitchell, *Machine Learning* (New York: McGraw-Hill, 1997).
7. B. Kosko, *Fuzzy Engineering* (New York: Simon & Schuster, 1997) pp. 499–525.
8. S. Domike, M. Mateas and P. Vanouse, “The Recombinant History Apparatus Presents: Terminal Time,” forthcoming in M. Mateas and P. Sengers, eds., *Narrative Intelligence* (John Benjamins Press, 2001).
9. M. Mateas, P. Vanouse and S. Domike, “Terminal Time: An Ideologically-Biased History Machine,” in *AISB Quarterly, Special Issue on Creativity in the Arts and Sciences*, No. 102 (Summer/Autumn 1999) pp. 36–43.
10. J. Carbonell, *Subjective Understanding: Computer Models of Belief Systems*, Ph.D. diss. (Computer Science Department, Yale University, 1979).
11. R. Brooks, “Elephants Don’t Play Chess,” in *Robotics and Autonomous Systems* 6 (1990) pp. 3–15.
12. R. Brooks, “Intelligence without Reason,” A.I. Memo 1293 (Artificial Intelligence Lab, MIT, 1991).
13. *Cognitive Science* 17 (1993), special issue on situated cognition.
14. A. Adam, *Artificial Knowing: Gender and the Thinking Machine* (London: Routledge, 1998).
15. P. Agre, *Computation and Human Experience* (Cambridge, U.K.: Cambridge Univ. Press, 1997).
16. P. Sengers, *Anti-Boxology: Agent Design in Cultural Context*, Ph.D. diss. (School of Computer Science, Carnegie Mellon University, 1998).
17. F. Varela, E. Thompson and E. Rosch, *The Embodied Mind: Cognitive Science and Human Experience* (Cambridge, MA: MIT Press, 1999) (seventh printing).

18. See Brooks [12].
19. See Agre [15].
20. See Loyall and Bates [3].
21. M. Mateas, "An Oz-Centric Review of Interactive Drama and Believable Agents," in M. Wooldridge and M. Veloso, eds., *AI Today: Recent Trends and Developments, Lecture Notes in AI 1600* (Berlin and New York: Springer, 1999).
22. See Agre [15].
23. See Agre [15].
24. J. Gibson, "The Theory of Affordances," in R.E. Shaw and J. Bransford, eds., *Perceiving, Acting, and Knowing* (Hillsdale, NJ: Erlbaum Associates, 1977).
25. J. Gibson, *The Ecological Approach to Human Perception* (Boston: Houghton Mifflin, 1979).
26. D. Norman, *The Design of Everyday Things* (New York: Doubleday, 1988).
27. See Agre [15].
28. See Sengers [16].
29. H. Dreyfus, *What Computer Still Can't Do: A Critique of Artificial Reason* (Cambridge, MA: MIT Press, 1999; original edition published in 1972).

Manuscript received 31 May 2000.

Michael Mateas explores the intersection between art and artificial intelligence. Currently at Carnegie Mellon University, he is a research fellow within the Studio for Creative Inquiry and a Ph.D. candidate in computer science. His work has been exhibited in the Digital Salon in New York, the Art in Motion festival in Los Angeles, as the Walker Museum's entry in Sonic Circuits, the Carnegie Art Museum, the Warhol Museum and at numerous conferences, festivals and galleries nationally and internationally. He is currently editing (with Phoebe Sengers) a book on narrative intelligence that explores the intersection between humanistic and artistic notions of narrative and AI.

BACK ISSUES AND CDS AVAILABLE

LMJ Back Issues and Compact Discs

Back issues of *Leonardo Music Journal (LMJ)* are available through the Electronic Music Foundation's CdeMusic at <http://www.emf.org>, where information about the contents of the journal issues and CDs and about ordering them can be found online. *LMJ* Volumes 1–7 can be ordered in conjunction with their accompanying journal issues; *LMJ* Volumes 8–10 can be ordered as individual CDs or in conjunction with journal issues.